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Working document

Annex III

Annex 30A of the CCIP

**Data requirements for entry and exit summary declarations
and for simplified procedures.**

ANNEX III

ANNEX 30A

1. Introductory notes to the tables

Note 1. Generalities

- 1.1 The summary declaration that must be lodged for goods entering or leaving the customs territory of the Community contains the information detailed in tables 1 to 5 for each of the situations or modes of transport concerned.
- 1.2 Tables 1 to 6 include all data elements necessary for the procedures and declarations concerned. They provide comprehensive views of the requirements necessary for the various procedures and declarations.
- 1.3 The headings of the columns are self-explanatory and refer to these procedures and declarations. In case of temporary storage, data in the column "Entry summary declaration" of table 1 shall be used.
- 1.4 An "X" in a given cell of the tables indicates that the data element concerned is requested for the procedure or declaration described in the title of the relevant column at the declaration item of goods level. An "Y" in a given cell of the tables indicates that the data element concerned is requested for the procedure or declaration described in the title of the relevant column at declaration header level. A "Z" in a given cell of the tables indicates that the data element concerned is requested for the procedure or declaration described in the title of the relevant column at the conveyance report level. Any combination of these symbols "X", "Y" and "Z" means that the data element concerned can be requested for the procedure or declaration described in the title of the relevant column at any of the levels concerned.
- 1.5 The use within this annex of the words entry and exit summary declarations refer respectively to the summary declarations provided for under Articles 36a (1) and 182a (1) of the Code.
- 1.6 The descriptions and notes contained in section 4 in respect of entry and exit summary declarations and of simplified procedures apply to the data elements referred to in tables 1 to 6.

Note 2. Customs declaration used as an entry summary declaration

- 2.1 Where a customs declaration, as referred to in Article 62 (1) of the Code, is used as a summary declaration, in accordance with Article 36c (1) of the Code, that declaration must, in addition to the particulars required for the specific procedure under Annex 37 or Annex 37A, include the particulars set out in column " Entry summary declaration" of tables 1 to 4.

Where a customs declaration, as referred to in Article 76 (1) of the Code, is used as a summary declaration, in accordance with Article 36c (1) of the Code, that declaration must, in addition to the particulars required for the specific procedure in Table 6, include the particulars set out in column " Entry summary declaration" of tables 1 to 4.

- 2.2 When Article 14b (3) applies and where a customs declaration, as referred to in Article 62 (1) of the Code, is used as a summary declaration, in accordance with Article 36c (1) of the Code, that declaration must, in addition to the particulars required for the specific procedure under Annex 37 or Annex 37A,

include the particulars set out in column " AEO Entry summary declaration" of table 5.

When Article 14b (3) applies and where a customs declaration, as referred to in Article 76 (1) of the Code, is used as a summary declaration, in accordance with Article 36c (1) of the Code, that declaration must, in addition to the particulars required for the specific procedure in Table 6, include the particulars set out in column " AEO Entry summary declaration" of table 5.

Note 3. Customs declaration at export

3.1 Where a customs declaration, as referred to in Article 62 (1) of the Code, is required, in accordance with Article 182b of the Code, that declaration must, in addition to the particulars required for the specific procedure under Annex 37 or Annex 37A, include the particulars set out in column "Exit summary declaration" of tables 1 and 2.

Where a customs declaration, as referred to in Article 76 (1) of the Code, is required, in accordance with Article 182b of the Code, that declaration must, in addition to the particulars required for the specific procedure in Table 6, include the particulars set out in column "Exit summary declaration" of tables 1 and 2.

3.2 When Article 14b (3) applies and where a customs declaration, as referred to in Article 62 (1) of the Code, is required, in accordance with Article 182b of the Code, that declaration must, in addition to the particulars required for the specific procedure under Annex 37 or Annex 37A, include the particulars set out in column "AEO Exit summary declaration" of table 5.

When Article 14b (3) applies and where a customs declaration, as referred to in Article 76 (1) of the Code, is required, in accordance with Article 182b of the Code, that declaration must, in addition to the particulars required for the specific procedure in Table 6, include the particulars set out in column "AEO Exit summary declaration" of table 5.

Note 4 Other specific circumstances in respect of exit and entry summary declarations and particular types of goods traffic. Note to tables 2 to 4.

4.1 The columns "Exit summary declaration – postal and express consignments" and "Entry summary declaration – postal and express consignments" of table 2 cover the required data which may be provided electronically to Customs authorities for risk-analysis purposes prior to departure or arrival of postal and express consignments.

4.2 For the purpose of this annex, a postal consignment means an individual item of a maximum weight of 50 kgs, conveyed via the postal system in accordance with the rules of the Universal Postal Union Convention, when the goods are carried by or on behalf of holders of rights and obligations under such rules.

4.3 For the purpose of this annex, an express consignment means an individual item carried via an integrated service of expedited/time-definite collection, transport, customs clearance and delivery of parcels whilst tracking the location of, and maintaining control over such items throughout the supply of the service.

- 4.4 The column "Exit – ship and aircraft supplies" of table 2 covers the data requirements in respect of exit summary declarations for ship and aircraft supplies.
- 4.5 Tables 3 and 4 contain the information necessary for entry summary declarations in the context of road and rail modes of transport.
- 4.6 Table 3 for road mode of transport applies also in case of multimodal transport, unless otherwise provided in section 4.

Note 5 Simplified procedures

- 5.1 The declarations for simplified procedures referred to in Articles 254, 260, 266, 268, 275, 280, 282, 285, 285a, 288 and 289 contain the information detailed in table 6.
- 5.2 The reduced format for certain data elements provided for simplified procedures does not limit or influence the requirements set out in annexes 37 and 38, notably in respect of the information to be provided in supplementary declarations.

2. Requirements for entry and exit summary declarations

2.1 Situation for air, sea, inland waterways and other modes of transport or situations not referred to under tables 2 to 4 – Table 1.

Name	Exit summary declaration (See note 3.1)	Entry summary declaration (See note 2.1)
Number of items	Y	Y
Unique consignment reference number	X/Y	X/Y
Transport document number	X/Y	X/Y
Consignor	X/Y	X/Y
Person lodging the summary declaration	Y	Y
Consignee	X/Y	X/Y
Carrier		Z
Notify party		X/Y
Identity and nationality of active means of transport crossing the border		Z
Conveyance reference number		Z
First place of arrival code		Z
Date and time of arrival at first place of arrival in Customs territory		Z
Country(ies) of routing codes	Y	Y
Customs office of exit	Y	
Location of goods	Y	
Place of loading		X/Y
Place of unloading code		X/Y
Goods description	X	X
Type of packages (code)	X	X
Number of packages	X	X
Shipping marks	X/Y	X/Y
Equipment identification number, if containerized	X/Y	X/Y
Goods item number	X	X
Commodity code	X	X
Gross mass (kg)	X/Y	X/Y
UN Dangerous Goods code	X	X
Seal number	X/Y	X/Y
Transport charges method of payment code	X/Y	X/Y
Declaration date	Y	Y
Signature / Authentication	Y	Y
Other specific circumstance indicator	Y	Y

2.2 Postal and express consignments, ship and aircraft supplies - Table 2

Name	Exit summary declaration – Postal and express consignments (See notes 3.1, and 4.1 to 4.3)	Exit summary declaration – ship and aircraft supplies (See notes 3.1 and 4.4)	Entry summary declaration – Postal and express consignments (See notes 2.1 and 4.1 to 4.3)
Unique consignment reference number		X/Y	
Transport document number		X/Y	
Consignor	X/Y	X/Y	X/Y
Person lodging the summary declaration	Y	Y	Y
Consignee	X/Y	X/Y	X/Y
Carrier			Z
Country(ies) of routing codes	Y		Y
Customs office of exit	Y	Y	
Location of goods	Y	Y	
Place of loading			Y
Place of unloading code			X/Y
Goods description	X	X	X
Equipment identification number, if containerized.		X/Y	
Goods item number	X	X	X
Commodity code	X	X	X
Gross mass (kg)	X/Y	X/Y	X/Y
UN Dangerous Goods Code	X		X
Transport charges method of payment code	X/Y	X/Y	X/Y
Declaration date	Y	Y	Y
Signature / Authentication	Y	Y	Y
Other specific circumstance indicator	Y	Y	Y

2.3 Road mode of transport - Entry summary declaration information - Table 3

Name	Road - Entry summary declaration (See note 2.1)
Nr. of items	Y
Unique consignment reference number.	X/Y
Transport document number.	X/Y
Consignor	X/Y
Person lodging the summary declaration	Y
Consignee	X/Y
Carrier	Z
Identity and nationality of active means of transport crossing the border	Z
First place of arrival code	Z
Date and time of arrival at first place of arrival in Customs territory	Z
Countries of routing codes	Y
Place of loading	X/Y
Place of unloading code	X/Y
Goods description	X
Type of packages code	X
Number of packages	X
Equipment identification number., if containerised	X/Y
Goods item number	X
Commodity code	X
Gross mass	X/Y
Transport charges method of payment code	X/Y
UN Dangerous Goods Code	X
Seal number	X/Y
Declaration date	Y
Signature / authentication	Y
Other specific circumstance indicator	Y

2.4 Rail mode of transport - Entry summary declaration information - Table 4

Name	Rail - Entry summary declaration (See note 2.1)
Nr. of items	Y
Unique consignment reference number.	X/Y
Transport document number.	X/Y
Consignor	X/Y
Person lodging the entry <u>summary</u> declaration	Y
Consignee	X/Y
Carrier	Z
Identity and nationality of active means of transport crossing the border	Z
Conveyance reference number.	Z
First place of arrival code	Z
Date and time of arrival at first place of arrival in Customs territory	Z
Countries of routing codes	Y
Place of loading	X/Y
Place of unloading code	X/Y
Goods description	X
Type of packages code	X
Nr. of packages	X
Equipment identification number., if containerized	X/Y
Goods item number.	X
Commodity code	X
Gross mass	X/Y
Transport charges method of payment code	X/Y
UN Dangerous Goods Code	X
Seal number.	X/Y
Declaration date	Y
Signature / authentication	Y
Other specific circumstance indicator	Y

2.5 Authorized economic operators - Reduced data requirements for exit and entry summary declarations - Table 5

Name	Exit summary declaration (See note 3.2)	Entry summary declaration (See note 2.2)
Unique consignment reference number	X/Y	X/Y
Transport document number	X/Y	X/Y
Consignor	X/Y	X/Y
Person lodging the summary declaration	Y	Y
Consignee	X/Y	X/Y
Carrier		Z
Notify party		X/Y
Identity and nationality of active means of transport crossing the border		Z
Conveyance reference number		Z
First place of arrival code		Z
Date and time of arrival at first place of arrival in Customs territory		Z
Country(ies) of routing codes	Y	Y
Customs office of exit	Y	
Place of loading		X/Y
Goods description	X	X
Number of packages	X	X
Equipment identification number, if containerized	X/Y	X/Y
Commodity code	X	X
Declaration date	Y	Y
Signature / Authentication	Y	Y
Other specific circumstance indicator	Y	Y

3. Requirements for simplified procedures – Table 6.

Name	Local Clearance export (See note 3.1)	Simplified Declaration export (See note 3.1)	Incomplete Declaration export (See note 3.1)	Local clearance import (See note 2.1)	Simplified Declaration import (See note 2.1)	Incomplete declaration import (See note 2.1)
Declaration		Y	Y		Y	Y
Number of items		Y	Y		Y	Y
Unique consignment reference number	X	X	X	X	X	X
Transport document number	X/Y	X/Y	X/Y	X/Y	X/Y	X/Y
Consignor / exporter	X/Y	X/Y	X/Y			
Consignee				X/Y	X/Y	X/Y
Declarant / representative	Y	Y	Y	Y	Y	Y
Declarant / representative status code	Y	Y	Y	Y	Y	Y
Currency code				X	X	X
Customs office of exit	Y	Y	Y			
Customs office for supplementary declaration			Y			
Goods description	X	X	X	X	X	X
Type of packages (code)	X	X	X	X	X	X
Number of packages	X	X	X	X	X	X
Shipping marks	X/Y	X/Y	X/Y	X/Y	X/Y	X/Y
Equipment identification number, if containerized				X/Y	X/Y	X/Y
Goods item number		X	X		X	X
Commodity code	X	X	X	X	X	X
Gross mass (kg)				X	X	X
Procedure	X	X	X	X	X	X
Net mass (kg)	X	X	X	X	X	X
Item amount				X	X	X
Reference number for simplified procedures	X			X		
Number of the authorization	X	X		X	X	
Additional information				X	X	X
Declaration date	Y	Y	Y	Y	Y	Y
Signature / Authentication	Y	Y	Y	Y	Y	Y

4. Data elements explanatory notes.

Declaration

Enter the codes provided for in annex 38 for SAD box 1, 1st and 2nd subdivisions.

Number of items¹

Total number of items declared in the declaration or in the summary declaration.

[Ref.: SAD box 5]

Unique consignment reference number

Unique number assigned to goods, for entry, import, exit and export. WCO (ISO15459) codes or equivalent shall be used.

Summary declarations: it is an alternative to the transport document number when the latter is not available.

Simplified procedures: the information can be provided where available.

This element provides a link to other useful sources of information.

[Ref.: SAD box 7]

Transport document number

Reference of the transport document that covers the transport of goods into or out of the customs territory.

This includes the code for the type of transport document as provided for in annex 38, followed by the identification number of the document concerned.

This element is an alternative to the unique consignment reference number [UCR] when the latter is not available. It provides a link to other useful sources of information.)

Exit ship and aircraft supplies summary declarations: invoice or loading list number.

Entry road mode of transport summary declarations: this information shall be provided to the extent available and may include both references to TIR carnet and to CMR.

[Ref.: SAD box 44]

Consignor²

Party consigning goods as stipulated in the transport contract by the party ordering transport.

Exit summary declarations: this element must be provided when different from the person lodging the summary declaration".

Consignor / exporter²

Party who makes - or on whose behalf - the export declaration - is made - and who is the owner of the goods or has similar right of disposal over them at the time when the declaration is accepted.

[Ref.: SAD box 2]

Person lodging the summary declaration²

Entry summary declarations: one of the persons mentioned in Article 36b (3) and (4) of the Code.

Exit summary declarations: party defined in Article 182d (3) of the Code. This information shall not be provided where, in accordance with Article 182a (1) of the Code, the goods are covered by a customs declaration.

Note: This information is necessary to identify the person responsible for presenting the declaration.

Consignee²

Party to whom goods are actually consigned.

Entry summary declarations: this element must be provided when different from the person lodging the summary declaration. Where the goods are carried under a negotiable bill of lading that is "to order blank endorsed", the consignee is unknown and his particulars shall be replaced by the following code 10600.

Legal basis	Subject	Box	Code
Annex 30A	Situations where negotiable bills of lading that are "to order blank endorsed" are concerned, in the case of entry summary declarations, where the consignee particulars are unknown.	44	10600

Exit summary declarations: In cases referred to in Article 789, this information shall be provided where available.

[Ref.: SAD box 8]

Declarant / representative²

To be required if different from the consignor / exporter at export / the consignee at import.

[Ref.: SAD box 14]

Declarant / representative status code

Code representing the declarant or the status of the representative. The codes to be used are those provided for in annex 38 for box 14 of the SAD.

Carrier²

Party that transports the goods at entry into the customs territory. This information shall be provided where it is different from the person lodging the summary declaration. This information does not need to be provided where it can be deduced automatically and unambiguously from other data elements provided by the trader.

Notify party²

Party to be notified at entry of the arrival of the goods. This information needs to be provided where applicable. Where the goods are carried under a negotiable bill of lading that is "to order blank endorsed", in which case the consignee is not mentioned and code 10600 is entered, the notify party shall always be provided.

Identity and nationality of active means of transport crossing the border

Identity and nationality of active means of transport crossing the border of the EU Customs territory. The definitions provided for in annex 37 for SAD box 18 shall be used for identity. The codes provided for in annex 38 for SAD box 21 shall be used for nationality.

Rail mode of transport: the wagon number shall be provided.

Conveyance reference number³

Identification of the journey of the means of transport, for example voyage number, flight number, trip number, if applicable.

Rail mode of transport: the train number shall be provided. This data element shall be provided in case of multimodal transport, where applicable.

First place of arrival code

Identification of the first arrival location in the Customs territory. This would be a port for sea, airport for air and border post for land crossing.

The code shall adhere to the following pattern: UN/LOCODE (an..5) + national code (an..6).

Road and rail modes of transport: the code shall follow the pattern provided for customs offices in Annex 38.

Date and time of arrival at first place of arrival in Customs territory

Date and time / scheduled date and time of arrival of means of transport at (for air) first airport, (land) arrival at first border post and (sea) arrival at first port, code. n12 (CCYYMMDDHHMM) shall be used. Local time of first place of arrival shall be provided.

Country(ies) of routing codes

Identification in a chronological order of the countries through which goods are routed between the country of original departure and final destination. This comprises the countries of original departure and of final destination of the goods. Codes provided for in annex 38 for SAD box 2 shall be used. This information is to be provided to the extent known.

Exit postal and express consignments summary declarations: only the country of final destination of the goods shall be provided.

Entry postal and express consignments summary declarations: only the country of original departure of the goods shall be provided.

Currency code

Code provided for in annex 38 for SAD box 22 for the currency in which the commercial invoice was drawn up.

This information is used in conjunction with "Item amount" where it is necessary for the calculation of import duties.

Member States may waive this requirement for simplified declarations and local clearance procedures at import where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration. [Ref.: SAD boxes 22 and 44]

Customs office of exit

Code provided for in annex 38 for SAD box 29 for the customs office of exit, in accordance with Article 793 (2).

Exit postal and express consignments summary declarations: this element does not need to be provided where it can be deduced automatically and unambiguously from other data elements provided by the trader.

Customs office for supplementary declaration

Export incomplete declarations: this element may only be used in cases referred to under Article 281 (3)

Location of goods ⁽³⁾

Precise location where the goods may be examined.

[Ref.: SAD box 30]

Place of loading ⁽²⁾

Name of a seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage, including the country where it is located.

Entry postal and express consignments summary declarations: this element does not need to be provided where it can be deduced automatically and unambiguously from other data elements provided by the trader.

Road and rail modes of transport: this can be the place where goods were taken over according to the transport contract or the TIR customs office of departure.

Place of unloading ⁽²⁾

Name of the seaport, airport, freight terminal, rail station or other place at which the goods are unloaded from the means of transport having been used for their carriage, including the country where it is located.

Road and rail modes of transport: where the code is not available, the name of the place shall be provided, with the maximum level of precision available.

Note: This element provides useful information for procedure management.

Goods description

Summary declarations: it is a plain language description that is precise enough for Customs services to be able to identify the goods. General terms (i.e. "consolidated", "general cargo" or "parts") cannot be accepted. A list of such general terms will be published by the Commission. It is not necessary to provide this information where the Commodity code is provided.

Simplified procedures: it is a description for tariff purposes.

[Ref.: SAD box 31]

Type of packages (code)

Code specifying the type of package as provided for in Annex 38 for SAD box 31 (UN/ECE Recommendation 21 Annex VI)

Number of packages

Number of individual items packaged in such a way that they cannot be divided without first undoing the packing, or number of pieces, if unpackaged. This information shall not be provided where goods are in bulk.

[Ref.: SAD box 31]

Shipping marks

Free form description of the marks and numbers on transport units or packages.

This information shall only be provided for packaged goods where applicable. Where goods are containerized, the container number can replace the shipping marks, which can however be provided by the trader where available. A UCR or the references in the transport document that allows for the unambiguous identification of all packages in the consignment may replace the shipping marks.

Note: This element helps to identify consignments.

[Ref.: SAD box 31]

Equipment identification number, if containerized

Marks (letters and/or numbers) which identify the container.

[Ref.: SAD box 31]

Goods item number ⁽¹⁾

Number of the item in relation to the total number of items contained in the declaration or the summary declaration.

To be used only where there is more than one item of goods.

Note: This element, which is automatically generated by computer systems, helps to identify the item of goods concerned within the declaration.

[Ref.: SAD box 32]

Commodity code

Code number corresponding to the item in question;

Entry summary declarations: first four digits of the CN code; It is not necessary to provide this information where the goods description is provided.

Import simplified procedures: 10-digit TARIC code. Traders may supplement this information, where appropriate, with additional TARIC codes. Member States may waive this requirement for simplified declarations and local clearance procedures at import where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration.

Exit summary declarations: first four digits of the CN code. It is not necessary to provide this information where the goods description is provided.

Exit ship and aircraft supplies summary declarations: a specific simplified goods nomenclature will be published by the Commission.

Export simplified procedures: 8-digit CN code. Traders may complement this information, where appropriate, with additional TARIC codes. Member States may waive this requirement for simplified declarations and local clearance procedures at export where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration.

[Ref.: SAD box 33]

Gross mass (kg)

Weight (mass) of goods including packaging but excluding the carrier's equipment for the declaration.

Where possible, the trader can provide that weight at declaration item level.

Import simplified procedures: this information shall be provided only where it is necessary for the calculation of import duties.

Member States may waive this requirement for simplified declarations and local clearance procedure at import where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration.

[Ref.: SAD box 35]

Procedure

Procedure code as provided for in Annex 38 for SAD box 37, 1st and 2nd subdivisions.

Member States may waive the obligation to provide the codes as defined in Annex 38 for box 37, 2nd subdivision of the SAD for simplified declarations and local clearance procedures at import

and export where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration.

Net mass (kg)

Weight (mass) of the goods themselves without any packing.

Member States may waive this requirement for simplified declarations and local clearance procedures at import and export where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration.

[Ref.: SAD box 38]

Item amount

Price of the goods for the declaration item concerned. This information is used in conjunction with "Currency code" where it is necessary for the calculation of import duties.

Member States may waive this requirement for simplified declarations and local clearance procedures at import where the conditions prescribed in the authorisations associated with these procedures allow them to defer the collection of this data element in the supplementary declaration.

[Ref.: SAD box 42]

Reference number for simplified procedures

It is the reference number of entry into the records for the procedures described in Articles 266 and 285a. Member States may waive this requirement where other satisfactory consignments tracing systems are in place.

Additional information

Enter code 10100 where Article 2 paragraph 1 of Regulation No 1147/2002⁴ applies (goods imported with airworthiness certificates).

[Ref.: SAD box 44]

Number of the authorization

Number of the authorization for simplified procedures. Member States may waive this requirement where they are satisfied that their computer systems are able to derive this information without ambiguity from other elements of the declaration, such as the trader identification.

UN Dangerous Goods code

The United Nations Dangerous Goods Identifier (UNDG) is the unique serial number (n4) assigned within the United Nations to substances and articles contained in a list of the dangerous goods most commonly carried.

This element shall only be provided where it is relevant.

Seal number ⁽³⁾

The identification numbers of the seals affixed to the transport equipment, where applicable.

Transport charges method of payment code

The following codes shall be used:

A Payment in cash

B Payment by credit card

- C Payment by cheque
- D Other (e. g. direct debit to cash account)
- H Electronic credit transfer
- Y Account holder with carrier
- Z Not pre-paid

This information is to be provided only where available.

Declaration date ⁽¹⁾

Date at which the respective declarations were issued and when appropriate, signed or otherwise authenticated.

For local clearance procedures pursuant to Articles 266 and 285a, this is the date of entry into the records.

[Ref.: SAD box 54]

Signature / Authentication ⁽¹⁾

[Ref.: SAD box 54]

Other specific circumstance indicator

Coded element that indicates the special circumstance the benefit of which is claimed by the trader concerned.

- A Postal and express consignments
- B Ship and aircraft supplies
- C Road mode of transport
- D Rail mode of transport
- E Authorized economic operators

This element needs to be provided only where the benefit of a special circumstance other than those referred to under table 1 is requested by the person lodging the summary declaration.

This element does not need to be provided where it can be deduced automatically and unambiguously from other data elements provided by the trader.

¹ Automatically generated by computer systems.
² Coded version, where available.
³ Information to be produced where appropriate.
⁴ OJ L 170, 29/06/2002 p.8 - 10