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Application User Manual

CBAM Declarant Portal ver 1.2.2

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1 INTRODUCTION

1.1 DOCUMENT PURPOSE

The purpose of this document is to provide directions to reporting declarants and CBAM declarants (referred to in this document CBAM declarants) on how to utilise the CBAM Trader Portal (referred to in this document CBAM Declarant Portal).

1.2 TARGET AUDIENCE

The target audience for this document includes:

- Importers of CBAM goods into the EU or their authorised indirect customs representatives (reporting declarants or CBAM declarants);
- Directorate-General Taxation and Customs Union (DG TAXUD)‘s Project team;
- Directorate-General Taxation and Customs Union (DG TAXUD) -Business Units C.2 and C.5;
- Directorate General for Informatics (DIGIT);
- Directorate-General for Climate Action (DG CLIMA);
- EU Member states and their National Competent Authorities;
- SOFT-DEV Project team;
- Quality Assurance Contractor;
- Operational teams.

1.3 SCOPE

The scope of this document is to provide directions to CBAM Reporting Declarants on the effective utilisation of the CBAM Declarant Portal for the Transitional Registry. The features described comply with CBAM Release 1.2

1.4 STRUCTURE

This document is organised as follows:

- **Chapter 1 – Introduction:** describes the scope and the objectives of the document;
- **Chapter 2 – General Information:** provides the practical and theoretical details for the topic treated in the document;
- **Chapter 3 – Getting Started:** details how to access the portal and introduces the basis system functions;
- **Chapter 4 - Using the System as CBAM Reporting Declarant:** describes the CBAM Declarant Portal functionalities;
- **Annex -** CBAM Report Structure in XSD format and a sample ZIP is provided in this section.

1.5 REFERENCE DOCUMENTS

The table below lists the documents that are referred to in the current document.

Ref.	Title	Originator	Version	Date
R01	Access Management through UUM&DS	TBA	0.20	TBA

Table 1: Reference documents

1.6 APPLICABLE DOCUMENTS

The table below lists the documents to which the current document must be compliant (e.g. FWC, SC, RfA).

Ref.	Title	Originator	Version	Date
A01	SOFT-DEV Framework Quality Plan	SDEV-FQP	1.00	10/01/2023
A02	SOFT-DEV Framework Contract	TAXUD/2021/CC/162	N/A	24/06/2021
A03	Specific Contract 13	TAXUD/2023/DE/134	N/A	05/04/2023
A04	RfA-379 - CARBON BORDER ADJUSTMENT MECHANISM (CBAM) – Transitional Period IT system Release 1.10	Ares(2023)4500958	1.20	29/06/2023

Table 2: Applicable documents

1.7 ABBREVIATIONS & ACRONYMS

For a better understanding of the present document, the following table provides a list of the principal abbreviations and acronyms used.

See also the ‘list of acronyms’ on TEMPO.

Abbreviation/Acronym	Definition
CBAM	Carbon Border Adjustment Mechanism
CN	Combined Nomenclature
CO2	Carbon Dioxide
COM	European Commission
CRS	Customs Customer Reference Services
CS/RD2	Central Services Reference Data
DG CLIMA	Directorate-General for Climate Action
DG TAXUD	Directorate General for Taxation and Customs Union
DIGIT	Directorate General for Informatics
DOC	Microsoft Word document
DOCX	Microsoft Word Open XML format document
EORI	Economic Operators Registration and Identification
ETS	Emissions Trading System
EU	European Union
GNSS	Global Navigation Satellite System
GPS	Global Positioning System
HS	Harmonized System Codes
ID	Identification number
JPEG	Joint Photographic Experts Group
MIME	Multipurpose Internet Mail Extensions

Abbreviation/Acronym	Definition
MS	Member State
MWH	Megawatt Hour
N/A	Not available
NCA	National Competent Authority
NIS	National Import System
PDF	Portable Document Format
PSM	Public-Subscribe Mechanism
SPEED2ng	SPEED2 Gateway is used for exchanging messages with partner countries (for example MRA exchanges, NCTS TIR messages and in the future SSTL messages). The messages are exchanged via dedicated VPN links and the exchange protocols vary (Web Services, MQ Asynchronous Transport Protocol, AS2, AS3 and AS4 protocols).
UCC	Union Customs Code
UI	User Interface
URI	Universal Resource Identifier
URL	Uniform Resource Locator
UUM&DS	Uniform User Management and Digital Signatures
XLS	Microsoft Excel spreadsheet file
XLSX	Microsoft Excel Open XML spreadsheet file
XML	eXtensible Markup Language
XSD	XML Schema Definition
ZIP	Compressed file

Table 3: Abbreviations and acronyms

1.8 DEFINITIONS

For a better understanding of the present document, the following table provides a list of the principal terms used.

See also the ‘glossary’ on TEMPO.

Term	Definition
CBAM Goods	Goods listed in Annex I of CBAM Regulation.
Third country	A country or territory outside the customs territory of the Union.
Default value	The Default value is the intensity of emissions. It expresses the quantity of CO2 emissions per measurement unit of product. Per legislation, the definition is a value, which is calculated or drawn from secondary data, which represents the embedded emissions in goods.
NCA	National Competent Authority.
Operator	Any person who operates or controls an installation in a third country.
Installation	A stationary technical unit where a production process is carried out.
Indirect customs representative	The indirect customs representative declared in imports customs declaration.

Term	Definition
Importer	Either the person lodging a customs declaration for release for free circulation of goods in its own name and on its own behalf or, where the customs declaration is lodged by an indirect customs representative in accordance with Article 18 of Regulation (EU) No 952/2013, the person on whose behalf such a declaration is lodged.
Carbon price	The monetary amount paid in a third country, under a carbon emissions reduction scheme, in the form of a tax, levy or fee or in the form of emission allowances under a greenhouse gas emissions trading system, calculated on greenhouse gases covered by such a measure, and released during the production of goods.
Indirect emissions	Means emissions from the production of electricity, which is consumed during the production processes of goods, irrespective of the location of the production of the consumed electricity.

Table 4: Definitions

1.9 CBAM QUARTERLY REPORT DATA ELEMENTS

For a better understanding of the present document, the following table provides a list of the principal terms used.

See also the 'glossary' on TEMPO.

Data element name	Definition
CBAM Report	The CBAM Quarterly Report that Declarants will submit.
Report issue date	The date that the CBAM report is submitted.
Draft report ID	The unique ID that the Declarant inserts when the report is created (and saved) to identify their report.
Report ID	This unique ID is allocated by the system once the report is submitted.
Reporting Period	The Quarter of the year. This is a CBAM CS/RD2 List that contains the Quarters of a year (Q1, Q2, Q3, Q4).
Year	The year for which the report is submitted.
Total goods imported	The total Goods that are declared in the CBAM report
Total emissions	The total of the emissions for all the Goods declared in the CBAM report.
--Reporting declarant	The entity that contains information about the Declarant.
Identification number	The ID of the Declarant. This is their EORI number.
Name	The full name (person or company) of the Declarant.
Role	The role that the Declarant has. If they are the importer or an indirect customs representative.
----Address	This element is used to hold the Report Declarant Address
Member State of establishment	The country where the Declarant is established
Sub-division	The sub-division where the Declarant is located.
City	The city where the Declarant is located.
Street	The street name where the Declarant is located.
Street additional line	The street name where the Declarant is located.
Number	The number of the street where the Declarant is located.
Postcode	The postcode of the location of the Declarant.
P.O. Box	The P.O. box of the address.

Data element name	Definition
--Indirect customs representative	This entity is to define the information about the Indirect customs representative in the Header level
Identification number	The ID of the indirect customs representative. This is their EORI number.
Name	The full name (person or company) of the indirect customs representative.
----Address	This element is used to hold the indirect customs representative's address
Member State of establishment	The country where the Indirect customs representative is established
Sub-division	The sub-division where the indirect customs representative is located.
City	The city where the indirect customs representative is located.
Street	The street name where the indirect customs representative is located.
Street additional line	The street name where the indirect customs representative is located.
Number	The number of the street where the indirect customs representative is located.
Postcode	The postcode of the location of the indirect customs representative.
P.O. Box	The P.O. box of the address.
--Importer	The entity that contains information about the Importer that is represented by the Declarant. The Importer can either be declared at Header level, if the same importer is common for all Goods Imported, or in the Goods Imported level.
Identification number	The ID of the importer. This is their EORI number of European importers or TINT for International importers
Name	The full name (person or company) of the importer.
----Address	This element is used to hold the importer's address
Member state or Country of establishment	The country where the Importer is established (either a Member state of the EU or a third country).
Sub-division	The sub-division where the importer is located.
City	The city where the importer is located.
Street	The street name where the importer is located.
Street additional line	The street name where the importer is located.
Number	The number of the street where the importer is located.
Postcode	The postcode of the location of the importer.
P.O. Box	The P.O. box of the address.

Data element name	Definition
--Competent authority	This entity contains information regarding the Competent Authority where the Declarant is established.
Reference number	The Name or Reference number of the Competent Authority.
--Signatures	There are two types of signatures in the CBAM Report. The first one is related to the information in the report. The Declarant must confirm that the information in the CBAM report is accurate and complete. The second is only applicable if the type of applicable rules selected is not CBAM-specific. In this case, the Declarant must confirm that the used applicable rules are comparable to the CBAM applicable rules
----Report confirmation	This entity is used to hold the confirmation related to the contents of the CBAM Report.
Report global data confirmation	This element holds the confirmation of the Declarant regarding the information of the CBAM Report.
Use of data confirmation	This element holds the confirmation of the Declarant regarding his approval to use the data of the CBAM Report for the review process.
Date of signature	This element holds the date when the confirmation was signed.
Place of signature	This element holds the place where the confirmation was signed.
Signature	This element is used to hold the full name of the Declarant.
Position of person signing	This element is used to hold the Position of the Declarant
----Type of applicable reporting methodology	This entity is used for the confirmation of the type of applicable reporting methodologies, in case that the selected one is not the CBAM-specific.
Other applicable reporting methodology	This element is used to hold the confirmation of the “other” applicable reporting methodology used.
--Remarks	This is an entity that exists in all levels of the report in order the Declarant to insert additional information.
Additional information	In this element, the Declarant can write any additional information that applies in the location where this is positioned
--CBAM goods imported	This is the entity that contains the information for the CBAM Good. There may be up to 99999 CBAM Goods in a CBAM report.
Goods item number	This element specifies the item number of every CBAM Good imported of the CBAM Report. It is a sequential number.
----Indirect customs representative	This entity is to define the information about the Indirect customs representative in the CBAM goods Imported level of the CBAM Report.
Identification number	The ID of the indirect customs representative. This is their EORI number.

Data element name	Definition
Name	The full name (person or company) of the indirect customs representative.
------Address	This element is used to hold the indirect customs representative's address
Member State of establishment	The country where the Indirect customs representative is established
Sub-division	The sub-division where the indirect customs representative is located.
City	The city where the indirect customs representative is located.
Street	The street name where the indirect customs representative is located.
Street additional line	The street name where the indirect customs representative is located.
Number	The number of the street where the indirect customs representative is located.
Postcode	The postcode of the location of the indirect customs representative.
P.O. Box	The P.O. box of the address.
----Importer	This entity is to define the information about the importer in the CBAM goods Imported level of the CBAM Report
Identification number	The ID of the importer. This is their EORI number of European importers or TINT for International importers
Name	The full name (person or company) of the importer.
------Address	This element is used to hold the importer's address
Member state or Country of establishment	The country where the importer is established
Sub-division	The sub-division where the importer is located.
City	The city where the importer is located.
Street	The street name where the importer is located.
Street additional line	The street name where the importer is located.
Number	The number of the street where the importer is located.
Postcode	The postcode of the location of the importer.
P.O. Box	The P.O. box of the address.
----Commodity code	This is an entity that contains the HS Code and the CN Code of the CBAM Good.
Harmonized System sub-heading code	This is the HS Code of the CBAM Good. It is a 6-digit code.
Combined nomenclature code	This is the CN Code of the CBAM Good. It is a 2-digit code that is added in the HS code.
------Commodity details	This is an entity that contains the description element of the CBAM Good.
Description of goods	This is an element that can be used in order to describe the CBAM Good.
----Country of origin	This is an entity to declare the Country of Origin of the CBAM Good.
Country code	The two letter code of a country.

Data element name	Definition
----Imported quantity per customs procedure	This is the Entity that contains information regarding the Customs procedures and the related quantities of the CBAM good.
Sequence number	
------Procedure	This is an entity that contains the Customs Procedures.
Requested procedure	The Customs Requested Procedure.
Previous procedure	The Customs Previous Procedure.
--------Inward processing information	This entity contains information regarding the Inward processing procedure. The inward processing customs procedure is used for Goods that enter the EU territory to be processed by EU manufacturers.
Member State of inward processing authorization	The Member State which authorised the inward processing.
Inward processing waiver for Bill of discharge	The Inward processing waiver for the Bill of discharge.
Authorisation	The Authorisation related to the inward processing.
Globalisation time start	The start date of the Globalisation timer. Each authorization comes with a specific globalization time frame depending on the calculation of the required time for processing.
Globalisation time end	The end date of the Globalisation timer. Each authorization comes with a specific globalization time frame depending on the calculation of the required time for processing.
Deadline for submission of Bill of discharge	The deadline for the submission of the Bill of discharge. Typically it will be one month after the end date of the Globalisation timer.
------Area of import	This is an entity that contains the area of import element.
Area of import	The area where the CBAM Good was imported. Two possible values: EU by means of Customs import declaration and EU by other means.
------Goods measure (per procedure) Actual products release / Actual product released to other products	This Data Group contains the quantity of the CBAM Good per Customs procedure.
Procedure indicator	The procedure indicator. Inward Processing = 1, Other = 0
Net mass	The Net mass is to be used for the CBAM Goods that are measured in kgs (or in tonnes). Please use a dot (.) to separate decimals.
Supplementary units	The Supplementary units is to be used for the CBAM Goods that have a special measurement unit.
Type of measurement unit	The type of measurement unit of the quantity of the CBAM Good.
------Special references for goods	This is an entity to use in case there are special references related to the CBAM Good.

Data element name	Definition
Additional information	In this element, the Declarant can write any additional information that applies in the location where this is positioned.
----Goods measure (imported)	This is the entity that contains the quantity of the CBAM goods imported
Net mass	The Net mass is to be used for the CBAM Goods that are measured in kgs (or in tonnes).
Supplementary units	The Supplementary units is to be used for the CBAM Goods that have a special measurement unit.
Type of measurement unit	The type of measurement unit of the quantity of the CBAM Good.
----Goods imported total emissions	This is an entity to use in case there are special references related to the CBAM Good.
Goods emissions per unit of product	In this element, the Declarant can write any additional information that applies in the location where this is positioned. The value is the result of the following division: Goods total emissions / Goods measure (imported) - either the Net mass or the Supplementary units.
Goods total emissions	This element is used to hold the total emissions of both direct and indirect emissions. The value of this field must be equal to the sum of Good direct emissions + Good indirect emissions.
Goods direct emissions	This element is used to hold the total emissions of direct emissions. The value of this field must be equal to the sum of all the installation direct emissions.
Goods indirect emissions	This element is used to hold the total emissions of indirect emissions. The value of this field must be equal to the sum of all the installation indirect emissions.
Type of measurement unit for emissions	This element is to indicate that the emissions are measured in tonnes.
----Supporting documents (for Goods)	This entity exists in the CBAM Goods imported level. It used to provide any supporting documents.
Sequence number	Supporting document sequence number.
Type	The type of supporting document (it is in the Supporting Documents (for Goods)).
Country of document issuance	The country where the supporting document was issued.
Reference number	The reference number of the supporting document.
Document line item number	The document line item number is the number of the Goods imported entry in which the supporting documents corresponding to.
Issuing authority name	The authority that issued the supporting document.
Validity start date	The start date of validity of the supporting document.
Validity end date	The end date of validity of the supporting document.
Description	A text element to describe the supporting document.
------Attachments	This is an entity used to attach a file or provide a URL.

Data element name	Definition
Filename	The file name of the attachment.
URI	The URI link of an attachment.
MIME	The MIME of the attachment.
Included binary object	The actual binary object.
----Remarks	This is an entity that exists in all levels of the report in order the Declarant to insert additional information.
Additional information	In this element, the Declarant can write any additional information that applies in the location where this is positioned.
----CBAM Goods Emissions	This is the entity for the CBAM Good emissions. For each CBAM Good, there can be up to 999 entries for each CBAM Good.
Emissions sequence number	This element specifies the number of every CBAM Good emission. It is a sequential number.
Country of production	This element specified the country of production
------The company name of the installation	This is an entity to define the information related to the company name of the installation.
Operator ID	The unique identification number of the operator. The operator ID is an alphanumeric value defined by the user.
Operator Name	The Name of the operator.
--------Address	This entity is to define the Address details of the company name of the Installation.
Country code	Installation's Country code
Sub-division	The sub-division where the operator is located.
City	The city where the operator is located.
Street	The street name where the operator is located.
Street additional line	The street name where the operator is located.
Number	The number of the street where the operator is located.
Postcode	The postcode of the location of the operator.
P.O. Box	The P.O. box of the address.
--------Contact Details	This entity is to define the Contact Details of the Installation Operator.
Name	The full name (person or company) of the Declarant.
Phone number	The phone number of the person that is assigned in the contact details of the operator.
e-mail	The email of the person that is assigned in the contact details of the operator.
------Installation	This entity is to define the Installation (Factory) information where the CBAM Good is produced.

Data element name	Definition
Installation ID	The unique ID of the Installation. The installation ID is an alphanumeric value defined by the user.
Installation name	The name of the Installation.
Economic activity	The definition of the economic activity of the installation.
--------Address	The address of the Installation.
Country of establishment	The country where the installation that produced the good or the Declarant is established.
Sub-division	The sub-division where the installation is located.
City	The city where the installation is located.
Street	The street name where the installation is located.
Street additional line	The street name where the installation is located.
Number	The number of the street where the installation is located.
Postcode	The postcode of the location of the installation.
P.O. Box	The P.O. box of the address.
Plot or parcel number	The plot or parcel number.
UNLOCODE	The UNLOCODE as defined in UCC Annex B.
Latitude	The latitude of the coordinates.
Longitude	The longitude of the coordinates.
Type of coordinates	The type of coordinates (GPS/GNSS).
------Goods measure (Produced)	This is the entity that contains the quantity of the CBAM Good that is produced in the specific installation.
Net mass	The Net mass is to be used for the CBAM Goods that are measured in kgs (or in tonnes).
Supplementary units	The Supplementary units is to be used for the CBAM Goods that have a special measurement unit.
Type of measurement unit	The type of measurement unit of the quantity of the CBAM Good.
------Installation emissions	This is the entity that contains the emissions that were produced in the Goods emissions.
Installation total emissions	The total quantity of emissions produced for the particular Goods' quantity. The value of this field must be equal to the sum of Installation direct emissions + Installation indirect emissions.
Installation direct emissions	The quantity of Installation's direct emissions produced for the particular Goods' quantity. The value of this field must be equal with the result of the following formulae: Goods measure x Specific (direct) embedded emissions.

Data element name	Definition
Installation indirect emissions	The quantity of indirect emissions produced for the specific particular Goods' quantity. The value of this field must be equal with the result of the following formulae: Goods measure x Specific (indirect) embedded emissions.
Type of measurement unit for emissions	This element is to indicate that the emissions are measured in tonnes.
------Direct Embedded Emissions	This is the entity that contains the information related to the direct embedded emissions.
Type of determination	The type of determination of the direct embedded emissions. The possible values are: Actual data, Default value.
Type of determination (electricity)	The type of determination of the direct embedded emissions in case that the CBAM Good is electricity.
Type of applicable reporting methodology	The type of applicable reporting methodology used to calculate the emissions of the CBAM Good while being produced.
Applicable reporting methodology	The actual applicable reporting methodology used.
Specific (direct) embedded emissions	This is the element to declare the emissions per measurement unit of the product produced.
Other source indication	This element is used to declare a different source.
Source of emission factor (for electricity)	The source of emission factor in case that the CBAM Good is electricity.
Emission factor	This element is used only when CBAM Good is Electricity and expresses the tonnes of CO2 per MWh.
Electricity imported	The quantity of electricity imported. It is only applicable in the Direct embedded emissions when CBAM Good is electricity.
Total embedded emissions of electricity imported	The amount of CO2 tonnes produced for the quantity of the electricity imported. It is only applicable in the Direct embedded emissions when CBAM Good is electricity.
Type of measurement unit	The type of measurement unit of the quantity of the CBAM Good.
Source of emission factor value	This is used to declare any extra information regarding the source of the emissions value.
Justification	This is used to justify the alternative default value used in the emission factor. It is only applicable in the Direct embedded emissions when CBAM Good is electricity.
Fulfillment of conditionality	This is used to declare any information related to the actual data declared. It is only applicable in the Direct Embedded Emissions when CBAM Good is electricity.
------Indirect Embedded Emissions	This is the entity that contains the information related to the indirect embedded emissions. Not applicable when Electricity is selected as a good"
Type of determination	The type of determination of the indirect embedded emissions. The possible values are: Actual data, Default value.
Source of emission factor	This element is used to declare literature or published information by the statistics office.

Data element name	Definition
Emission factor	This element is used to express the tonnes of CO2 per MWh
Specific (indirect) embedded emissions	This is the element to declare the emissions per measurement unit of the product produced. When automatically calculated, the value of this element is the result of the following formula: Electricity consumed (MWh/tonne of CBAM Good) * emissions factor (Tonnes CO2/MWh).
Type of measurement unit	The type of measurement unit of the quantity of the CBAM Good.
Electricity consumed	The electricity consumed (MWH per measurement unit of product).
Source of electricity	This element is used to declare the source of electricity. Possible values: “Auto-produced”, “(bilateral) power purchase agreement”, “received from the grid".
Other source indication	This element is used to declare a different source.
Source of emissions factor value	This is used to declare any extra information regarding the source of the emissions value. It is only applicable in the Direct embedded emissions when CBAM Good is electricity.
------Production method & Qualifying parameters	This entity contains the information related to the Production method and the emissions qualifying parameters.
Sequence number	Production method sequence number
Method ID	The unique identity of the production method.
Method name	The production method name.
Identification number of the specific steel mill	Specific steel mill identification number
Additional Information	In this element, the Declarant can write any additional information that applies in the location where this is positioned.
--------Direct Emissions qualifying parameters	This entity contains the information related to the direct emissions qualifying parameters.
Sequence number	The sequential number of the parameter
Type of determination	The type of determination of the direct embedded emissions. The possible values are: Actual data, Default value.
Parameter ID	ID of the parameter used
Parameter name	Name of the parameter used
Description	A text element to describe the parameter
Type of parameter value	The type of the parameter (e.g., Percentage, numeric, text)
Parameter value	The actual value of the parameter
Additional information	In this element, the Declarant can write any additional information that applies in the location where this is positioned.
--------Indirect Emissions qualifying parameters	This entity contains the information related to the indirect emissions qualifying parameters.
Sequence number	The sequential number of the parameter

Data element name	Definition
Type of determination	The type of determination of the direct embedded emissions. The possible values are: Actual data, Default value.
Parameter ID	ID of the parameter used
Parameter name	Name of the parameter used
Description	A text element to describe the parameter
Type of parameter value	The type of the parameter (e.g., Percentage, numeric, text)
Parameter value	The actual value of the parameter
Additional information	In this element, the Declarant can write any additional information that applies in the location where this is positioned.
------Supporting Documents (for emissions definition)	This entity exists in the CBAM Goods emissions level. It used to provide any supporting documents.
Sequence number	The document's sequential number
Type of emissions document	This element is used to define the type of emissions document that is declared. It is in the Supporting Documents (for emissions definition).
Country of document issuance	The country where the supporting document was issued.
Reference number	The reference number of the supporting document.
Document line item number	The document line item number is the number of the Goods imported entry in which the supporting documents corresponding to.
Issuing authority name	The authority that issued the supporting document.
Validity start date	The start date of validity of the supporting document.
Validity end date	The end date of validity of the supporting document.
Description	A text element to describe the supporting document.
--------Attachments	This is an entity used to attach a file or provide a URL.
Filename	The file name of the attachment.
URI	The URI link of an attachment.
MIME	The MIME of the attachment.
Included binary object	The actual binary object.
------Carbon price due	This entity is used to provide information regarding CO2 emissions that were due.
Sequence number	The sequential number of the Carbon price due
Type of instrument	This element is used to describe the type of instrument used. Possible values are: Carbon tax, Carbon price paid in the context of a national ETS, Carbon price paid in the context of a regional ETS.

Data element name	Definition
Description and indication of legal act	This element is used to reference the description of the legal act.
Amount of the carbon price due	The amount of the CO2 emissions due.
Currency	The currency used for the declared amount to be paid.
Exchange rate	The exchange rate of the currency related to EURO. This is a baseline exchange rate defined by the EU commission.
Amount (EURO)	The Amount of the carbon price due expressed in EURO currency.
Country code	The country's code.
--------Goods covered under carbon price due	This entity is used to provide information regarding the goods for which the CO2 emissions were due.
Sequence number	Sequence number.
Type of goods covered	This element is used to declare the type of good that is covered under the carbon price due. It is a codified list with possible values: Actual product or Other materials.
CN code of goods covered	The CN code of the product covered. This is used only for information purposes.
Quantity of emissions covered	The quantity of emissions covered.
Quantity covered by free allocations, any rebate or any other form of compensation	The quantity of emissions covered by any form of compensation.
Supplementary information	This element is used to enter any supplementary information related to the product covered under price paid.
Additional information	In this element, the Declarant can write any additional information that applies in the location where this is positioned.
----------Goods measure (Covered)	This entity is used to provide the quantity of the Good for which the emissions are already paid.
Net mass	The Net mass is to be used for the CBAM Goods that are measured in kgs (or in tonnes).
Supplementary units	The Supplementary units is to be used for the CBAM Goods that have a special measurement unit.
Type of measurement unit	The type of measurement unit of the quantity of the CBAM Good.
------Remarks	This is an entity that exists in all levels of the report in order the Declarant to insert additional information.
Sequence number	Remarks sequence number
Additional Information	Any additional information

Table 5: Definitions

2 GENERAL INFORMATION

2.1 SYSTEM OVERVIEW

Carbon Border Adjustment Mechanism (CBAM) Declarant Portal is the interface offered to CBAM Reporting Declarants to submit & manage the quarterly reports in the CBAM Transitional Registry.

CBAM Regulation will start applying, without financial adjustments, as of 1st October 2023 followed by a transitional period until `1st January 2026. During this period importers of goods falling within the scope of the CBAM will only have to report greenhouse gas emissions embedded in their imports and there will be no financial adjustment.

Importers have to report on a quarterly basis the embedded emissions in goods imported during the previous quarter of the calendar year, declaring direct and indirect emissions as well as any carbon price effectively paid abroad. This reporting-only process will apply until the end of 2025, with the last CBAM report, for the fourth quarter of 2025 (1st October - 31st December) to be submitted by 31st January 2026. The reports can start in CBAM Declarant portal once the reporting period has ended (e.g., for Q4 2023, the reporting can start on 1st January 2024.

Any CBAM goods importer established in an EU Member State is liable to submit the reports in CBAM Declarant Portal. Per Article 2 Definitions in Implementing Regulation (17/8/2023), the CBAM Report can be submitted by one of the following:

(a) the importer who lodges a customs declaration for release for free circulation of goods in its own name and on its own behalf;

(b) the person, holding an authorisation to lodge a customs declaration referred to in Article 182(1) of Regulation (EU) No 952/2013, who declares the importation of goods;

(c) the indirect customs representative, where the customs declaration is lodged by the indirect customs representative appointed in accordance with Article 18 of Regulation (EU) No 952/2013, when the importer is established outside the Union or where the indirect customs representative has agreed to the reporting obligations in accordance with Article 32 of Regulation (EU) 2023/956.

A CBAM Reporting Declarant can submit a report for multiple importers if needed. One CBAM report per quarter can be submitted.

The CBAM Declarant Portal is accessible using internet browsers. Authorisation is provided by UUM&DS.

2.2 AUTHORIZED USE PERMISSION

CBAM Declarant Portal is allowed to be used by CBAM Reporting Declarants who are registered in UUM&DS.

2.3 USER SUPPORT

CBAM Reporting Declarants need to contact their respective National Competent Authority Service Desk both for business & technical issues.

3 GETTING STARTED

3.1 ROLES AND RESPONSIBILITIES

Access to CBAM Declarant Portal is only allowed to Importers and Indirect Custom Representatives who have registered in UUM&DS and have obtained the CBAM Reporting Declarant Business profile. Their respective NCA or National Customs Administration (depending on the user access management solution each NCA will opt for) will be responsible to assign the CBAM Reporting Declarant business profile in UUM&DS where applicable. User registration procedure is defined in [R01] listed in 1.5 Reference documents.

3.2 ACCESS THE CBAM DECLARANT PORTAL

Access to the CBAM Reporting Declarant Portal is established via UUM&DS. UUM&DS is used to authenticate CBAM Reporting Declarants.

Step #1: The user accesses the following link:
https://cbam.ec.europa.eu/declarant
Step #2: The user is redirected to the application's UUM&DS authentication page, where the following information needs to be filled in: Country, Type of Actor, Type of ID, ID. The domain can either be "Carbon Border Adjustment Mechanism" or "Customs" based on national decision. Delegation to Employees is supported, please refer to UUM&DS Manual R01 for further information.

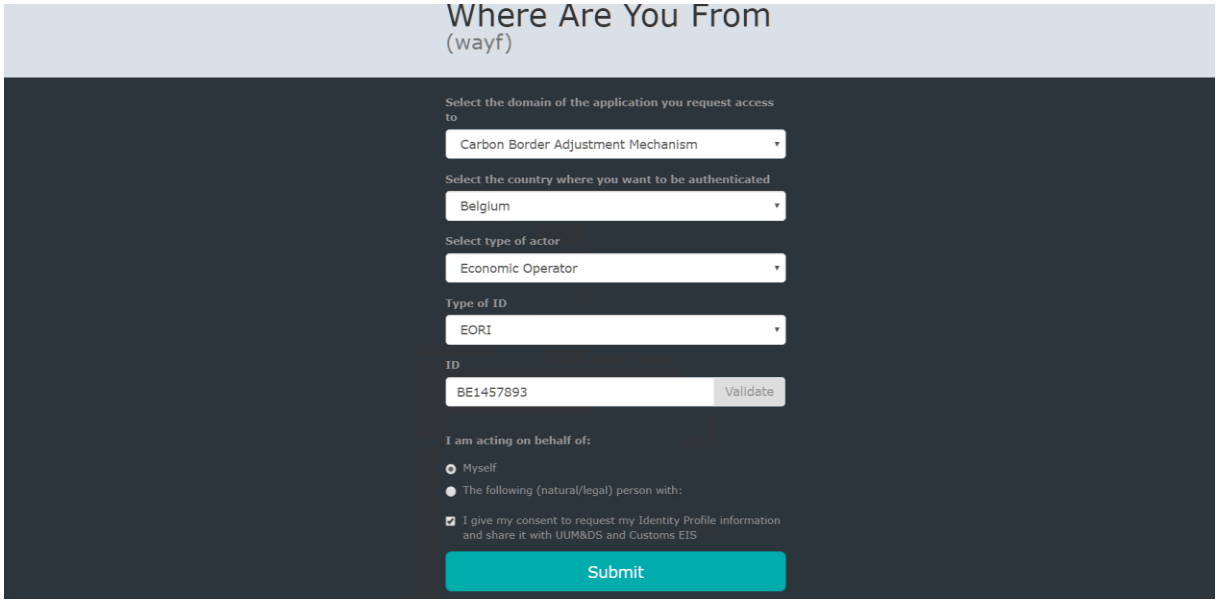


Figure 1: UUM&DS authentication page

Step #3: The user is requested to provide the username and password in the next screen based on the authentication system defined in his UUM&DS user profile.
Step #4: Upon successful login, the user is redirected to the main dashboard of the CBAM Reporting Declarant Portal.

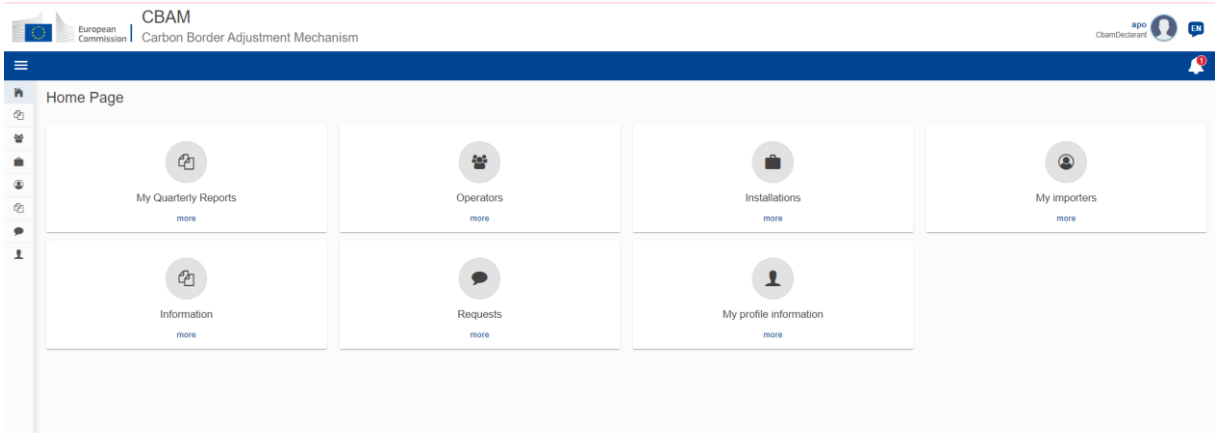


Figure 2: CBAM Declarant Portal - Main Dashboard

3.3 EXIT THE CBAM DECLARANT PORTAL

Select the menu “Sign out” which is available on the upper right corner of the application.

Figure 3: Sign-out

The application will redirect you to EU Logout page where the button “Log me out” needs to be clicked in order to complete the sign out process.

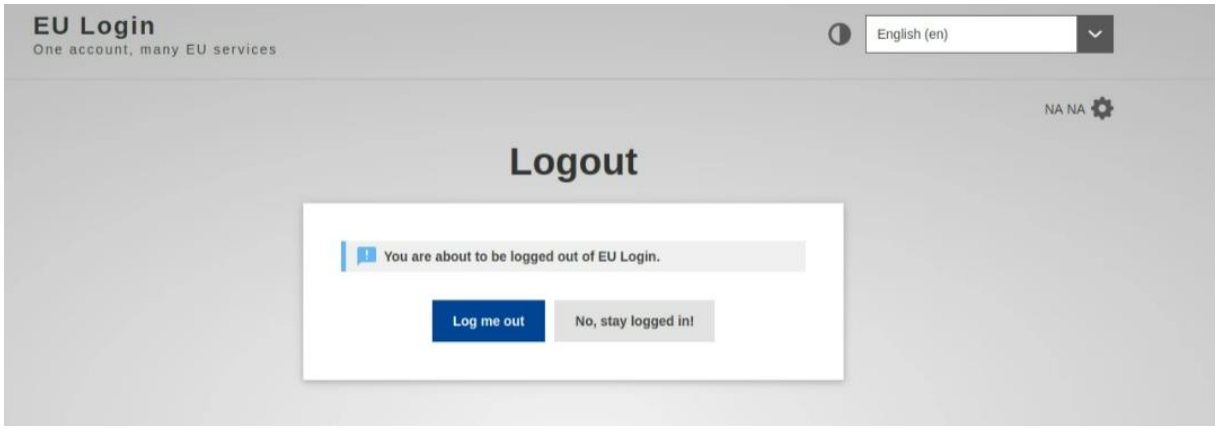


Figure 4: Logout in EU Login page.

A confirmation dialog will appear that confirms that the log out process completed successfully.

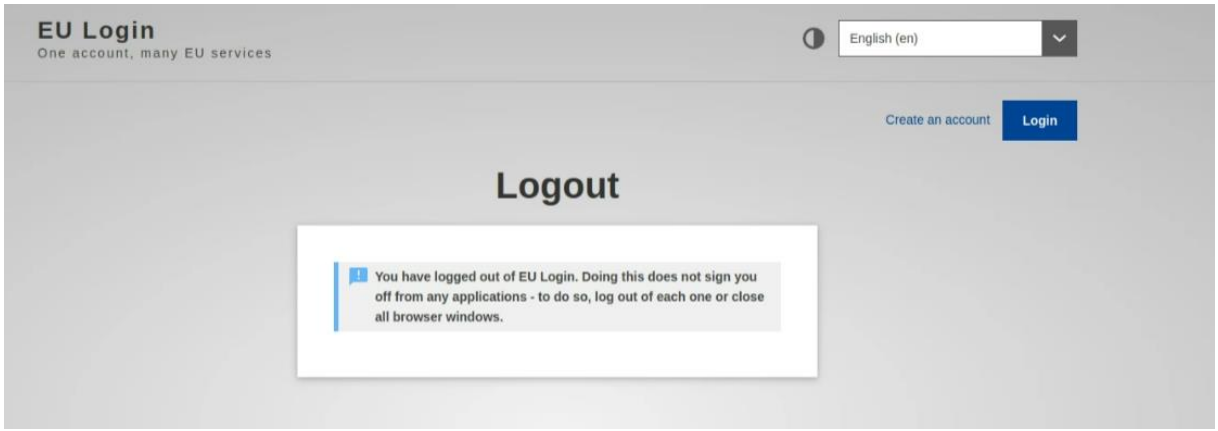


Figure 5: Logout confirmation

Also note that the user will be logged-out automatically after a certain amount of time has passed and subsequently it will be required to re-authenticate in UUM&DS.

3.4 REPORTING DECLARANT HOME PAGE

Once a user is logged in to the CBAM Declarant Portal, the CBAM Portal home page is shown.

The available items are:

1. **My quarterly reports:** When clicked, the Reporting Declarant can see a table organised per quarters of the year. For each quarter, there might be only one CBAM report or not. If a CBAM report exists, then the Reporting Declarant can view it. If no CBAM report has been created, and the reporting period is open for submission of CBAM reports, then the Reporting Declarant can create their report or upload it in XML format with attachments packaged in a ZIP file.
2. **Installations**: When clicked, the Reporting Declarant can see the registry of their Installations. They can view the list of Installations inserted, view a specific Installation, edit the entry of an Installation, or delete an Installation. Every Installation has one Operator assigned. It is advised to first create the registry of Operators and subsequently create the registry of Installations.
3. **Operators:** When clicked, the Reporting Declarant can see the Registry of their Operators. They can view their list of Operators inserted, view a specific Operator, edit the entry of an Operator, or delete an Operator.
4. **My importers:** When clicked, the Reporting Declarant who acts as an indirect representative, can see the list of their importers. The Reporting Declarant can select an Importer to view their profile and the associated goods Imported table.
5. **Information:** Clicking on "Information" allows the Reporting Declarant access to several resources. These include the NCA's contact information, a list of CBAM goods, and CBAM legislation.
6. **Requests:** When clicked, the Reporting Declarants can see:
    - **Incoming Requests:** These are requests sent from the NCA to the Reporting Declarant. The Reporting Declarant can employ the filter options to review outstanding NCA requests for which the Declarant's response is pending.
    - **Outgoing Requests:** These are requests initiated by the Reporting Declarant and sent to the NCA. The Reporting Declarant can use the available filter options to track the Declarant's requests for which the NCA's response is pending.
7. **My profile information:** When clicked, the Reporting Declarant can see the information of the logged-in user in the right side and the Reporting Declarant information on the left side.

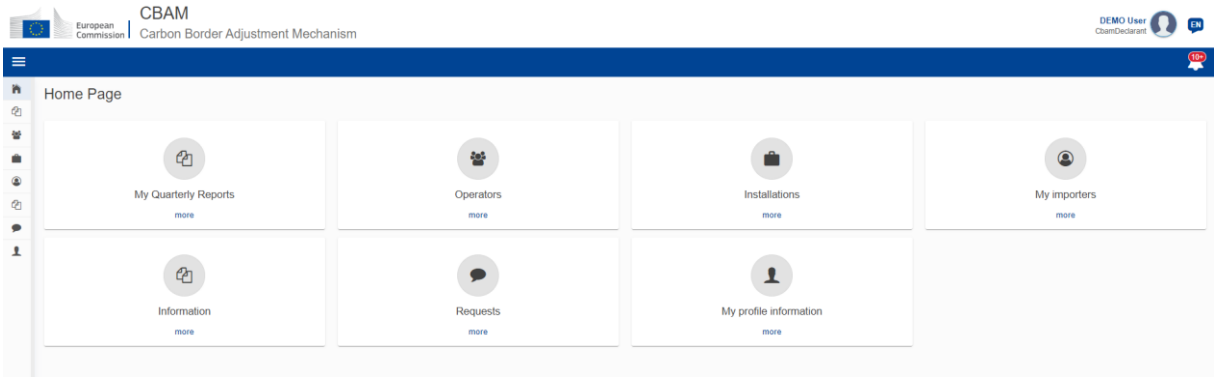


Figure 6: CBAM Portal – Reporting Declarant Home Page

3.5 MAIN NAVIGATION BAR

The main navigation menu is located on the left side of the screen.

By clicking the icon on the left upper side, the navigation bar on the left is expanded (see Figure 7: CBAM Reporting Declarant Home Page with expanded navigation bar). The options are the same as the ones visible in the Home Page.

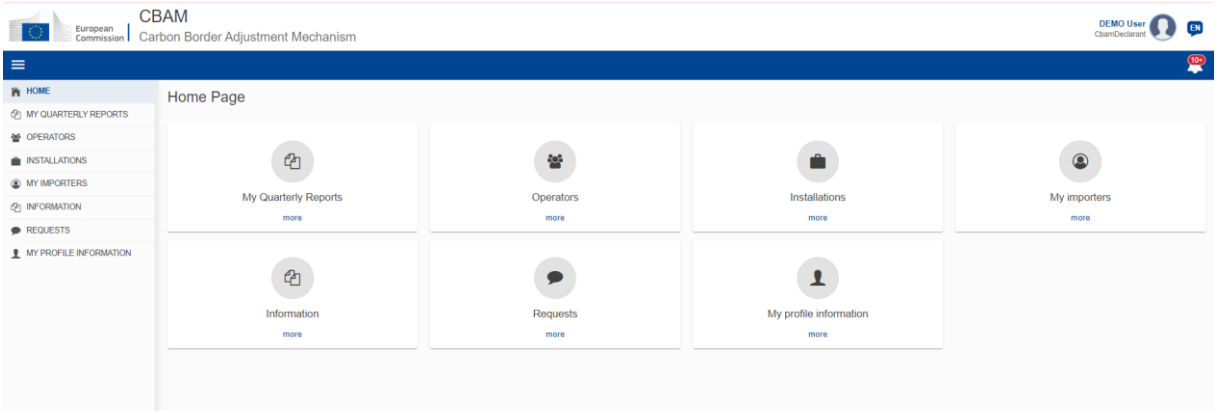


Figure 7: CBAM Reporting Declarant Home Page with expanded navigation bar

3.6 GENERIC USER INTERFACE BEHAVIOUR

An overview of the generic user interface behaviour is being provided below.

a) Report - The fields marked with red asterisk are mandatory.

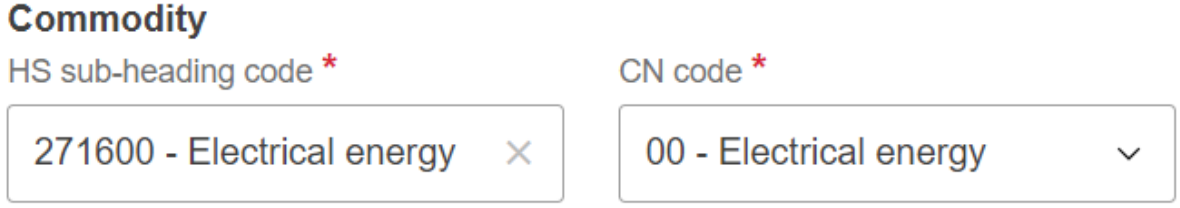


Figure 8: Mandatory fields indication

b) Report - The number on the top-right corner of each field is the maximum number of characters that can be entered for the particular field.

Figure 9: Maximum number of characters indication

c) Report Validation errors – The validation errors are displayed in each tab. The number displayed within each tab indicates the number of validation errors that need to be addressed.

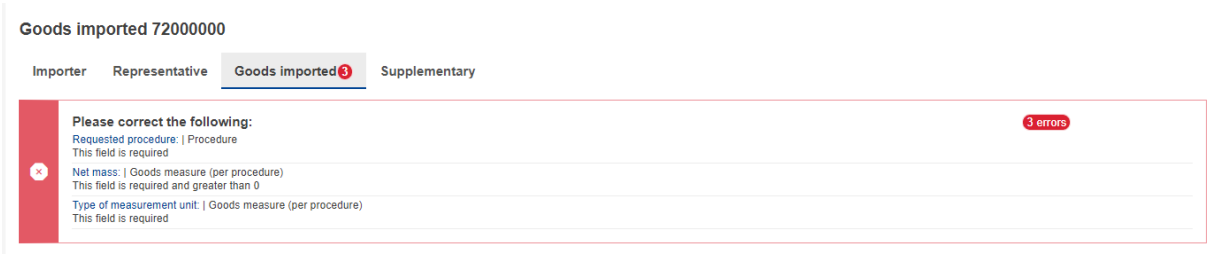


Figure 10: Validation errors

3.7 BASIC SYSTEM FUNCTIONS

3.7.1 Change UI Language

Please follow the instructions in section 4.8 Preferences

3.7.2 Change Contact Information

Please follow the instructions in section 4.7 My Profile Information

3.8 REPORT STATES INFORMATION

This section describes the different states of a CBAM quarterly report and also illustrates the state transitions throughout the CBAM quarterly report lifecycle.

3.8.1 States description

The following table lists all states that a report can have throughout its lifecycle along with their respective descriptions.

States	Description
Draft	Initial state. The CBAM quarterly report is under preparation by the CBAM Reporting Declarant
Submitted	The CBAM quarterly report has been submitted

Under amendment	The CBAM quarterly report is under amendment by the CBAM Reporting Declarant
Invalidated	The CBAM quarterly report was invalidated by the CBAM Reporting Declarant. A new CBAM quarterly report can be created for this reporting period
Registered	The CBAM quarterly report has been officially registered and is available for further actions by EU & NCA Authorities. The CBAM quarterly report changes to Registered automatically upon expiration of the modification period timer.
Under assessment (COM)	The CBAM quarterly report is under assessment by European Commission
Assigned to NCA	The CBAM quarterly report is assigned to the respective NCA
Under review (NCA)	The review process has started by the NCA
Discarded	The CBAM quarterly report has been Discarded by the NCA. This is a final state.
Under correction	The CBAM quarterly report is under correction by the CBAM Reporting Declarant (either upon request from NCA or CBAM Reporting Declarant when the state of the review is Under Review (NCA))
Under litigation	The CBAM quarterly report is under litigation
Finalized	The CBAM quarterly report evaluation has been completed. Future potential states are Revoked or Archived
Revoked	Once a CBAM quarterly report is finalized the state can change to Revoked.
Archived	Once a CBAM quarterly report is finalized the state can change to Archived.

Table 6: CBAM List of states

3.8.2 States transition diagram

The following State Transition Diagram illustrates the full lifecycle of a CBAM quarterly report, the interaction between the Reporting Declarant and the CBAM System, as well as the interaction between European Commission (COM) and National Competent Authorities (NCAs) with the CBAM System in terms of the CBAM quarterly report review.

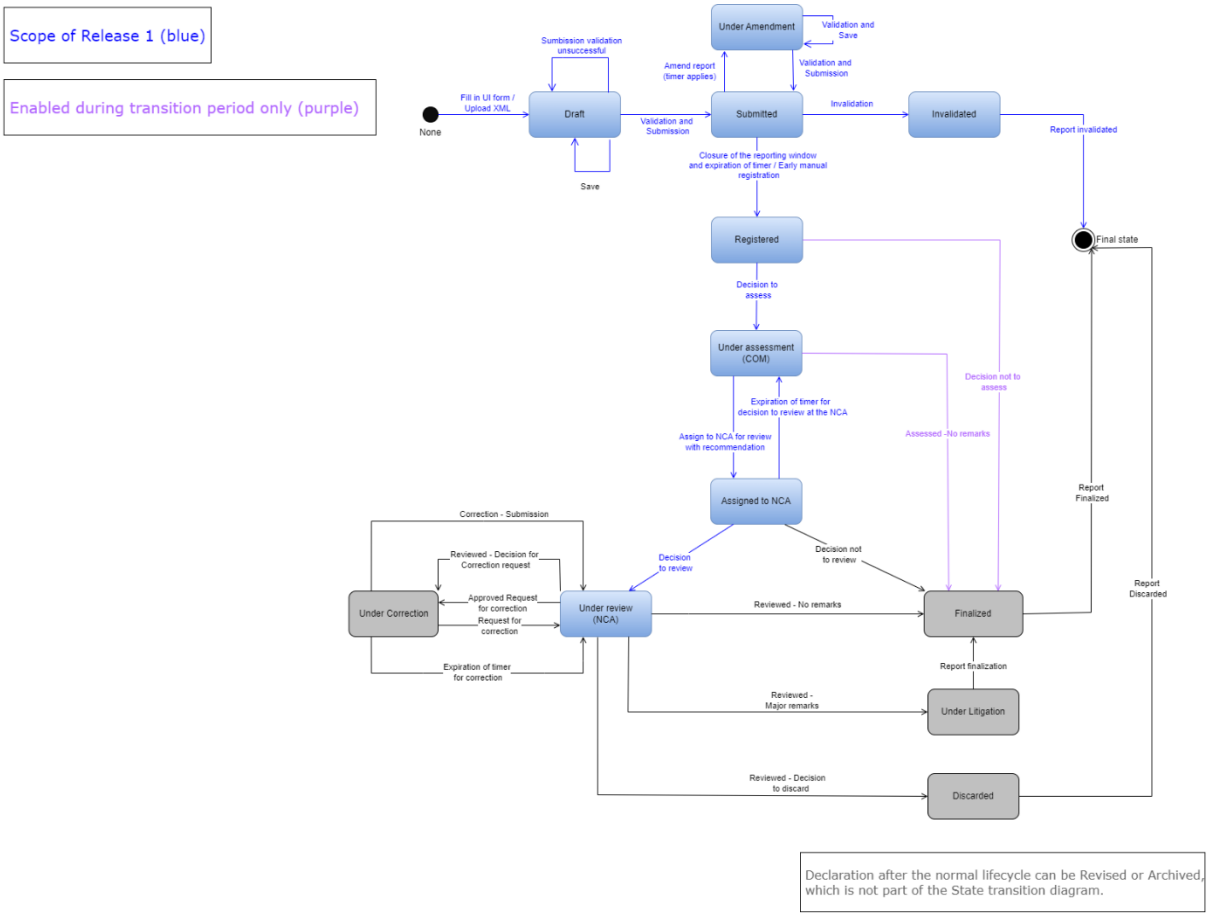


Figure 11: State Transition Diagram

4 USING THE SYSTEM AS CBAM REPORTING DECLARANT

In this section the main actions that a CBAM Reporting Declarant can perform in the CBAM Declarant Portal are being described.

4.1 OPERATORS REGISTRY

CBAM System allows to store information for operators of production installations in third countries. It is suggested to store operators in operator's registry to easily prefill the relevant information when a report is created.

4.1.1 Create an operator

1) Open the "Operators Registry" either by clicking on "Operators" icon from the Home Page dashboard or by clicking on "Operators" link in the left side navigation menu.
2) Click the "Create an Operator" button on the upper right corner.

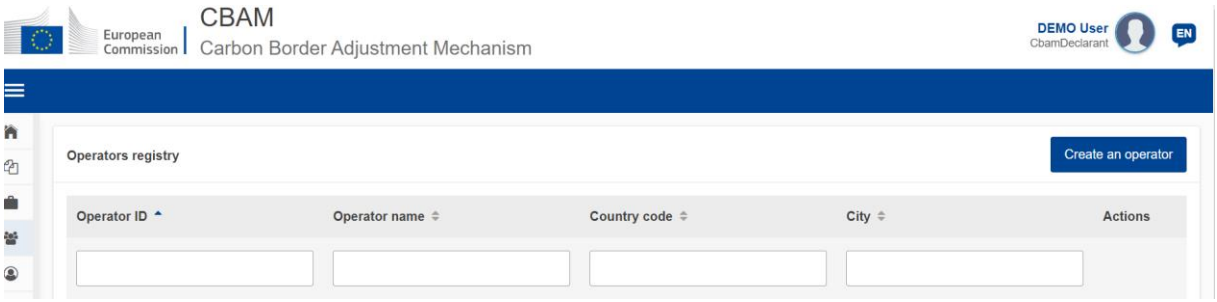


Figure 12: Operator - Create

3) Fill in information in the operator screen details (see Figure 13: Operator Details Screen). Ensure to utilise Latin characters (alpha numeric).

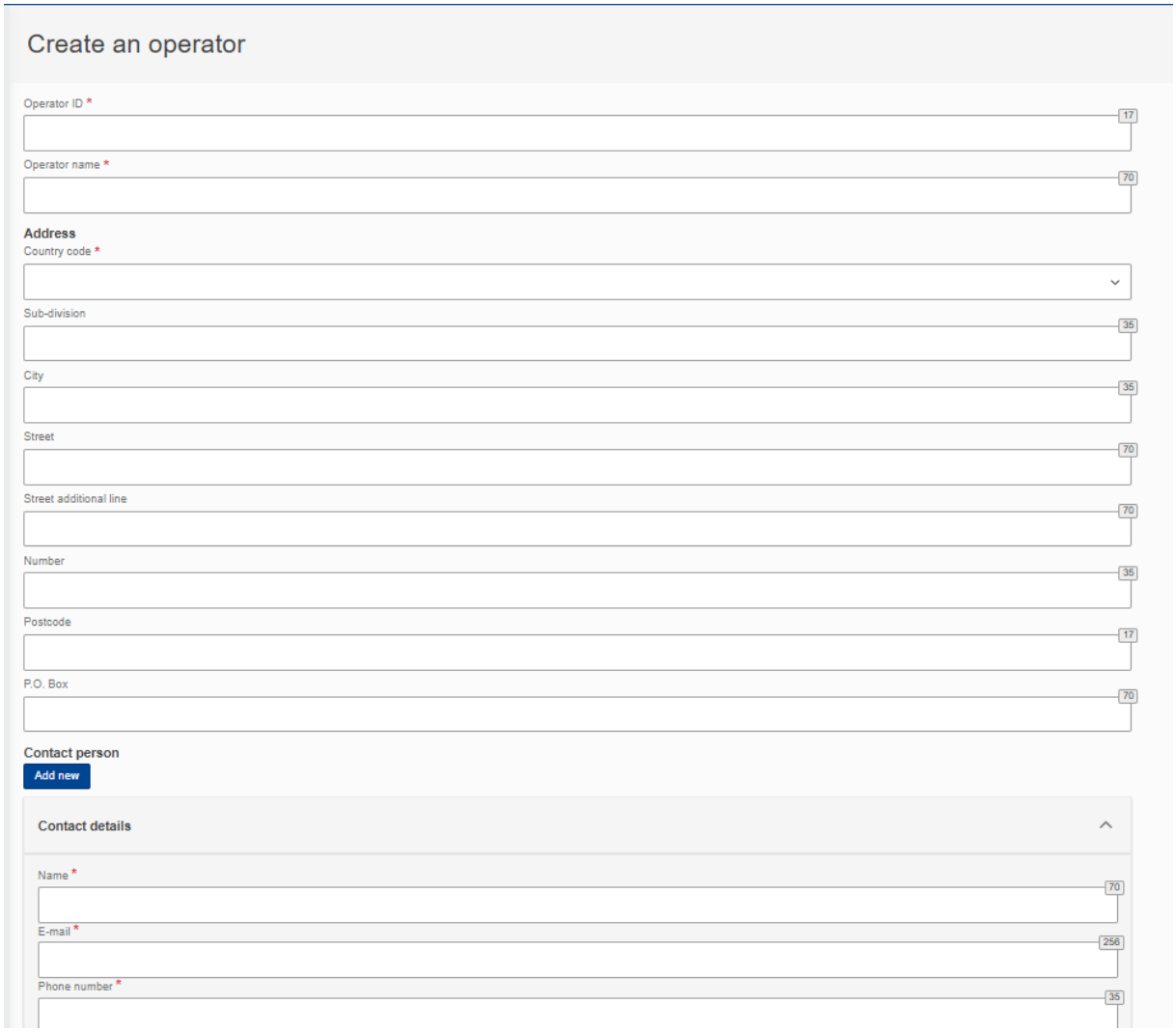


Figure 13: Operator Details Screen

4) Please ensure that mandatory fields (marked with a red asterisk) are filled in as a minimum. When this is done, the "Create" button on the upper right corner will be enabled.

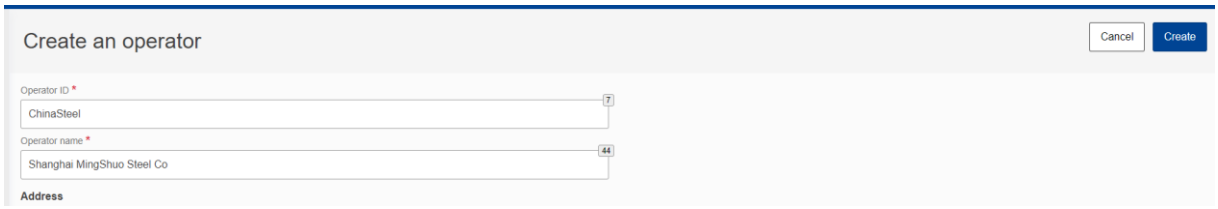


Figure 14: Create an Operator

5) Once the operator is created, it will be listed in Operators Registry.

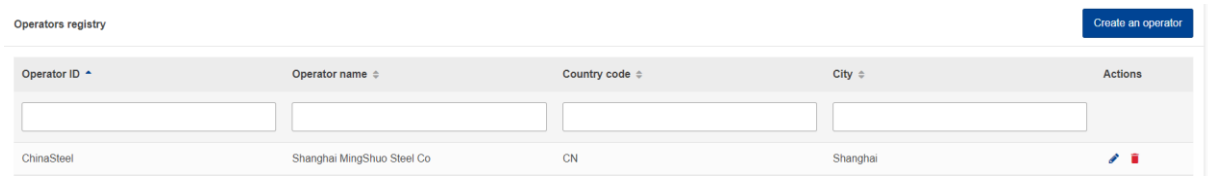


Figure 15: Operators Registry

4.1.2 Delete an operator

In the operator's registry,

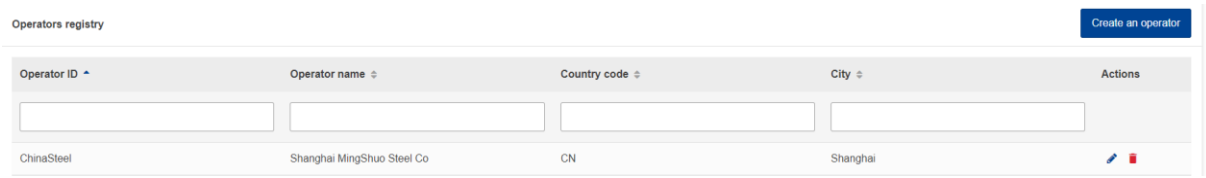


Figure 16: Operator Registry - Delete action.

when the icon is clicked for a specific operator, a confirmation message will appear to confirm the action to delete the operator.

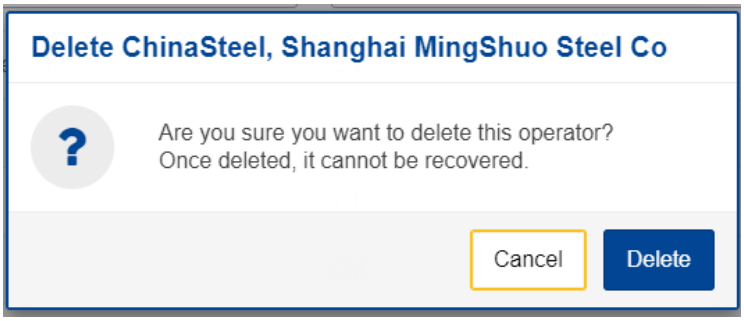


Figure 17: Operator Delete - Confirmation message.

To proceed with the operator deletion, the "Delete" button needs to be clicked in the confirmation message.

4.1.3 Edit an operator

In the operator's registry,

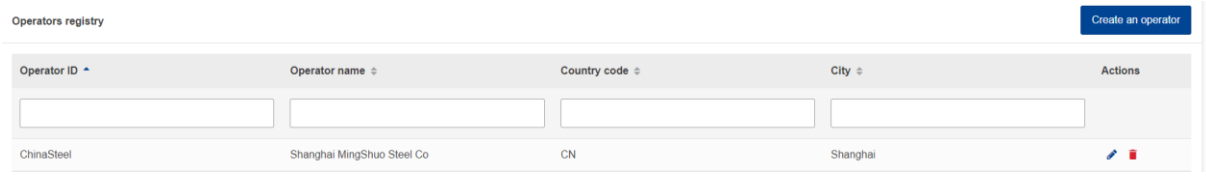


Figure 18: Operators' registry

when the icon is clicked for a specific operator, the operator details screen will open. When changes are completed, the "Save" button needs to be clicked to save the updated operator information. In case, changes are not required, the "Cancel" button needs to be clicked.

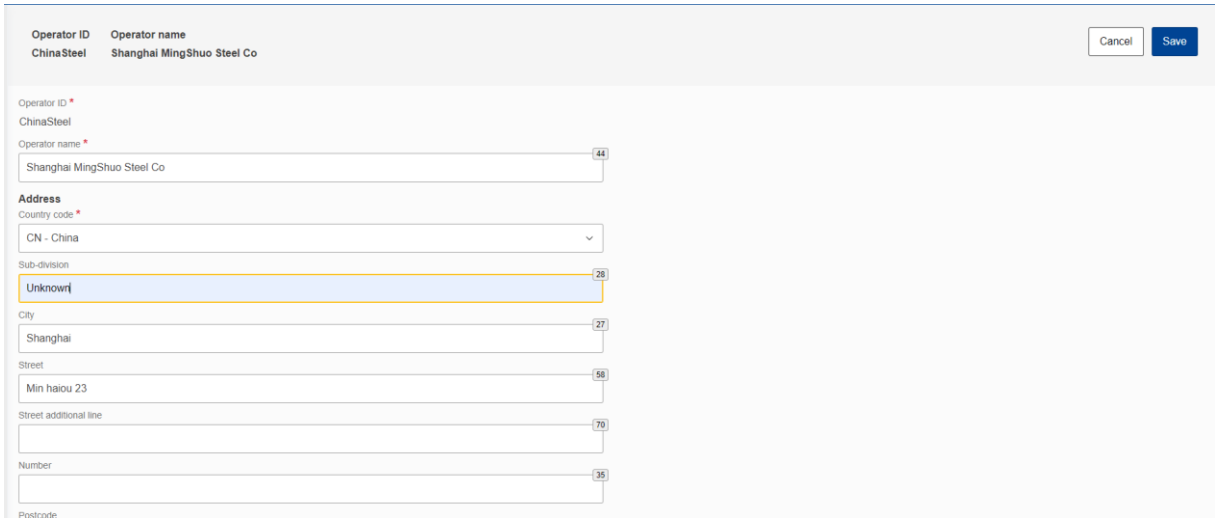


Figure 19: Operation - Save & Cancel

4.2 INSTALLATIONS REGISTRY

CBAM System allows to store information for production installations in third countries. It is suggested to store installations in Installation Registry to easily prefill the relevant information when a report is created.

4.2.1 Create an installation

1) Open the "Installations Registry" either by clicking on "Installations" icon from the Home Page dashboard or by clicking on "Installations" link in the left side navigation menu.
2) Click the “Create an installation” button on the upper right corner.

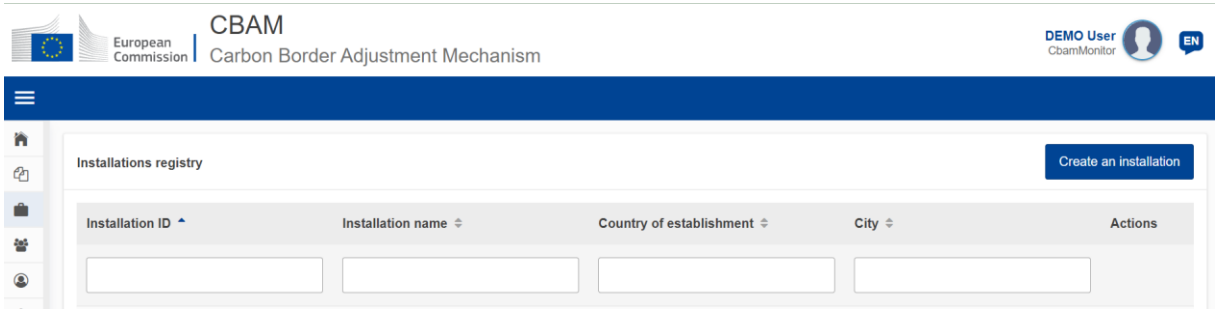


Figure 20: Installations Registry

3) Fill the information in the installation screen details (see Figure 21: Installation – Details). Ensure to utilise Latin characters (alpha numeric).

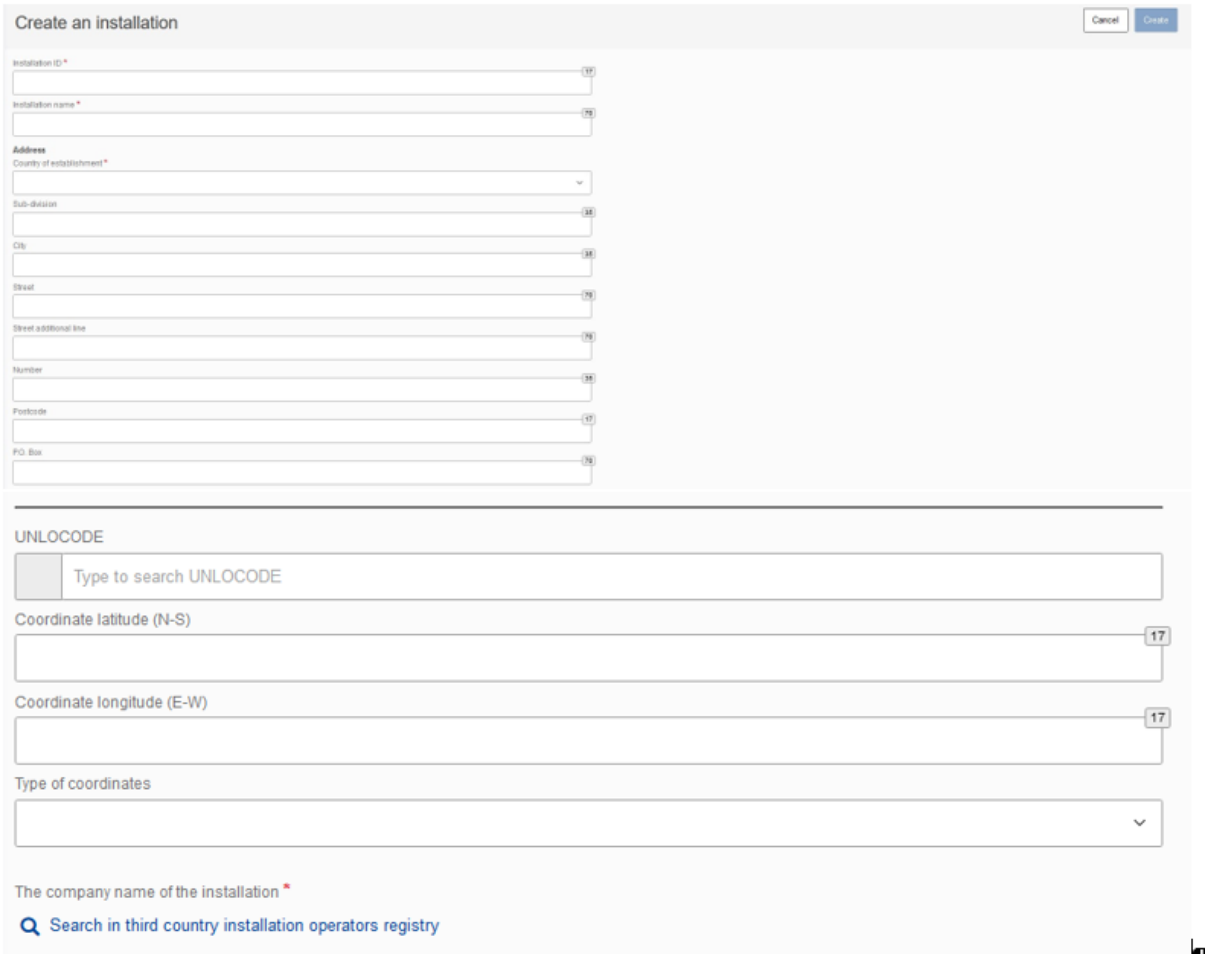


Figure 21: Installation – Details

4) All mandatory fields marked with red asterisk need to be filled in.
5) An installation needs to be linked with an operator. To accomplish this, click the "Search in third country installation operators registry".

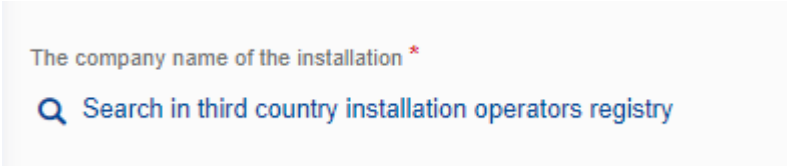


Figure 22: Installation-Search in third country installation operators registry

6) The operators already created in Operators' registry will be listed. The list can be filtered by operator ID, Operator name, Country code, city.

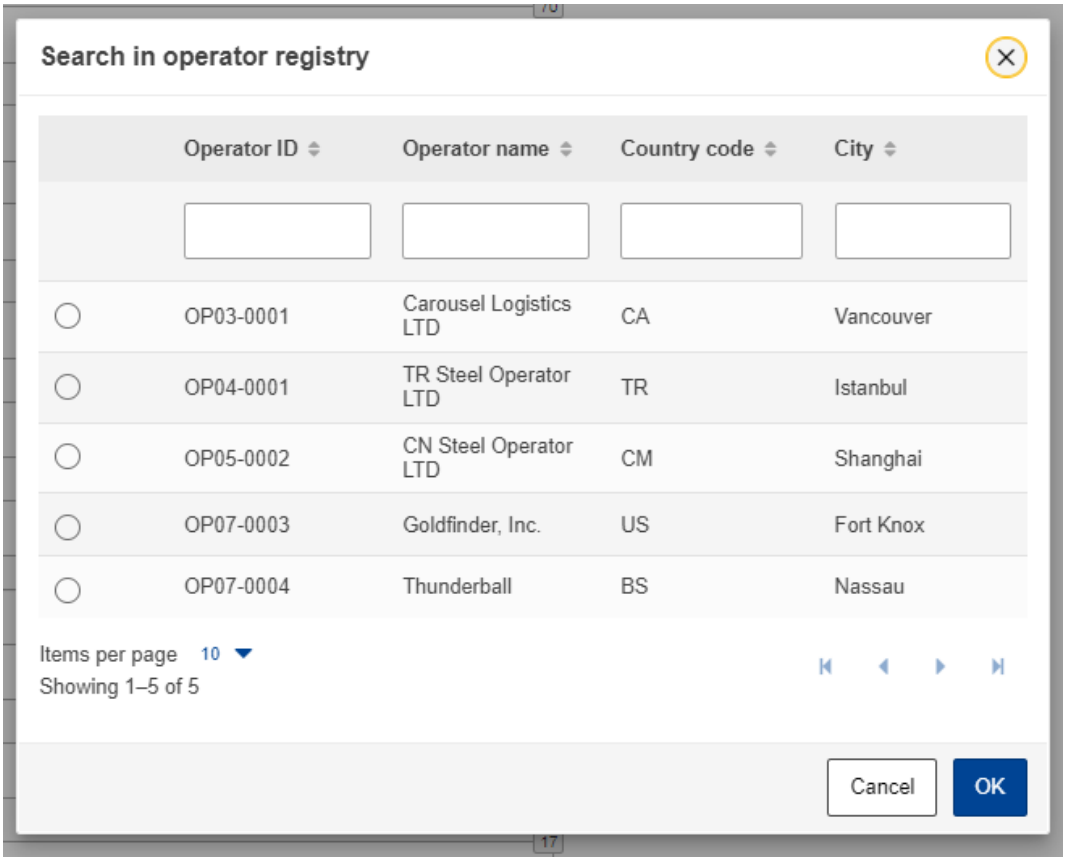


Figure 23: Search in operators registry

7) Select the required operator. Click on the bullet on the left and it will change to
8) Click the “OK” button on the right bottom corner of the operator registry dialog.
9) The relationship between the installation and operator has been established and the operator’s details will be listed

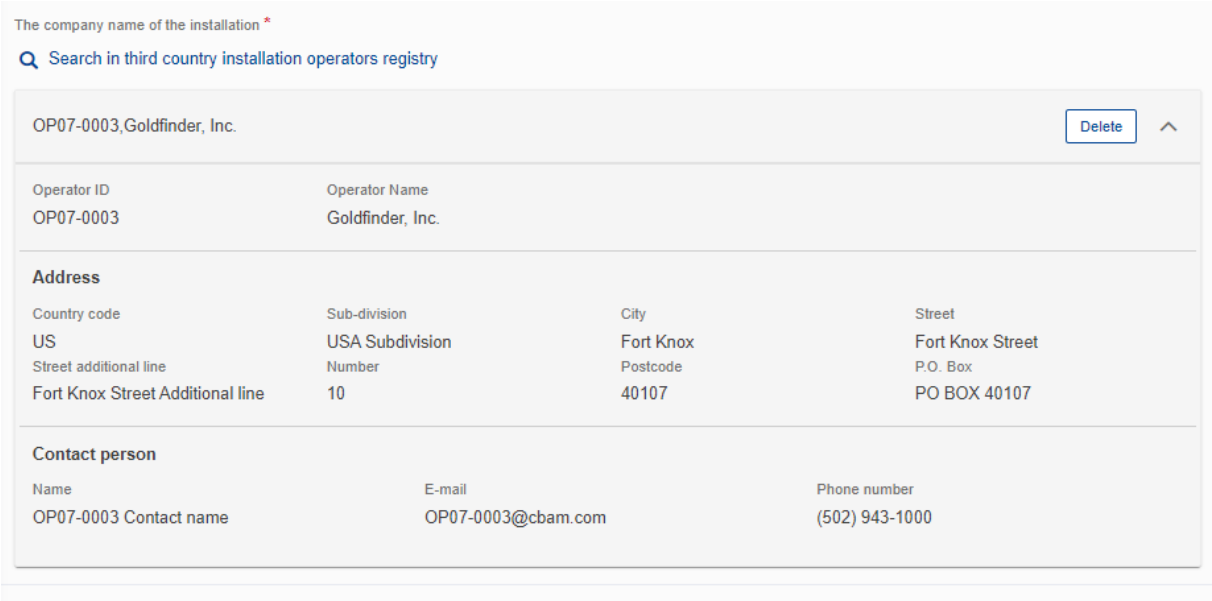


Figure 24: Installation - Linked Operator Details

10) The “Create” button is now enabled on the upper right corner.

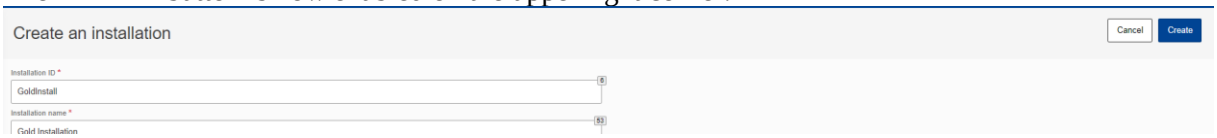


Figure 25: Installation - Create Button

11) Once the installation is created, it will be listed in installations’ registry.

4.2.2 Delete an installation

In the installations registry,

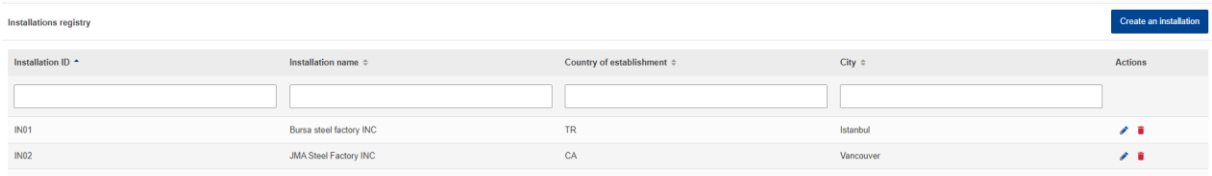


Figure 26: Installation Registry -Delete action

when the icon is clicked for a specific installation, a confirmation message will appear to confirm the action to delete the installation.

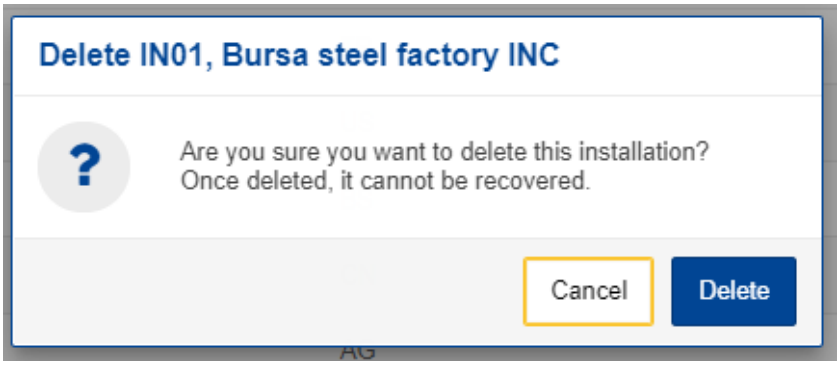


Figure 27: Installation Delete - Confirmation message.

To proceed with the installation deletion, the “Delete” button needs to be clicked in the confirmation message.

4.2.3 Edit an installation

In the installations registry,

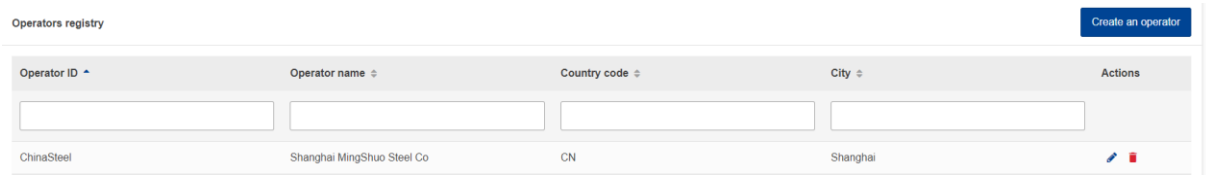


Figure 28: Installation Registry - Edit Action

when the icon is clicked for a specific installation, the installation details screen will open. When changes are completed, the “Save” button needs to be clicked to save the updated operator information. In case, changes are not required, the “Cancel” button needs to be clicked.

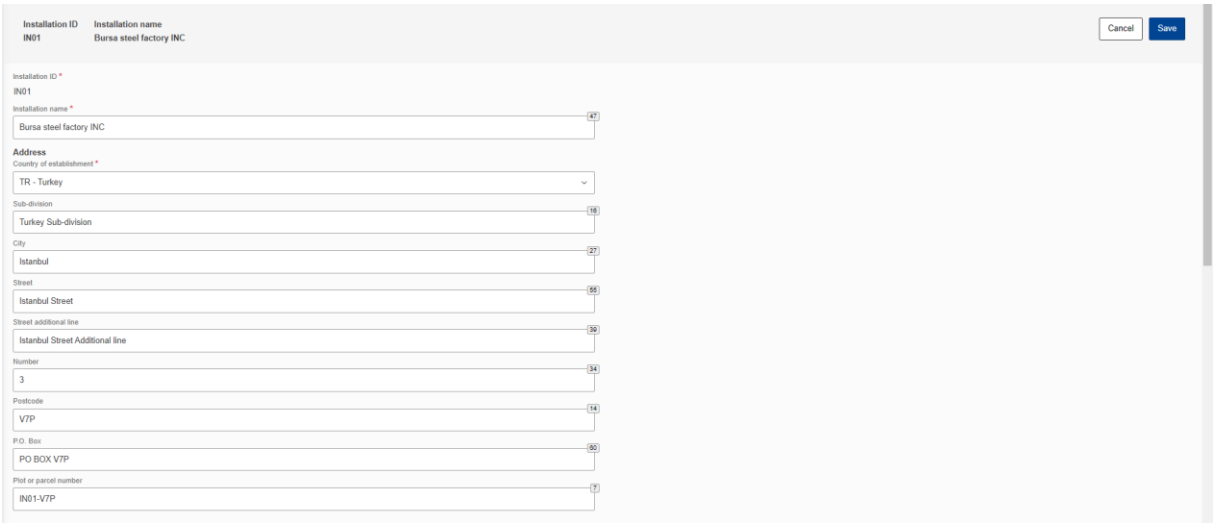


Figure 29: Installation -Cancel and Save buttons.

4.3 MY QUARTERLY REPORTS

In this section, each Reporting Declarant can see a table organised per quarters of the year. For each quarter, there might be a CBAM report or not. If a CBAM report exists, then the Reporting Declarant can view it. If no CBAM report has been created, and the reporting period is open for submission of CBAM reports, then the Reporting Declarant can create their report using the user interface (see 4.5.1 Creation of a report or upload it in XML format with attachments packaged in a ZIP file (see 4.5.2 Uploading of a report) or create it using a previous report (see 4.5.14 Duplicating a report).

If no CBAM report has been created and the reporting period is closed for CBAM reports submission, please follow the process 4.5.11 Request to submit a report with a delay.

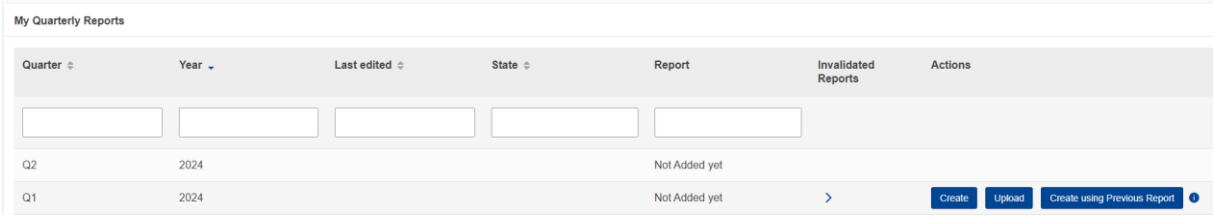


Figure 30: My Quarterly Reports

4.4 REPORT STRUCTURE & NAVIGATION

The CBAM quarterly report structure consists of three main sections:

- The **Report’s header** section where the basic information for the CBAM Reporting Declarant and the reporting period are entered.

- The **CBAM goods imported** section, where the CBAM goods codes imported during the reporting period, along with information regarding their quantities, countries of origin and areas of import are declared in an aggregated way per CN code.
- The **goods emissions** section, where data regarding the CO2 emissions and the emissions qualifying parameters to produce each CBAM good added in the report and any supporting documents, are declared per installation and per production method.

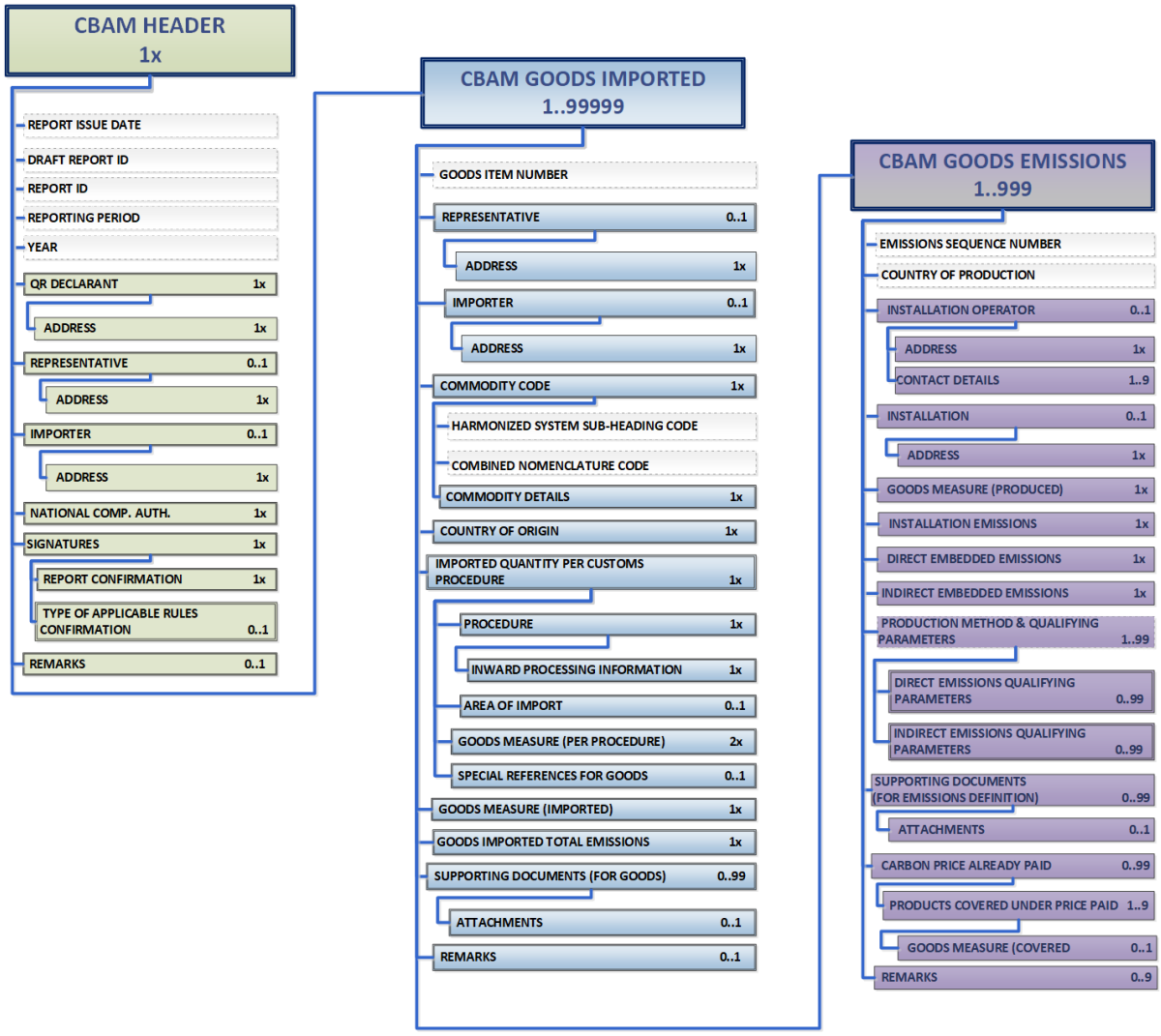


Figure 31: CBAM Report Data Model

Each of the above sections is comprised of the data groups and of the data elements available to be filled in, along with their cardinality, optionality, rules, conditions and code-lists that are applicable for each data. It is to be noted that the hierarchical structure of the data element needed for the report is following the Customs declaration approach as used in the Trans-European Customs systems and provides the advantage to the CBAM Reporting Declarants to declare the emissions and the supporting information for the CBAM goods imported in a familiar and efficient way. The report's structure can also support the submission of supporting documents as binary attachments along with the report. The accepted file formats are: DOC, DOCX, XLS, XLSX, PDF and JPEG. The maximum size of the ZIP file is 20 MB.

Please note that the report can be filled in with one of the two following ways:

a) Using the CBAM Reporting Declarant Portal user interface available.
b) Uploading a ZIP file containing the XML structure of the report along with the binary attachments.

Instructions on how to fill each section of the report follows below.

4.4.1 Header level of the CBAM Report

Report general information is stored in the header.

Please save the header prior to start filling the goods & emissions section. The draft report ID is filled in automatically (upper left corner). Please note that when the "Validate" button is clicked, the relevant validation errors that need to be addressed are displayed in each tab.

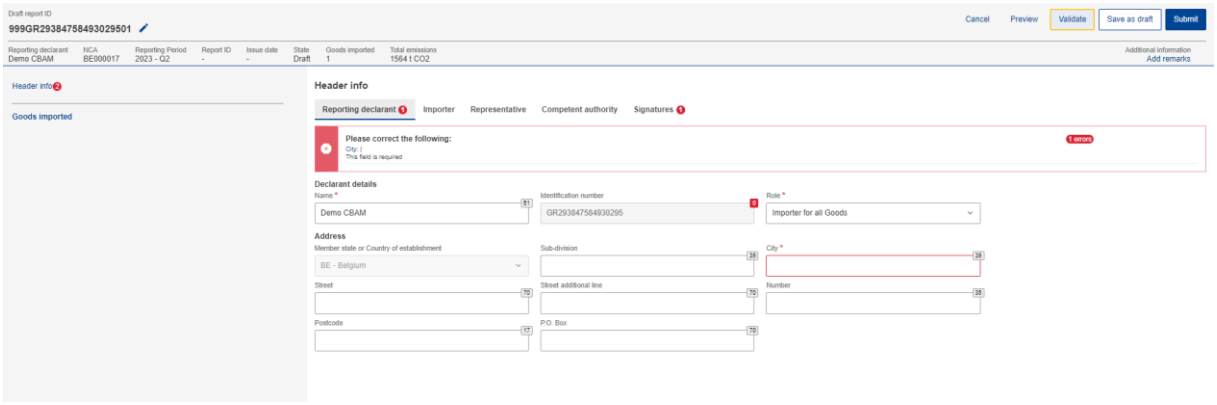


Figure 32: Header - Validation

The "Preview" button can be used to preview all values that are filled in header.

A detailed description of each tab is available below.

4.4.1.1 Reporting Declarant

Reporting Declarant Name, Identification number and Member state of establishment, are filled in automatically.

The rest Reporting Declarant information need to be filled in. As a minimum, the "Role" and "City" fields need to be defined in "Reporting Declarant tab".

The Reporting Declarant role is a mandatory field. Here is an explanation for each option.

Importer for all goods - In case the Reporting Declarant acts as an importer for all goods, the "Importer tab" in the header level and goods level is not required to be filled. Only the checkbox "Declarant acts as an importer" is required to be checked in the Header level -> Importer tab.

Indirect Customs Representative for all goods - In case the Reporting Declarant acts as an indirect customs representative for all goods, the "Indirect customs representative tab" in the header level and goods level is not required to be filled. Only the checkbox "Declarant acts as an Indirect Customs Representative" is required to be checked in the Header ->Indirect Customs Representative tab.

Importer for some goods/ Indirect Customs Representative for some goods – In case the Reporting Declarant acts as indirect customs representative for some goods and as an importer for some other goods, then the importer / indirect customs representative is required to be defined at each good level and the header importer & indirect customs representative tabs are disabled.

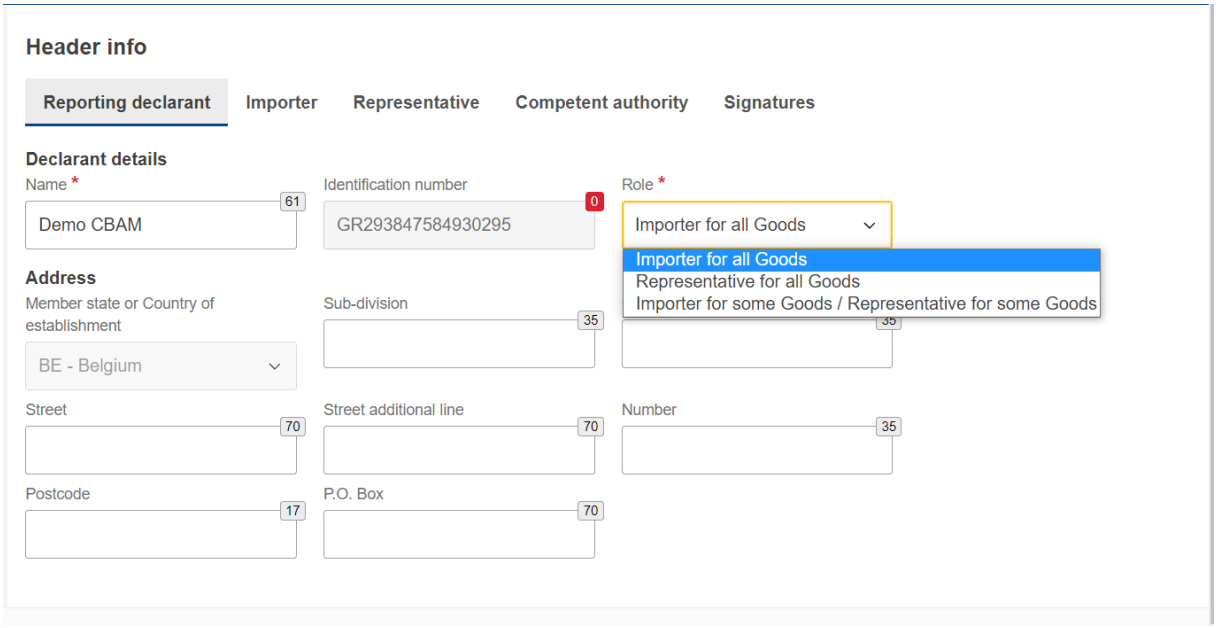


Figure 33: Header - Reporting Declarant tab

4.4.1.2 Importer

If an importer is defined in Header level of CBAM Report, it will be applied for every good item. If the report includes multiple importers, they need to be defined separately for each good.

In case the Reporting Declarant is the importer for all goods, the checkbox “Reporting Declarant acts as importer” could be checked to avoid filling in the same information again.

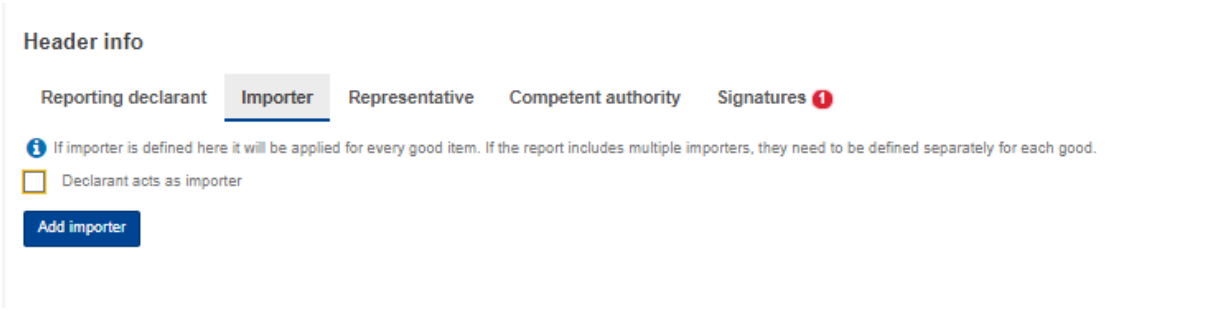


Figure 34: Declarant acts as importer Checkbox

However, if the importer is not the same as the Reporting Declarant, then the “Add importer” button should be clicked to fill in importer’s information.

Importer’s details will be deleted from header level of CBAM Report if the “Delete” button is clicked.

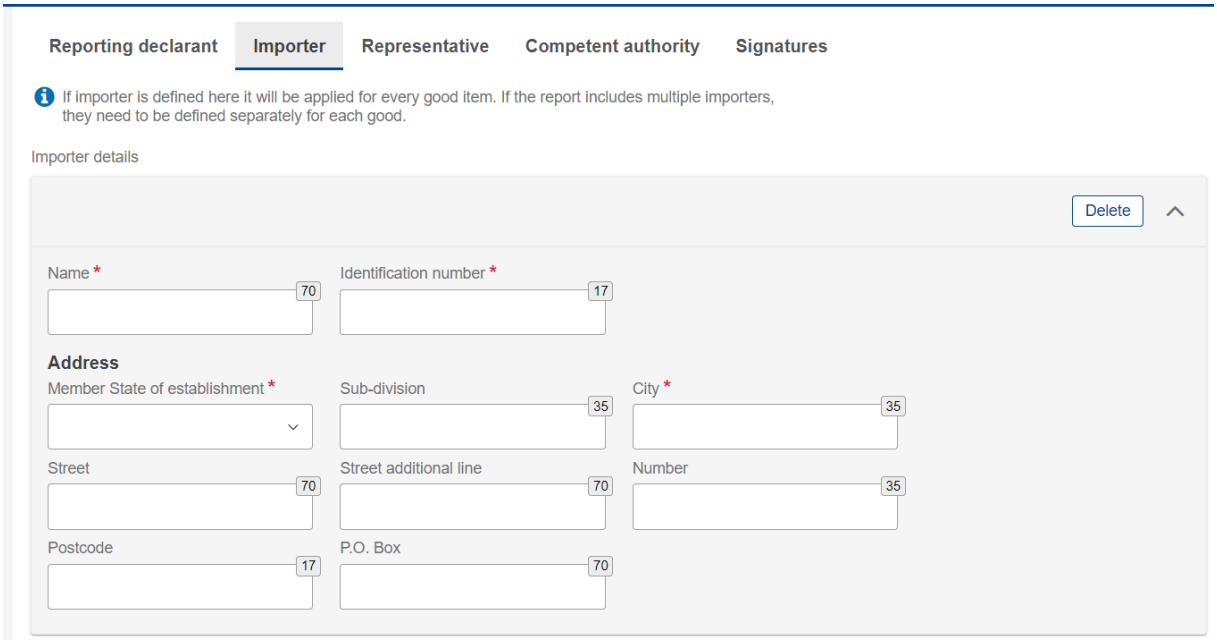


Figure 35: Header- Importer tab

4.4.1.3 Indirect customs representative

If a indirect customs representative is defined in Header level, it will be applied for every good item. If the report includes multiple indirect customs representatives, they need to be defined separately for each good.

In case the Reporting Declarant is the importer's indirect customs representative for all goods, the checkbox "Reporting Declarant acts as indirect customs representative" could be checked to avoid filling in the same information again.

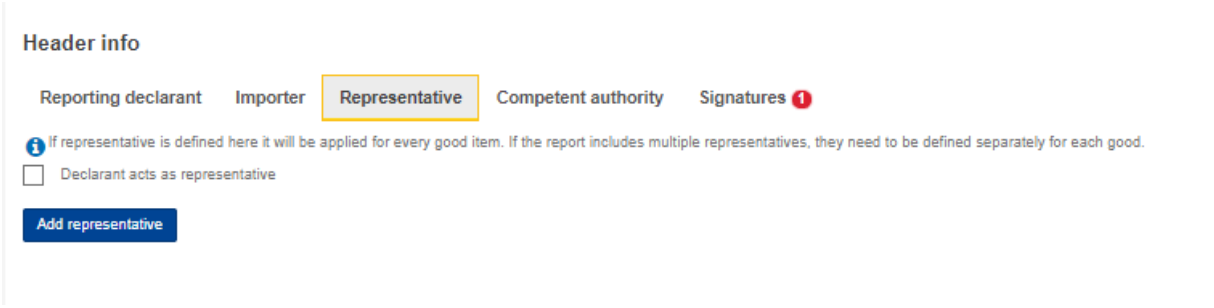


Figure 36: Declarant acts as indirect customs representative checkbox

However, if the indirect customs representative is not the same as the Reporting Declarant, then the "Add indirect customs representative" button should be clicked to fill in indirect customs representative 's information.

Indirect customs representative's details will be deleted from header if the "Delete" button is clicked.

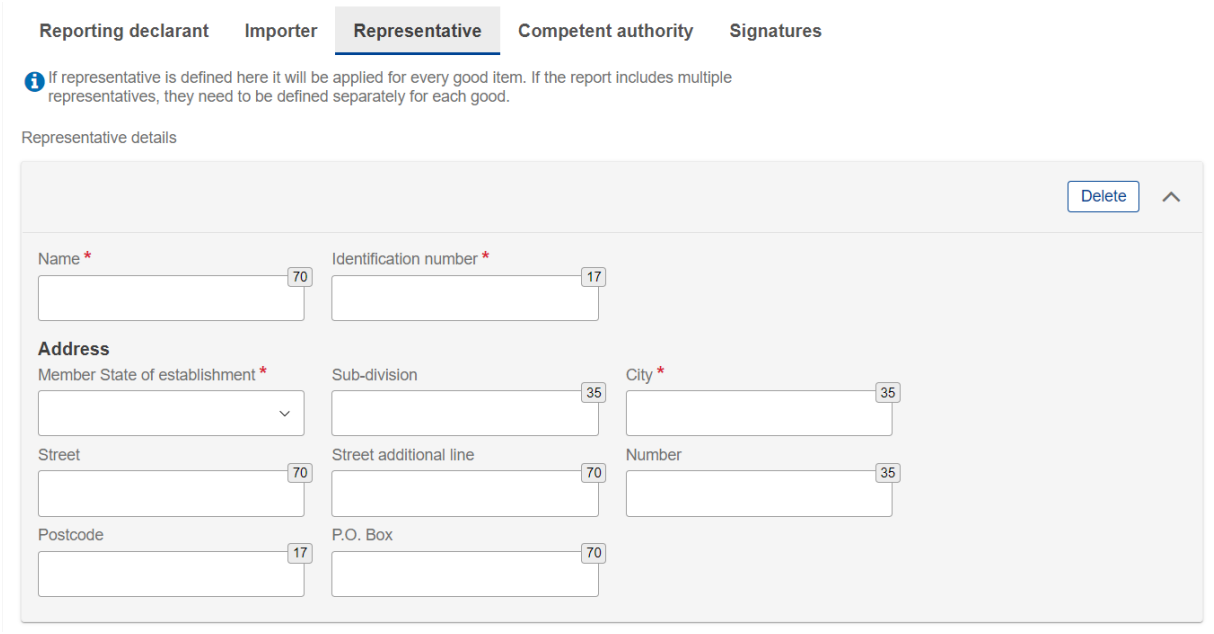


Figure 37: Header - Indirect customs representative Tab

4.4.1.4 Competent authority

Competent Authority reference number is automatically filled in based on the country the Reporting Declarant's EORI number belongs to.

In case it is not filled in automatically, please contact your respective National Competent Authority Service Desk.

4.4.1.5 Signatures

All fields of this tab are mandatory to be filled to allow report submission.

Figure 38: Header -Signatures tab

4.4.2 CBAM goods Level of the CBAM Report

By clicking on “Goods imported”, the user can add as many goods as desired or/and navigate through a list of all goods that have been added. Please note that at least one good must be declared and added in the report.

4.4.2.1 Addition of CBAM goods

The user can add a good by clicking the “Add a good” button, either from the navigation tree on the left or using the button above the table.

Figure 39: Add good

4.4.2.2 Deletion of CBAM goods

The user can delete a good by clicking the delete icon in the action column in good’s table.

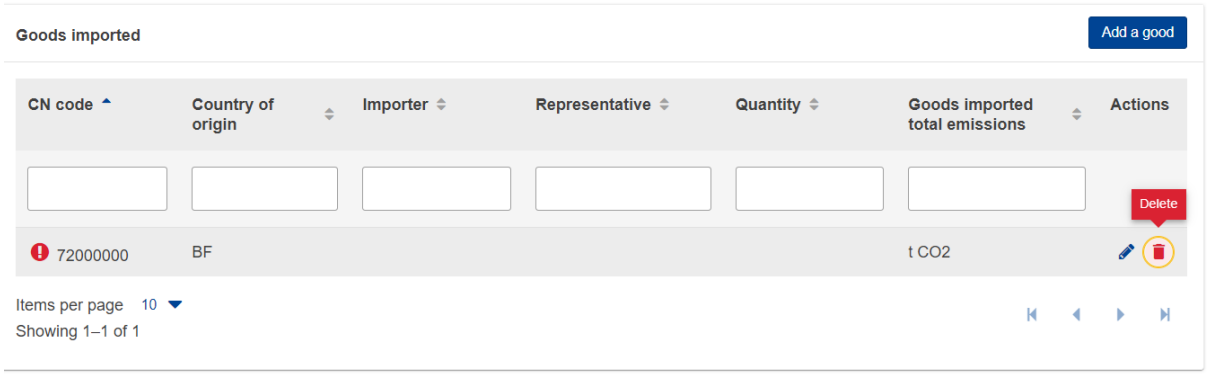


Figure 40: Delete good

A confirmation message will appear to confirm the user action prior the good’s deletion.

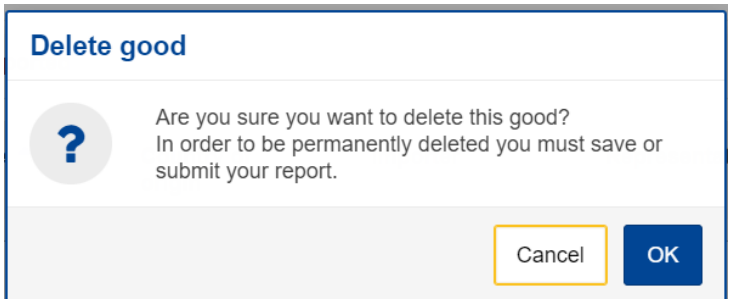


Figure 41: Delete good -Confirmation

4.4.2.3 Editing of CBAM goods

Once the user is in Editing mode in the report, the good can be edited either by clicking the respective good on the left navigation tree or by clicking the Edit icon on the good's table.

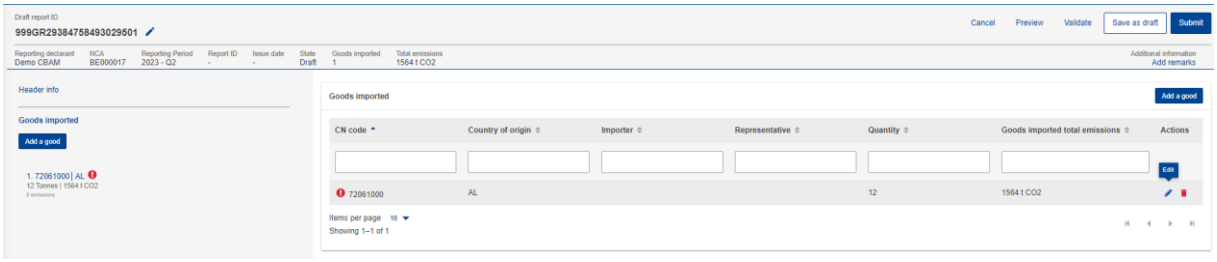


Figure 42: Edit good

4.4.2.4 Saving of CBAM goods

Please ensure to save each good prior to adding/editing other good by clicking the "Save" button.

4.4.2.5 Validating of CBAM goods

The user shall validate separately every Good Imported along with its emissions. After completing the insertion of information, the "Validate" button in "Action menu" must be clicked so that the validation is performed. Possible errors in the validation are shown with a red exclamation mark icon. In order to validate it, edit the respective good and click the Validate button on the Action Menu.

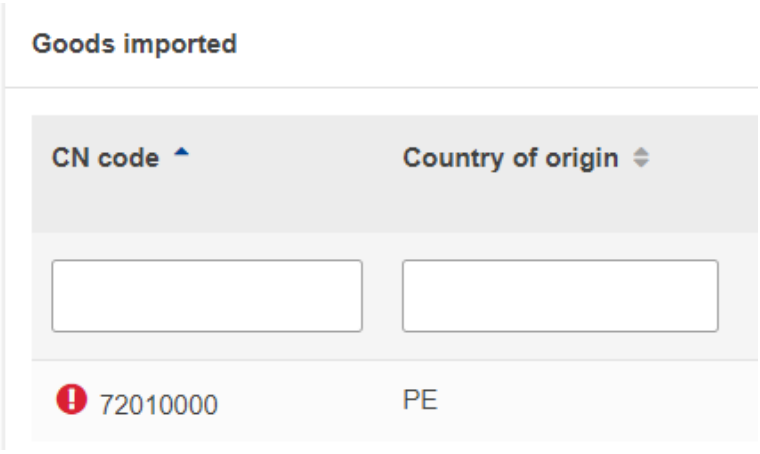


Figure 43: Non-validated good

When the "Validate" button is clicked, validation errors are generated on the good's level and for each emission added.

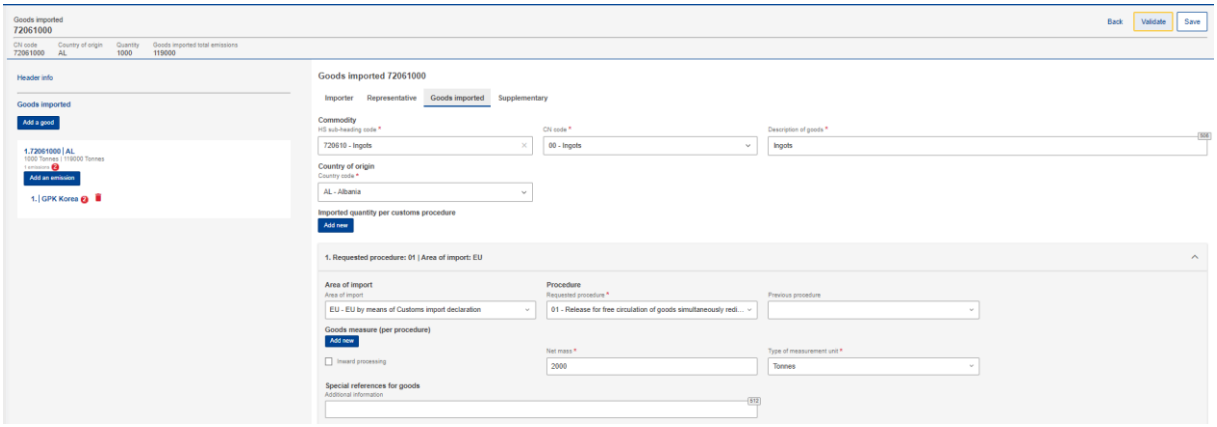


Figure 44: Validate Good

In the navigation tree on the left the number of messages that need to be addressed are displayed.

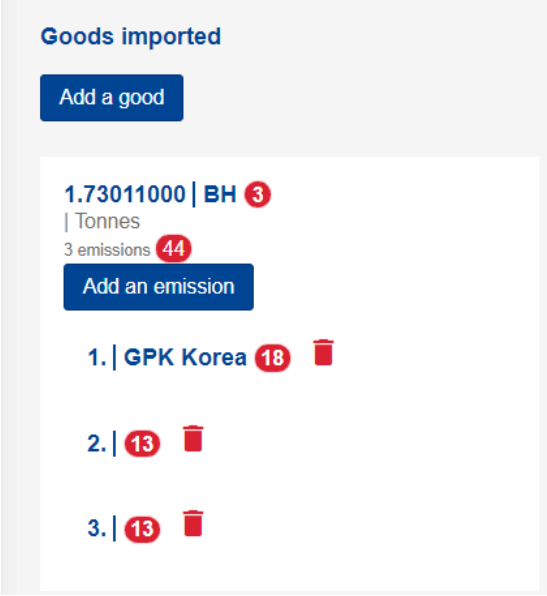


Figure 45: Validate good – Navigation tree indication

4.4.2.6 How to fill in the good

The following sections are describing how to fill in the details in each tab.

4.4.2.6.1 Importer

In the “Importer” tab, the user can check the box appearing in case the Reporting Declarant acts as importer or click on the “Add importer” button to add importer details. If an importer is defined in the Header level, the respective tab in the good’s imported level will not appear, otherwise the tab is mandatory to be filled in.

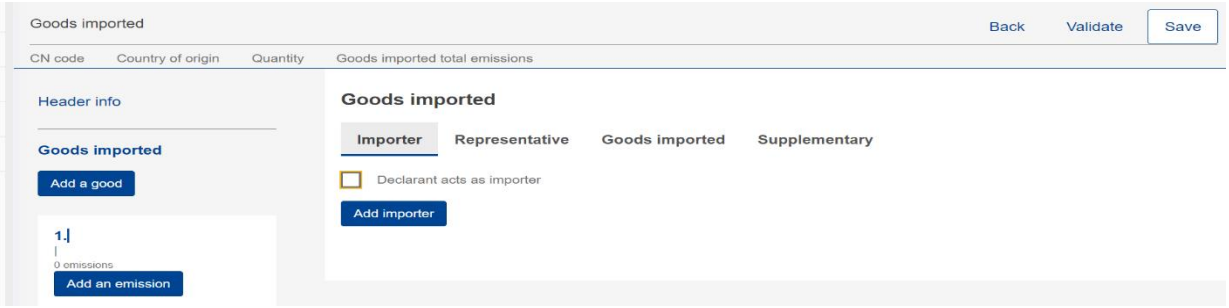


Figure 46: Good Level - Importer tab

If the user clicks the “Add importer” button then he/she can add details of the importer on the appearing menu, where all fields marked with an asterisk are mandatory.

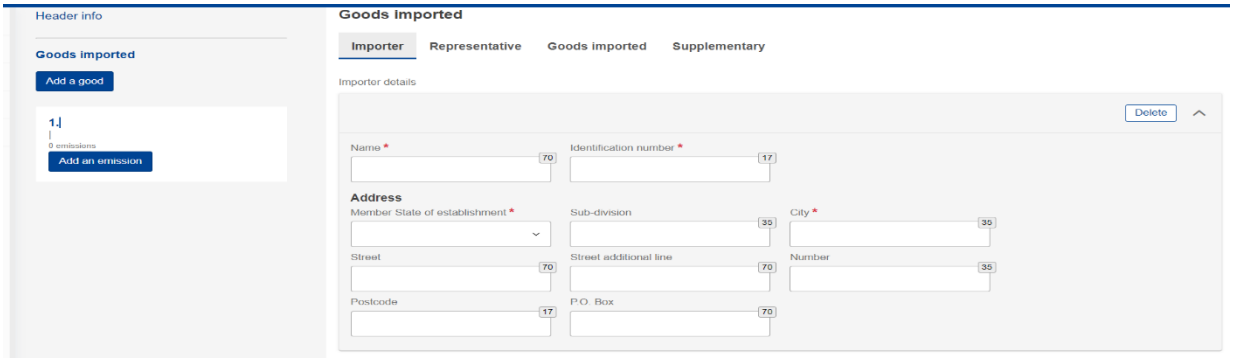


Figure 47: Good Level - Add Importer

If the user needs to delete an importer, he/she can click the “Delete” button on the up-right corner of the menu.

4.4.2.6.2 Indirect customs representative

In the “Indirect customs representative” tab, the user can check the box appearing in case the Reporting Declarant acts as indirect customs representative or click on the “Add indirect customs representative” button to add indirect customs representative details.

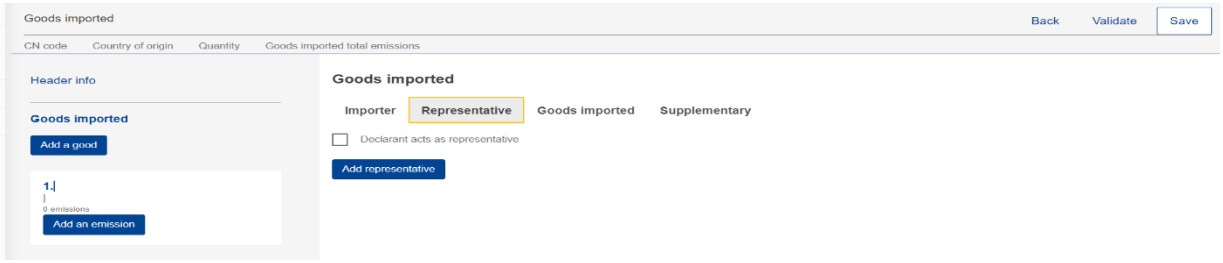


Figure 48: Good’s level - Indirect customs representative tab

If the user clicks the “Add indirect customs representative” button then he/she can add details of the indirect customs representative on the appearing menu, where all fields marked with an asterisk are mandatory.

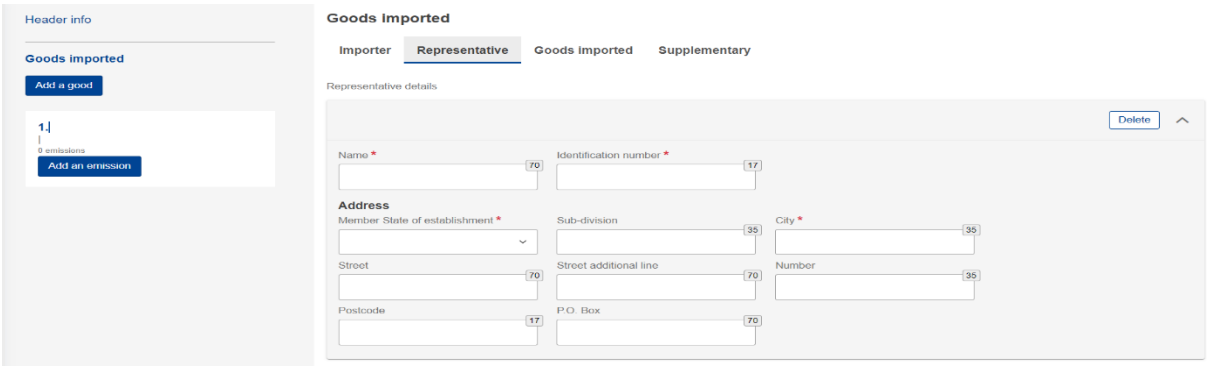


Figure 49: Good's level - Add Indirect customs representative

If the user needs to delete a indirect customs representative, he/she can click the "Delete" button on the up-right corner of the menu.

4.4.2.6.3 Goods Imported

In "Goods imported" tab, the user must fill in all the mandatory fields marked with an asterisk.

After adding the HS and the CN codes, the "Description of goods" is automatically filled in. Please note that a minimum of 2 characters are required in HS sub-heading code to allow the system to display the available CBAM goods.

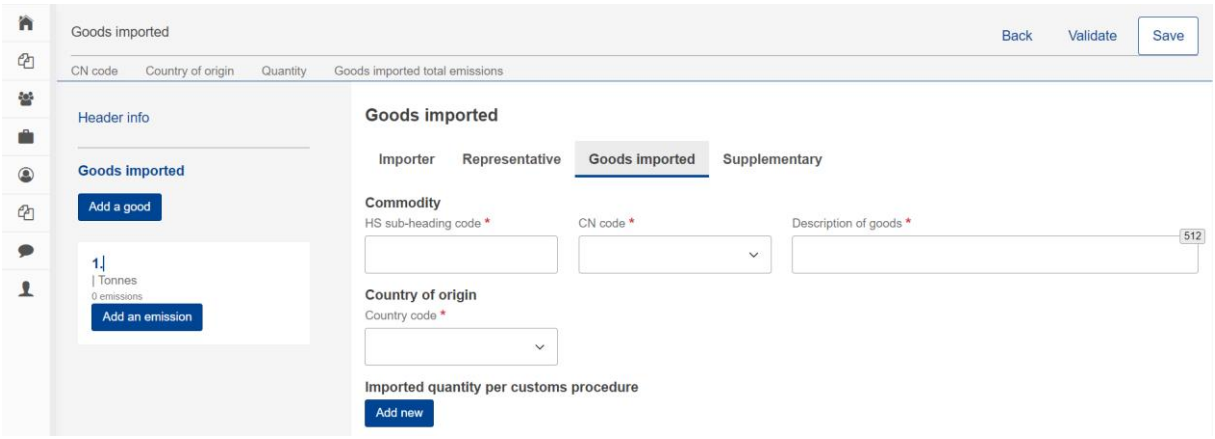


Figure 50 Goods imported tab 1/3

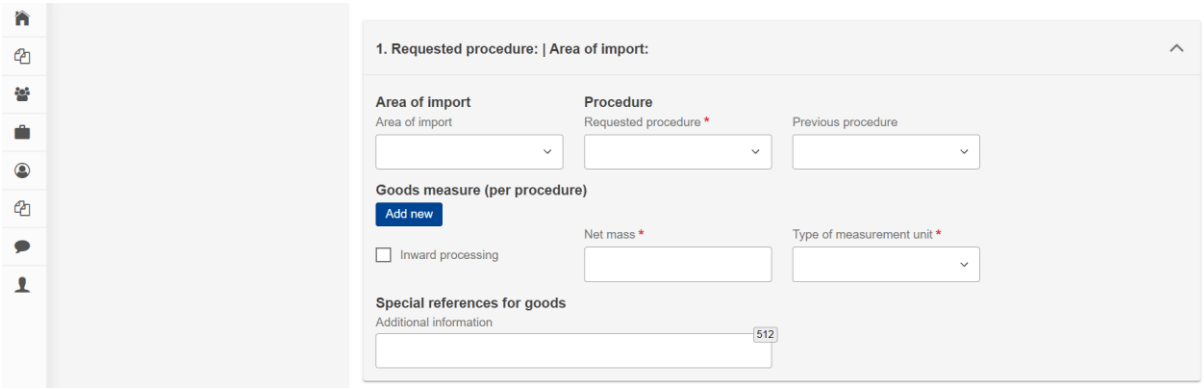


Figure 51 Goods imported tab 2/3

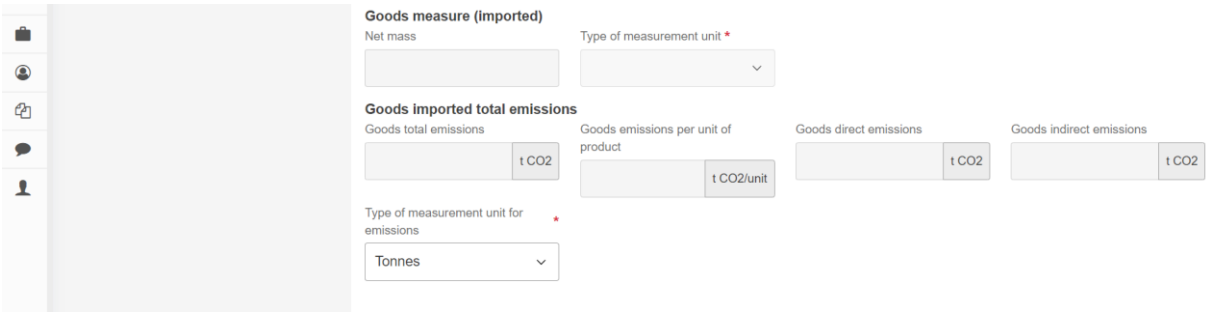


Figure 52 Goods imported tab 3/3

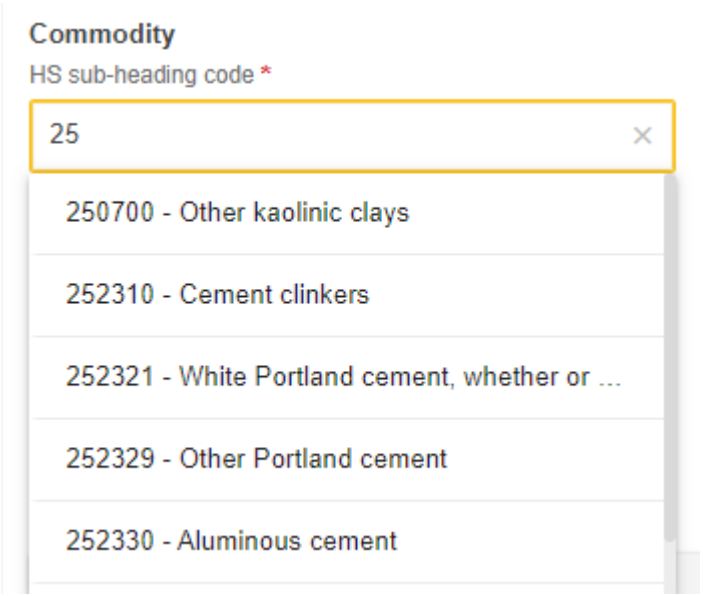


Figure 53: HS sub-heading code selection

By clicking the “Add new” button right below the “Imported quantity per customs procedure” line, the user can add more than one quantity of goods imported in case the customs procedure differs from one import procedure to another import procedure.

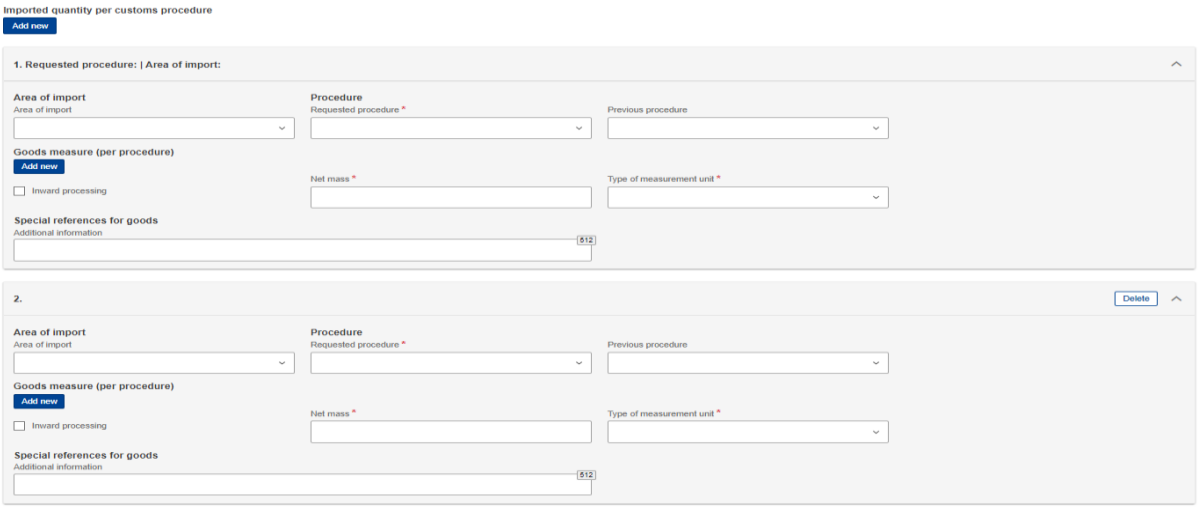


Figure 54: Imported quantity per customs procedure

Please note that goods Imported total emissions, goods emissions per unit of product, goods Direct Emissions and goods indirect emissions will be filled in automatically based on the emissions information to be added for each good.

In case the previous procedure is inward processing, the 51 (Inward processing procedure (suspension system)) and 54 (Inward processing (suspension system) in another Member State (without their being released for free circulation in that Member State)) codes are available. By clicking the "Add new" button right below the "Goods measure (per procedure)" line, the user can add more than one measure of goods. The checkbox "Inward processing" that is present in this section has the following meaning:

- If not checked, then it defines the quantity of the CBAM Good that is released for free circulation.
- If checked, then it defines the quantity of the CBAM Good within processed goods (from Inward Processing) which are released for free circulation.

Please note that it is possible to add until two instances of Goods measure per procedure to cover the above business cases.

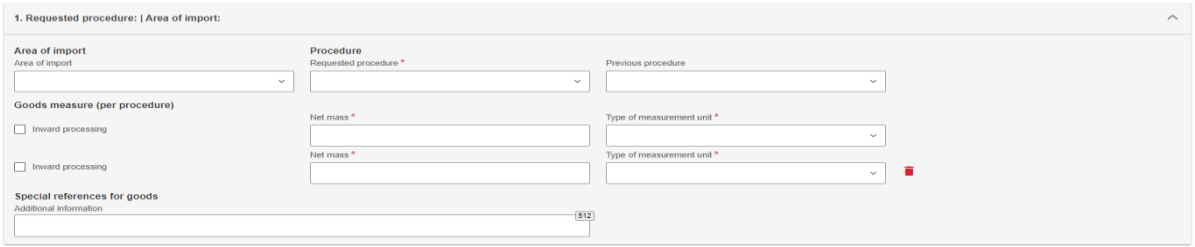


Figure 55: Goods measure per procedure

Note: Starting from Q22024 reporting period, Net Mass should always be reported in Tonnes (Kg will no longer be selectable). So, goods except electricity the measurement unit will be Tonnes.

4.4.2.6.4 Supplementary

In "Supplementary" tab, the user can add information about the good in the textbox or/and add "Supporting" documents by clicking the "Add new" button.

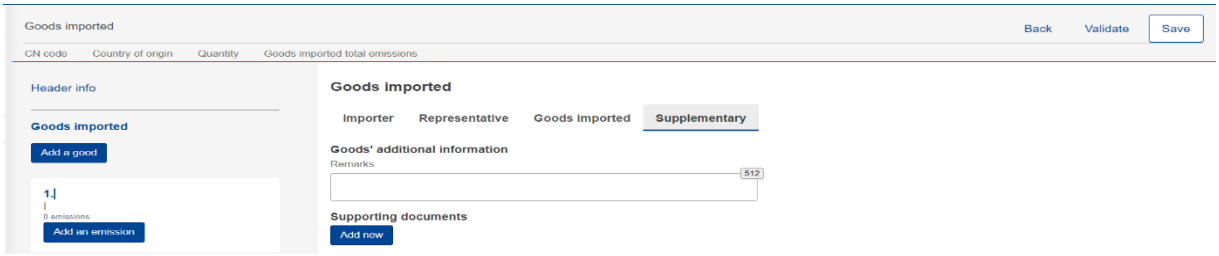


Figure 56: Goods - Supplementary tab

By clicking the “Add new” button, the user needs to fill in the fields marked with an asterisk as they are mandatory. If the user needs to delete the supporting document, he/she can do so by clicking the “Delete” button on the upper right corner of the menu.

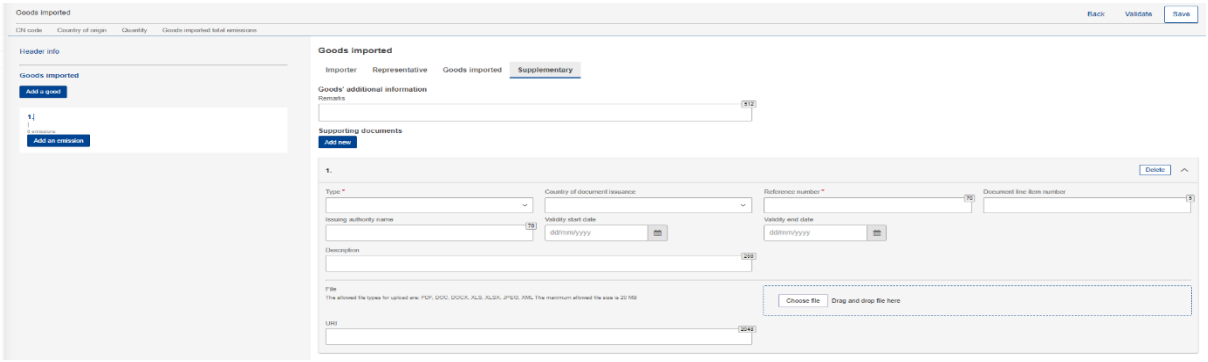


Figure 57: Goods - Add supporting documents.

4.4.2.7 Duplicating of CBAM Goods

In cases where the emissions information is the same for different goods that are produced from the same installation, the “Duplicate Good” feature can be used.

In Goods imported table, in the Actions column, the “Duplicate Icon” can be used to duplicate the same contents of the good where the icon is clicked.

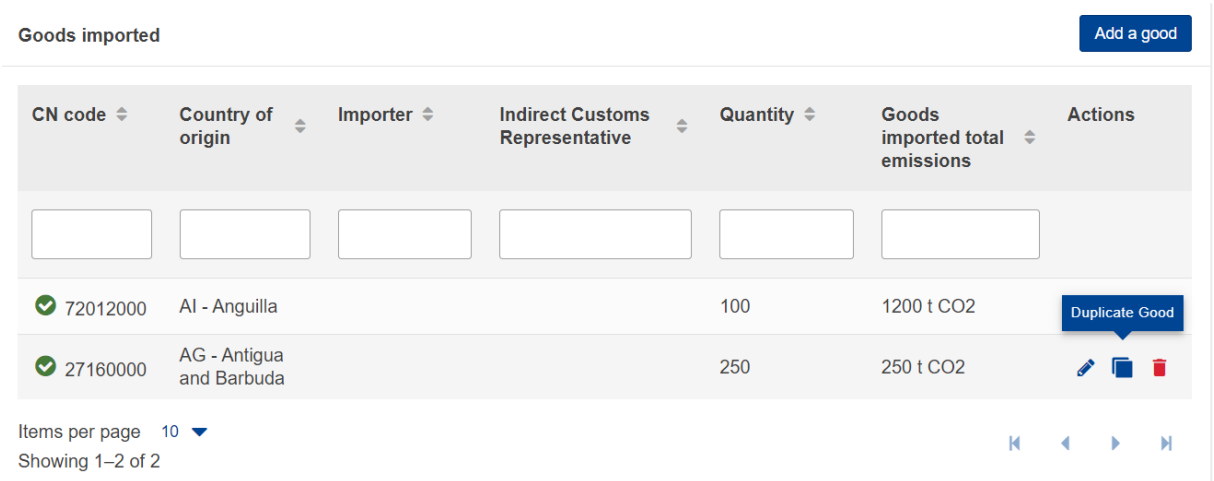


Figure 58: Goods - Duplicate Good

Once the new good has been selected, it can be edited as needed (see 4.4.2.3 Editing of CBAM goods).

4.4.3 Emissions level of CBAM report

For each CBAM good added in the report, its respective emissions need to be declared. Multiple emissions can be added based on installation/country of production.

4.4.3.1 Addition of Emissions

Click the "Add an emission" button the left side of the screen to get the process started. For each emission added, an incremental number will be shown automatically.

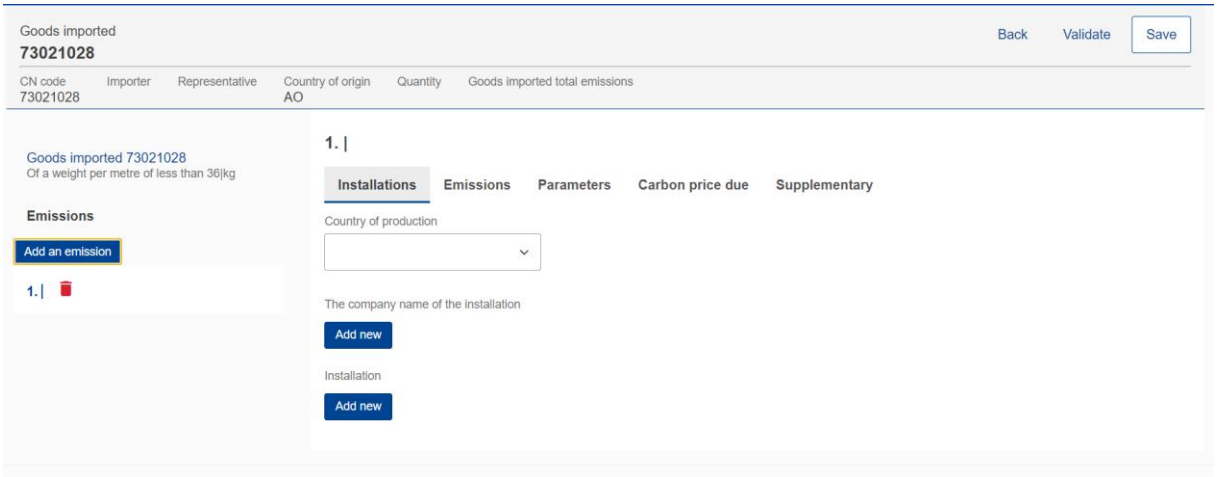


Figure 59: Add an emission.

4.4.3.2 Deletion of Emissions

In order to delete an emission data elements group, click the next to emission sequence number.

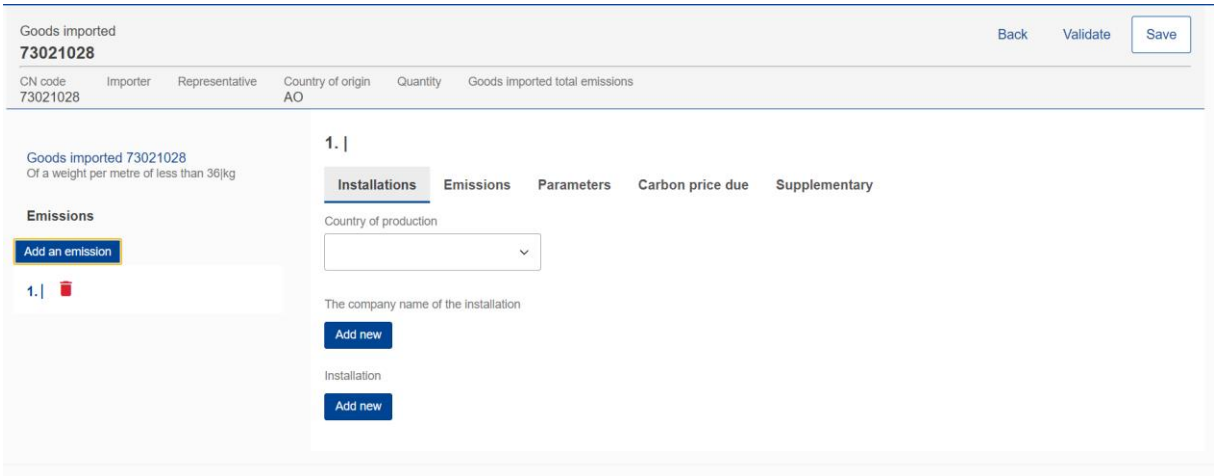


Figure 60: Delete emission.

4.4.3.3 Editing of Emissions

Emissions can be edited once the related good is in edit mode, see 4.4.2.3 Editing of CBAM good. In the left navigation tree, click the emission that needs to be edited. Please note that if “Save” button is clicked from the “Action menu” the good & emission information is saved and the good will need to be reopened to continue with emissions editing.

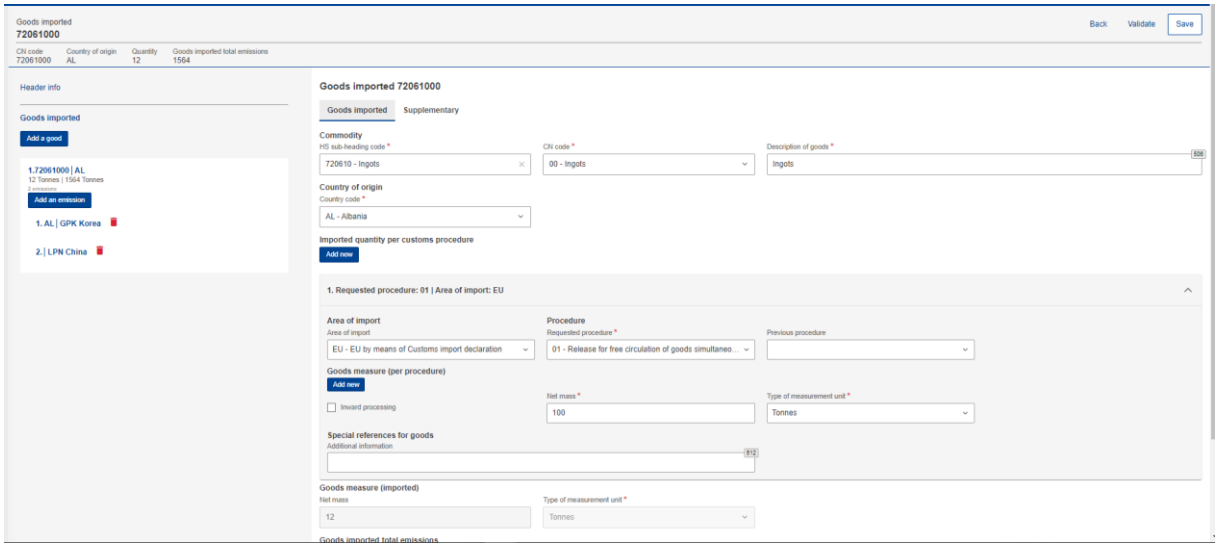


Figure 61: Edit good

4.4.3.4 How to fill in the emissions

The following sections will describe each screen tab in detail.

4.4.3.4.1 Installations

In this tab the following information need to be defined: Country of production, Operator (Company that owns the installation), and Installation.

Operator & Installation are optional elements, however it is advisable to define them.

Country of production is mandatory to be defined (it should match the country of installation establishment if defined).

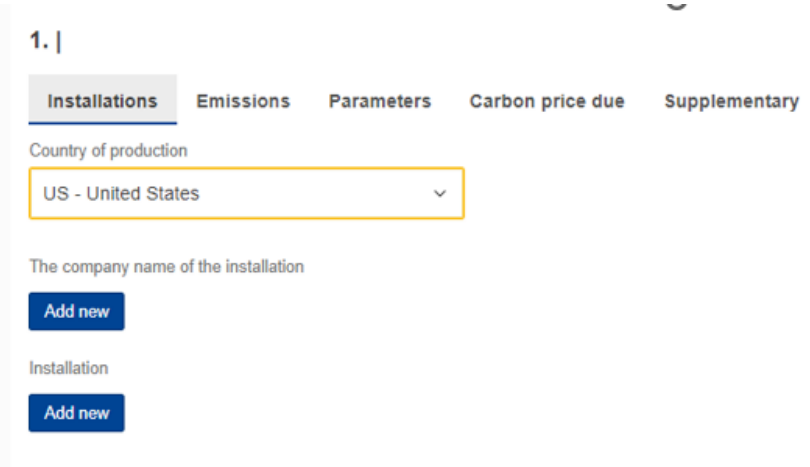


Figure 62: Installation - Country of production

The company name that owns the installation can be either be filled in directly in the report or selected from the Operators registry. (See section 4.1 Operators Registry). click the "Add New" button to proceed with the operator addition.

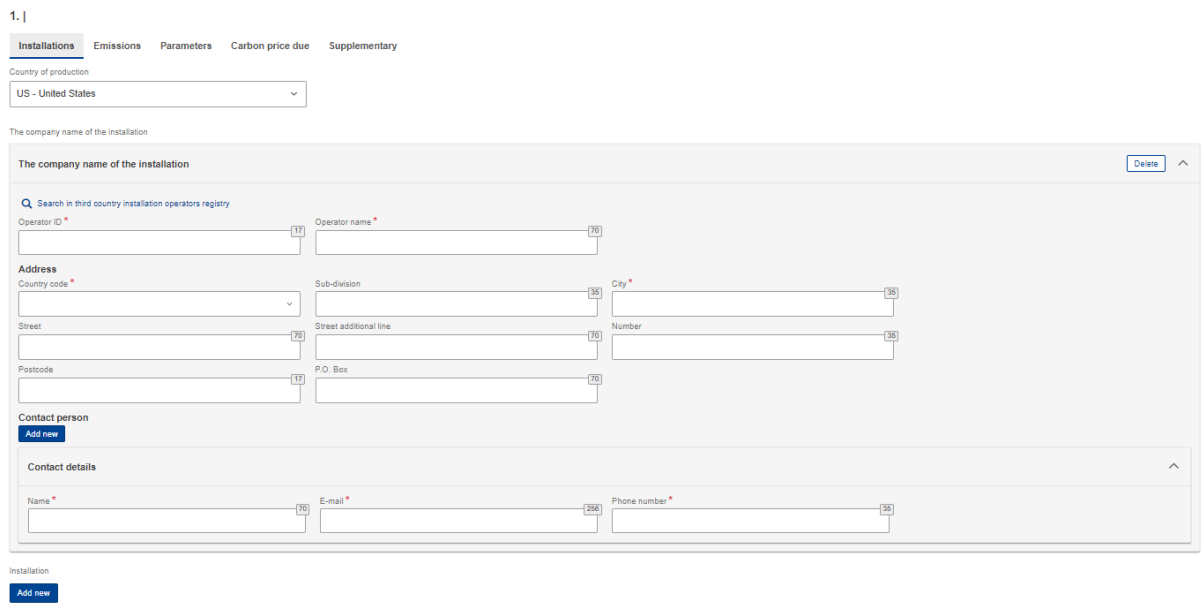


Figure 63: Emissions - Operator (The company name of the installation)

An operator can be selected from the operator's registry by clicking the "Search in third country installation operators registry".

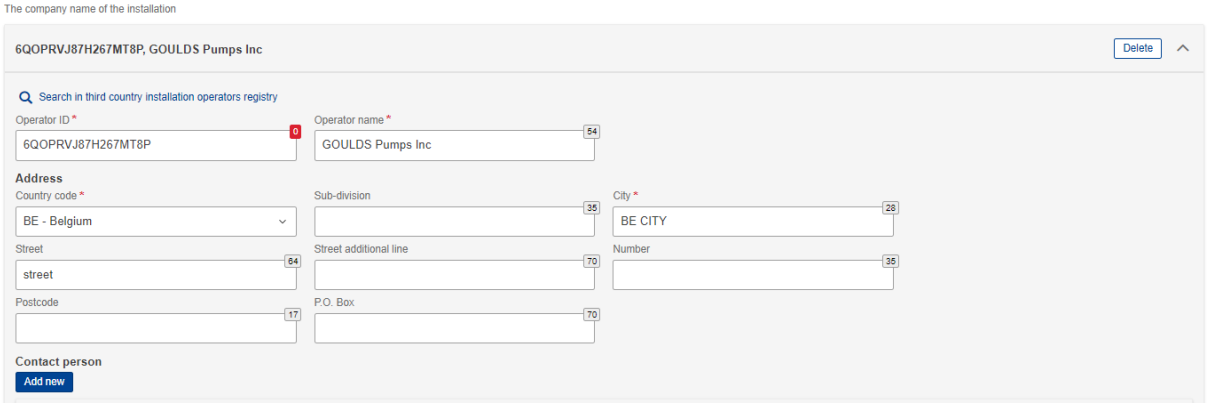


Figure 64: Emissions - Operator (Information filled in from Operator Registry)

Installation can be either filled in directly in the report or selected from the Installation registry. (See section 4.2 Installations respectively). click the "Add new" button to proceed with the installation addition.

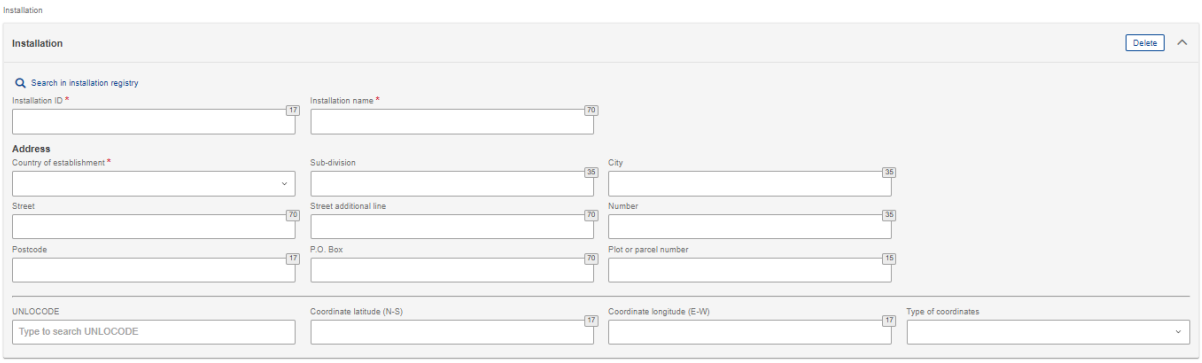


Figure 65: Emissions - Installation fields

An installation can be selected from the Installation registry by clicking the "Search in installation registry". Please note that the installation available to be selected are the ones that have been associated with the operator selected.

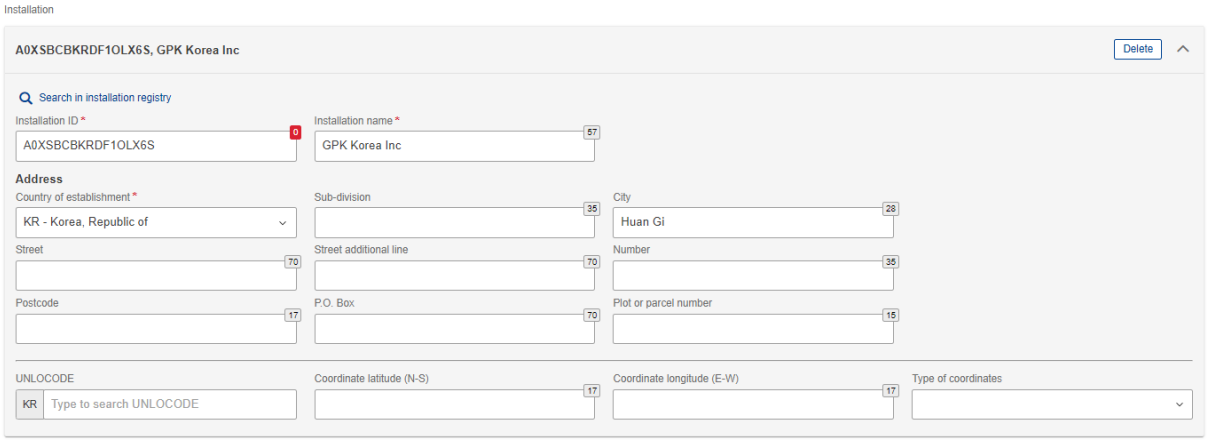


Figure 66: Emissions-Installation information filled in from Installations Registry

4.4.3.4.2 Emissions

The emissions tab will be differentiated when Electricity is selected in good's section. Ensure to fill in all mandatory fields.

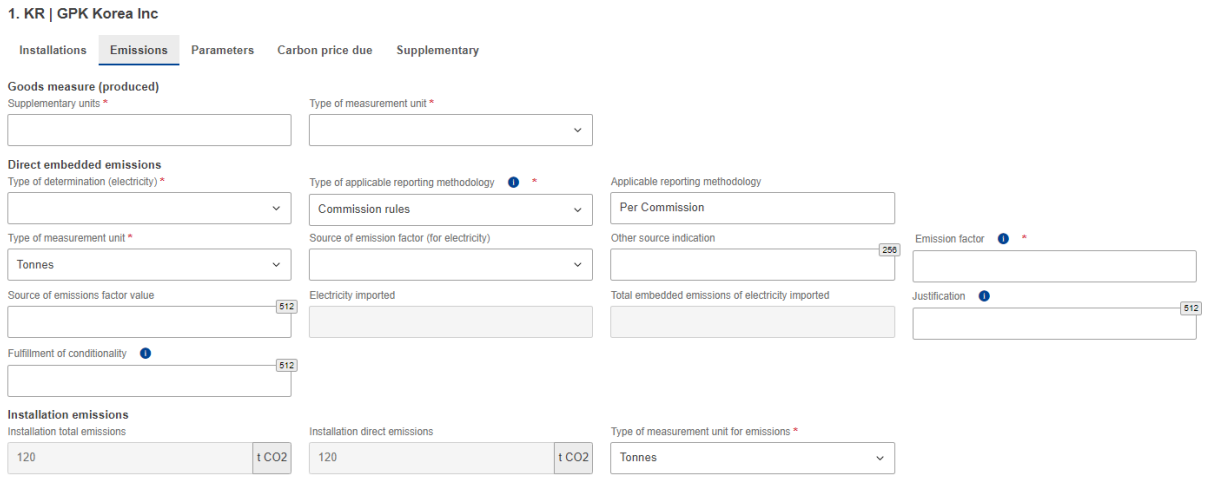


Figure 67: Emissions tab - Applicable to Electricity good

For all goods except electricity, both direct and indirect embedded emissions need to be defined. Based on type of determination, some fields may become read only.

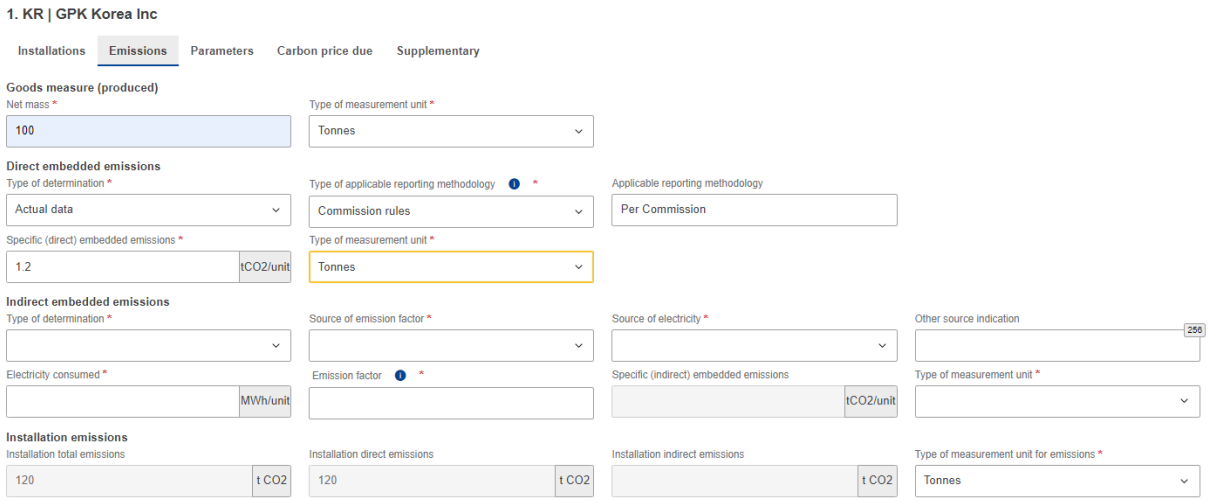


Figure 68: Emissions tab- Applicable for all goods except electricity

Please note that default values for specific direct embedded emissions and specific indirect embedded emissions are displayed on screen (see Figure 69: Emissions-Default Values) based on good selected provided the "Other methods including default values" option is selected in "Type of Determination".

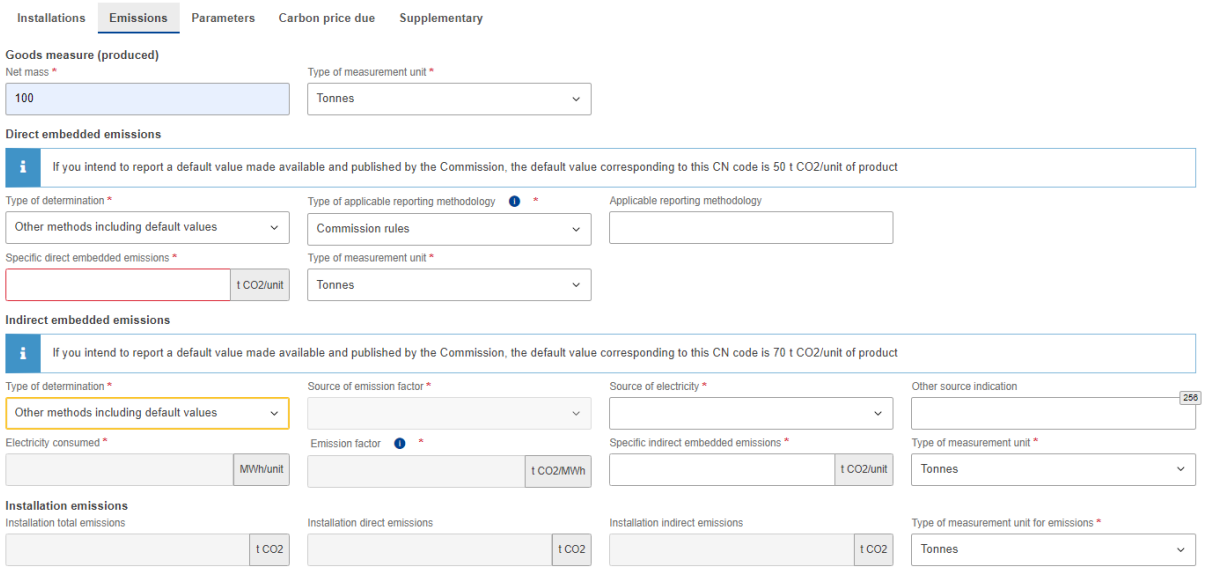


Figure 69: Emissions-Default Values

Please note that the summation of all Goods measure (produced) net mass or supplementary units defined in emissions, should be the same value as the one declared in Goods level.

Clarification of the options available in the CBAM Transitional Registry for the reporting of Indirect Embedded Emissions.

Option #1 - to use the **default values made available and published by the Commission** (*please note that this option is only possible for Q4/23, Q1/24 and Q2/24*) please proceed as follows:

- From the element "Type of determination", choose the option "Estimated values including default values made available and published by the commission"; and
- From the element "Source of electricity", choose the applicable option to your case.

Once these options are selected, the default value for specific indirect embedded emissions is displayed in the registry. It is expressed in t CO2/unit of goods. After manually entering the value in the corresponding field, it is automatically multiplied with the amount of goods (tonnes) to arrive at the total indirect emissions (tonnes).

Option #2 - to use the **average emission factor of the country-of-origin electricity grid** (based on IEA data provided by the Commission) please proceed as follows:

- From the element "Type of determination", choose the option "Actual data";
- From the element "Source of emission factor", choose "Commission based on IEA data";
- From the element "Source of electricity", choose "received from the grid"; and
- In the element "Electricity consumed", provide the correct amount (expressed in MWh/unit of goods) of electricity consumed.

Once these steps are taken, the emission factor (expressed in t CO2/MWh) is displayed automatically in the registry. This value is automatically multiplied by the actual amount of electricity consumed in the production of a good (MWh/unit of goods). The result is a value of t CO2/unit of goods, which is automatically multiplied with the amount of goods (tonnes) to arrive at the total indirect emissions (tonnes).

Option #3 - to use **any other emission factor of the country of origin electricity grid based on publicly available data**, please proceed as follows:

- From the element "Type of determination", choose the option "Actual data";
- From the element "Source of emission factor", choose "Other";
- From the element "Source of electricity", choose "received from the grid";
- Under the elements "Other source indication" and "source of emission factor value" clearly reference the publicly available and reliable source you are referring to (via explanation and a link to the dataset, for example); and
- In the element "Electricity consumed", provide the correct amount (expressed in MWh/unit of goods) of electricity consumed; and
- In the element "Emission factor", provide the other emission factor (expressed in t CO2/MWh).

Once these steps are taken, the emission factor is automatically multiplied by the actual amount of electricity consumed in the production of a good (MWh/unit of goods). The result is a value of t CO2/unit of goods, which is automatically multiplied with the amount of goods (tonnes) to arrive at the total indirect emissions (tonnes).

Option #4 - to use an **actual emission factor for indirect emissions**, please proceed as follows:

- From the element "Type of determination", choose the option "Actual data";
- From the element "Source of emission factor", choose "Other";
- From the element "Source of electricity", choose either "direct technical link to electricity generator" or the option "(bilateral) power purchase agreement" (as applicable);
- Under the elements "Other source indication" and "source of emission factor value" clearly specify and explain how exactly you fulfil the concrete requirements of the selected option and how the emission factor value has been calculated (you are also encouraged to upload supporting documents in the "Supplementary"-tab to do this);

- In the element “Electricity consumed”, provide the correct amount (expressed in MWh/unit of goods) of electricity consumed; and
- In the element “Emission factor”, provide the actual emission factor (expressed in t CO2/MWh).

Please note that to use the actual emission factor for indirect emissions, one of two conditions have to be fulfilled: either there is a *direct technical link* between the installation producing the goods and the generated electricity; or, there is a *Power Purchase Agreement* between the installation and the electricity producer. In both cases, the electricity needs to be used to produce the relevant goods. If one of the conditions is fulfilled and the above-mentioned steps are taken, the emission factor is automatically multiplied by the actual amount of electricity consumed in the production of a good (MWh/unit of goods). The result is a value of t CO2/unit of good, which is automatically multiplied with the amount of goods (tonnes) to arrive at the total indirect emissions (tonnes).

4.4.3.4.3 Parameters

This screen varies based on the good selected. The information provided helps to justify the emissions calculated.

The first section is related to the production methods used. It is pre-filled based on data available for each CN Code.

Figure 70: Production Method (sample)

In direct & indirect emissions qualifying parameters, the default qualifying parameters per production method are added (if available). For each qualifying parameter, the needs to be defined which can be a numeric or a text value depending on the parameter type.

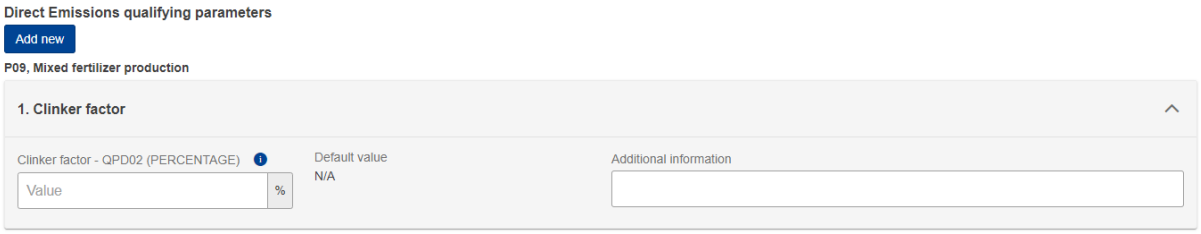


Figure 71: Direct emissions qualifying parameters

Click the “Add new” button to add other qualifying parameters.

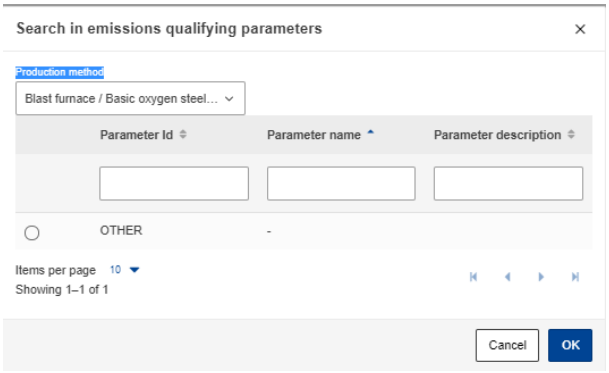


Figure 72: Add new qualifying parameters

Indirect emissions qualifying parameters are not required to be filled in when electricity is selected as good.

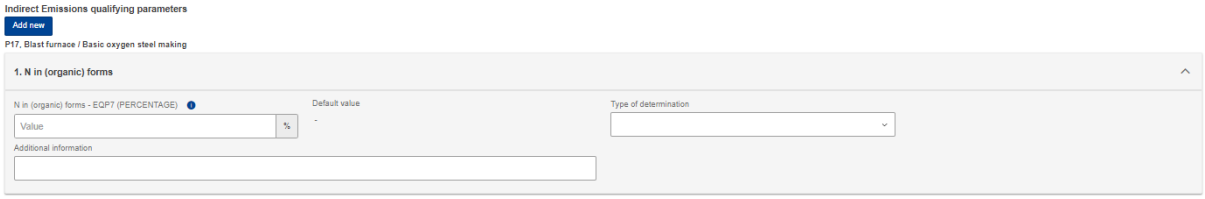


Figure 73: Indirect Emissions qualifying parameters

Please pay particular attention when a dash is displayed next to the ‘Default value’ checkbox. In this case the user is strongly advised against clicking the checkbox, because an error will be generated. The user can enter a preferred value (percentage, numeric or text) in the respective field.

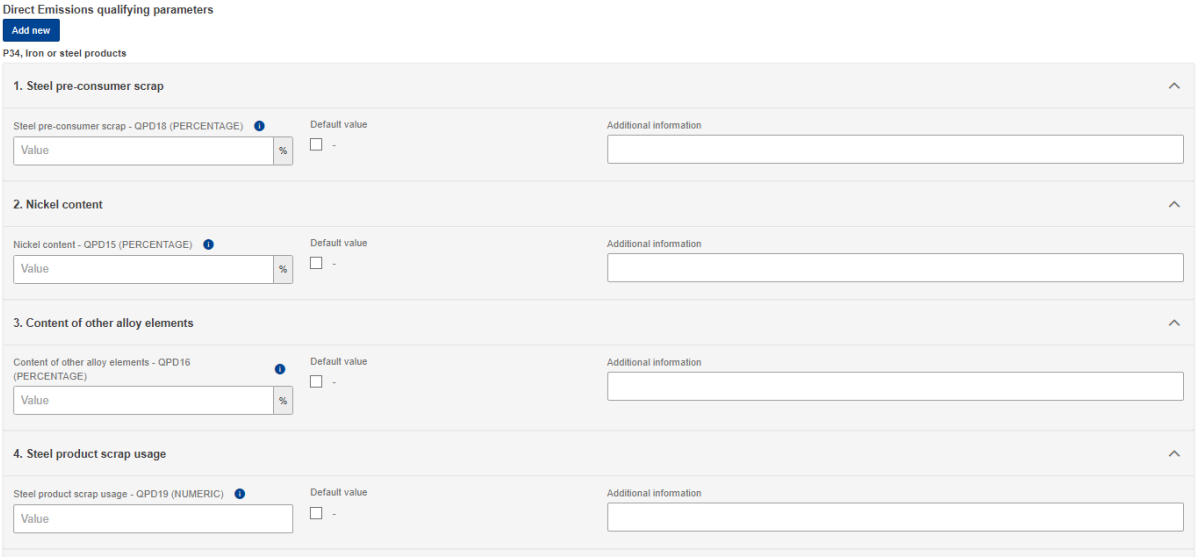


Figure 74 Default value checkbox

4.4.3.4.4 Carbon price due

This section is optional. In case CO2 emissions have already been paid in other countries, in this section all relevant information will be required to be filled in. The most important information to be provided is the national legal basis of the carbon pricing scheme including the implementing provisions and their respective sources.

Click the “Add New” button to start filling in the details. Please note that multiple sections can be added based on the country /type of instrument combinations.

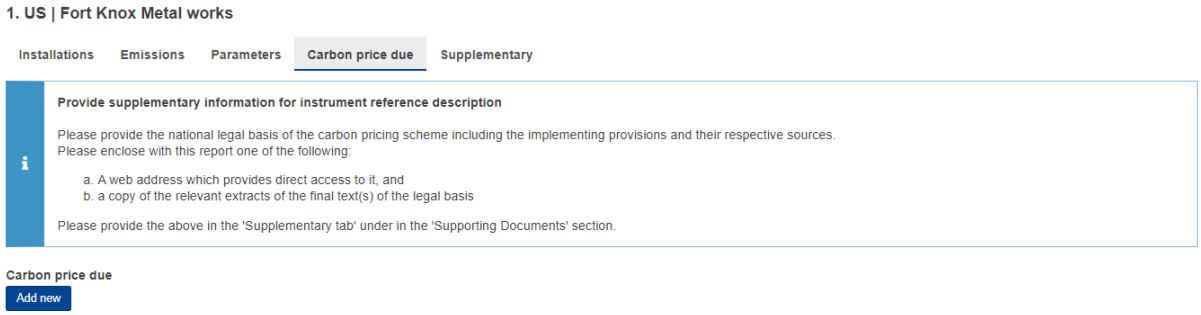


Figure 75: Carbon price due tab

For each country/type of instrument combination, multiple goods covered under carbon price due can be defined by clicking the “Add New” button below the “Goods covered under carbon price due” label.

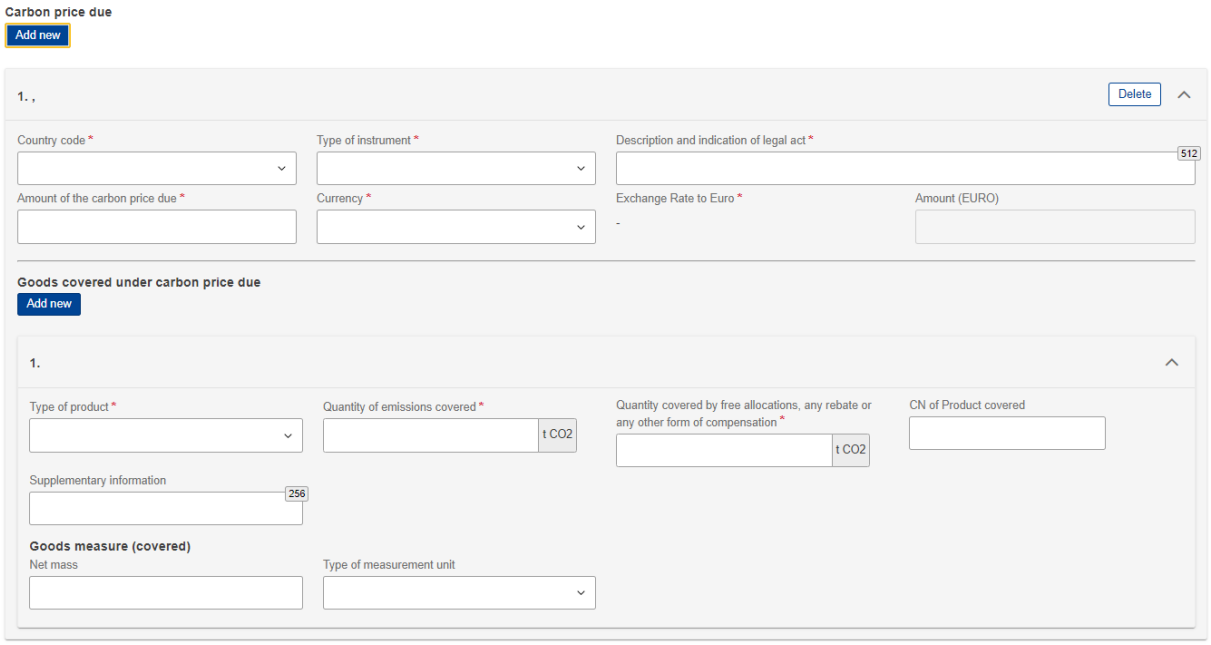


Figure 76: Carbon price due -Details

Please fill in all mandatory fields. Regarding exchange rates, these will be defined by the European Commission.

4.4.3.4.5 Supplementary

In this tab additional information for emissions calculations can be added and all supporting documents.

Multiple additional information & supporting documents can be added by clicking the “Add new” button.

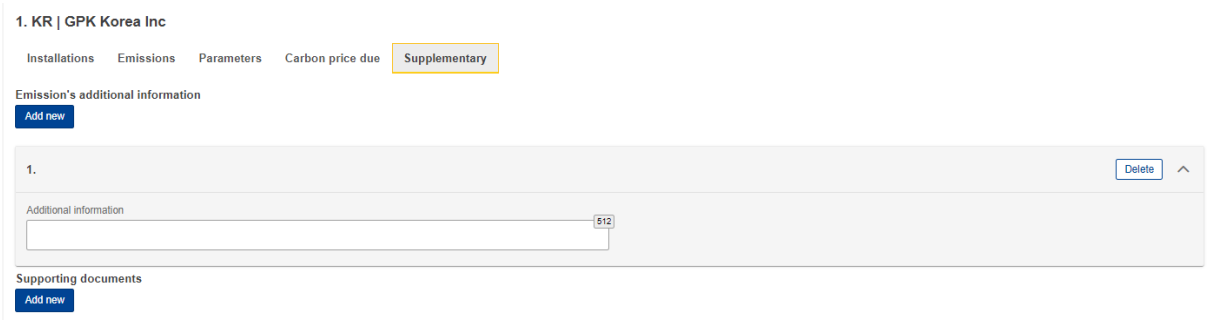


Figure 77: Supplementary tab

For each emissions supporting document, the type and Reference number are mandatory to be filled in. A document can be added either by using the “Choose File” or by dragging and dropping. In order to delete an uploaded file, the “Delete” button needs to be clicked.

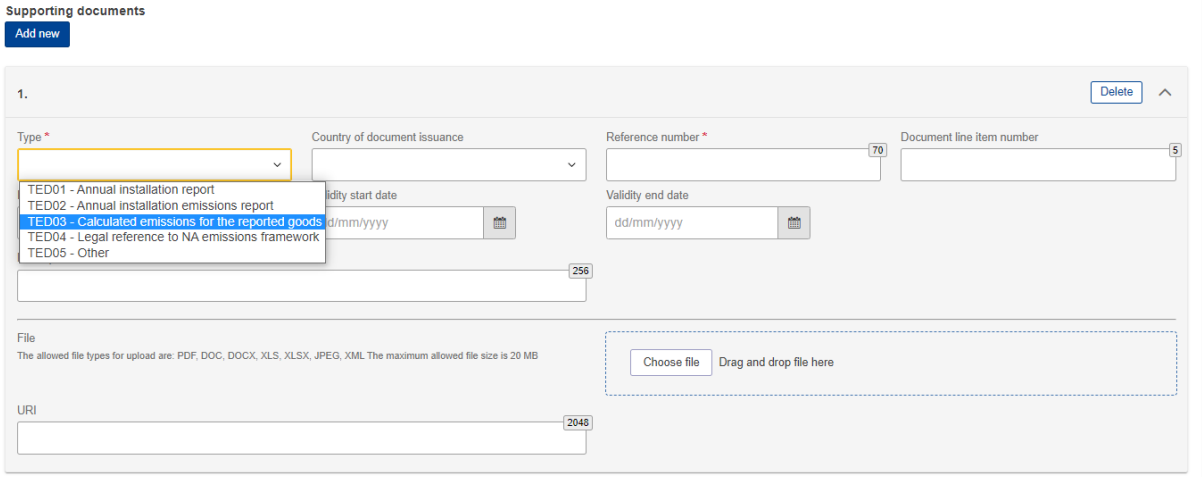


Figure 78: Emissions - Supplementary - Supporting Documents

4.5 QUARTERLY REPORT ACTIONS

In this section all the processes related with the report management that a CBAM Reporting Declarant can perform will be listed in detail.

4.5.1 Creation of a report

In Home Page, click “My quarterly reports” and then click the “Create” button for the reporting period where a report needs to be created.

The “Create” button is visible only during the allowable reporting deadline. In the case a report needs to be created after the deadline expiration, please follow the process 4.5.11 Request to submit a report with a delay.

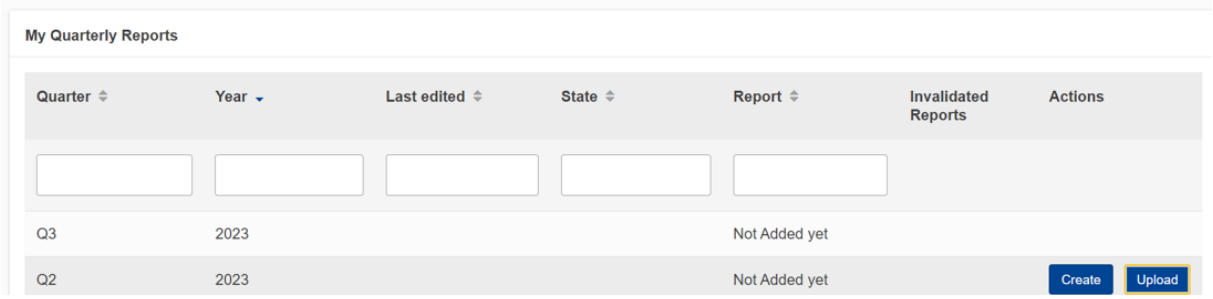


Figure 79: My Quarterly Reports - Create Report

4.5.2 Uploading of a report

Instead of using the user interface to fill in the report fields, an XML can be uploaded (in ZIP format containing all relevant attachments). The XML should be structured according to the XSD format listed in Annex 5.1 CBAM Report (XSD). Sample ZIP files are also included in 5.2 Sample ZIP file. The report structure is also provided in excel format along with the code lists in 5.3 Report structure .

Click the “Upload” button of the report that needs to be filled in “My Quarterly Reports”.

Figure 80: My Quarterly Reports - Upload a report.

In the upload ZIP dialog, you can choose the file to be uploaded or you can drag & drop the file.

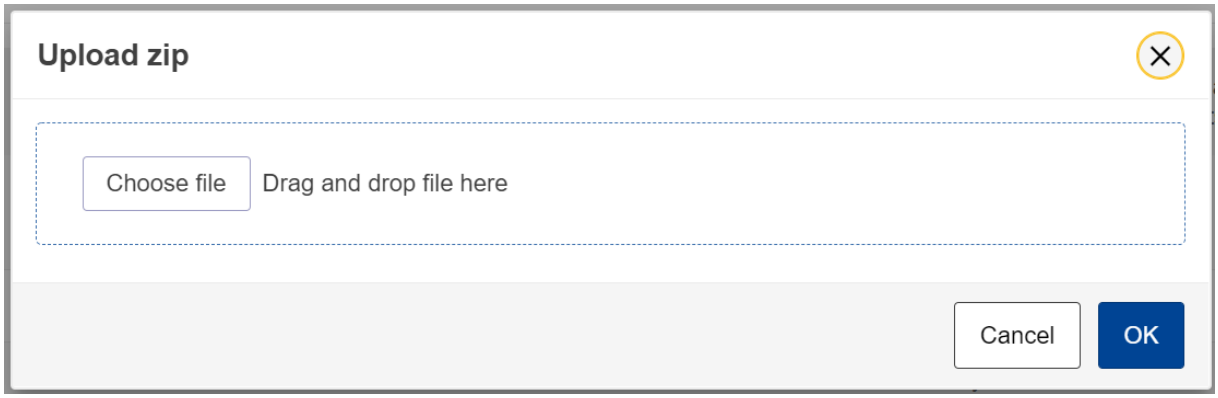


Figure 81: Upload ZIP

The “Upload” button is visible only for quarterly reports that their associated reporting period deadline has not expired. In case a report needs to be created after the deadline expiration, please follow the process 4.5.11 Request to submit a report with a delay.

Please note that once a report is uploaded it is not considered submitted. Please ensure to address any validation errors first.

When an XML is uploaded it is validated as follows:

a) Codes used should be valid according to the published code list (see 5.3 Report structure) where applicable.
b) Fields that are mandatory need to be filled in.
c) The following fields are checked whether the reported valid values based on goods information included:
   - ✓ Goods emissions per unit of product
   - ✓ Goods Total Emissions
   - ✓ Goods Direct Emissions
   - ✓ Goods Indirect Emissions
   - ✓ Installation Total Emissions
   - ✓ Installation Direct Emissions
   - ✓ Installation Indirect emissions
d) In addition, in the following business cases:
   - ✓ Selected good is any good except electricity & Indirect Emissions->Type of Determination =”Actual” & Indirect Emissions-> Source of emission factor=”Commission based on IEA”
   - ✓ Selected good is electricity & Direct Emissions->Type of Determination = “Default” & Direct Emissions-> Source of emission factor=”Commission based on IEA”

   The declarant is informed on the correct emission factor that should be used.

Please note the “Upload” button is also available in reports in “Under Amendment” state. The existing report data will be deleted and replaced with the new data from XML if the XML is valid.

4.5.3 Saving a draft report

Once a report has been created, the “Save as draft” button needs to be clicked in order to save a draft Report.

Additional validation rules may be generated during this process; however, these can be addressed at a later stage and before report submission.

Save as draft can be used as often as needed to save the contents of the report under preparation.

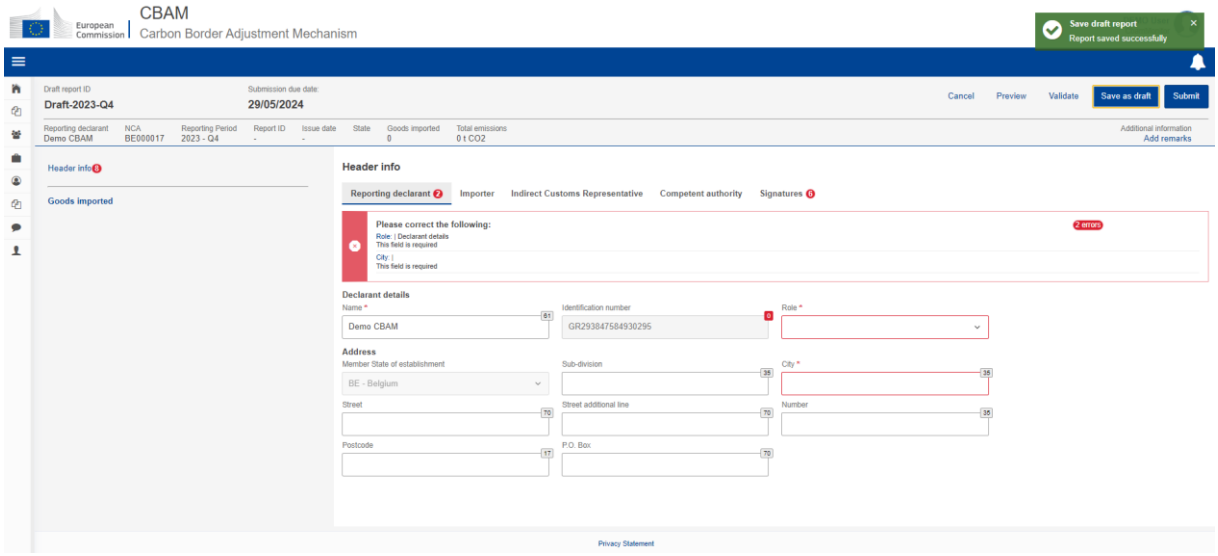


Figure 82: Save a draft report

4.5.4 Deletion of a draft report

A draft report can be deleted. The “Delete” button is available when the saved report is opened in view mode.

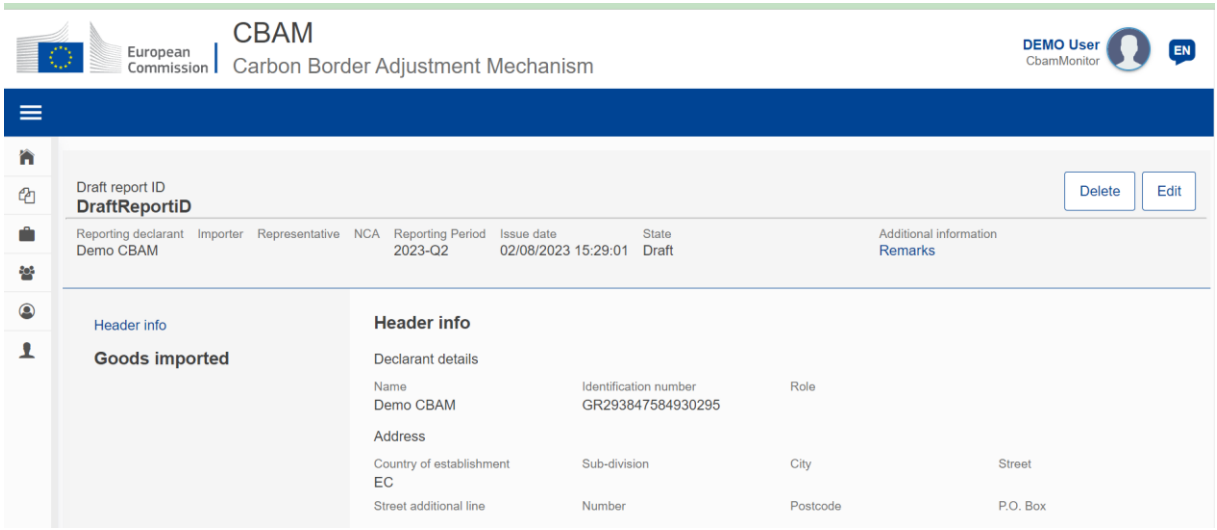


Figure 83: Delete a draft report.

A confirmation dialog will appear when the “Delete” button is clicked. The report will be deleted when the “OK” button is clicked.

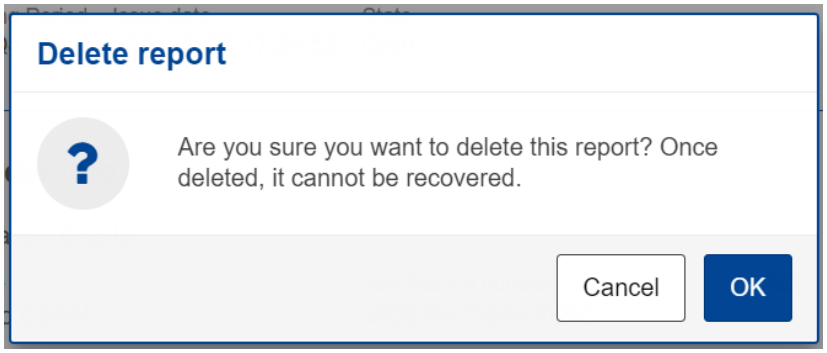


Figure 84: Delete draft report - Confirmation message

4.5.5 Editing of a report

Editing of a report is permitted when the report is in one of the following states.

- Draft
- Under Amendment
- Under Correction

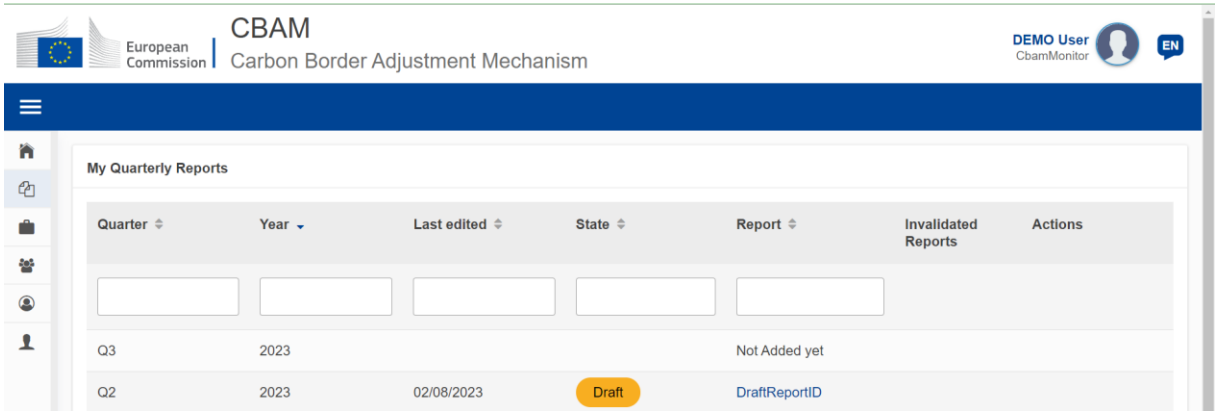


Figure 85: Edit a report.

In my quarterly reports page, click on the hyperlink in Report Column to open the report and then click the “Edit” button.

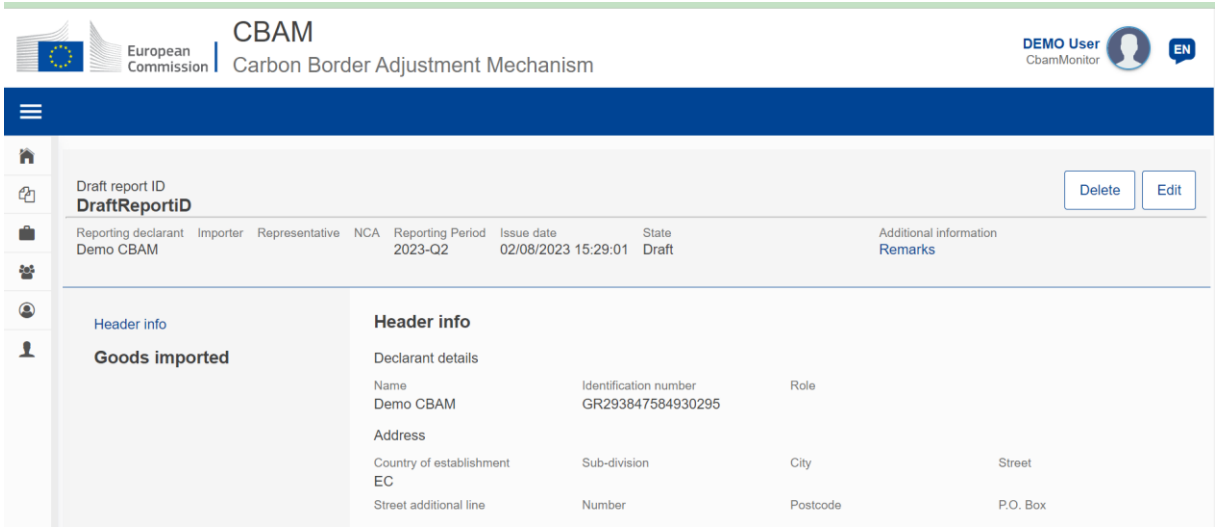


Figure 86: Edit a report

4.5.6 Validation of a report

The report can be validated by clicking the “Validate” button located in the Actions Menu.

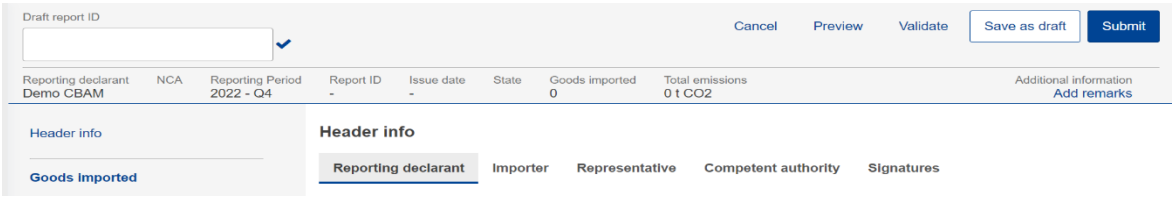


Figure 87: Validate report

In case validation errors exist that are related with the header, (see Figure 88 Validate Report – Errors display), they will appear in the related tab. In addition, the total number of errors that need to be addressed are shown on the left report navigation tree. Goods’ level errors will need to be addressed at each individual good. Please follow the process 4.4.2.5 Validating of CBAM goods.

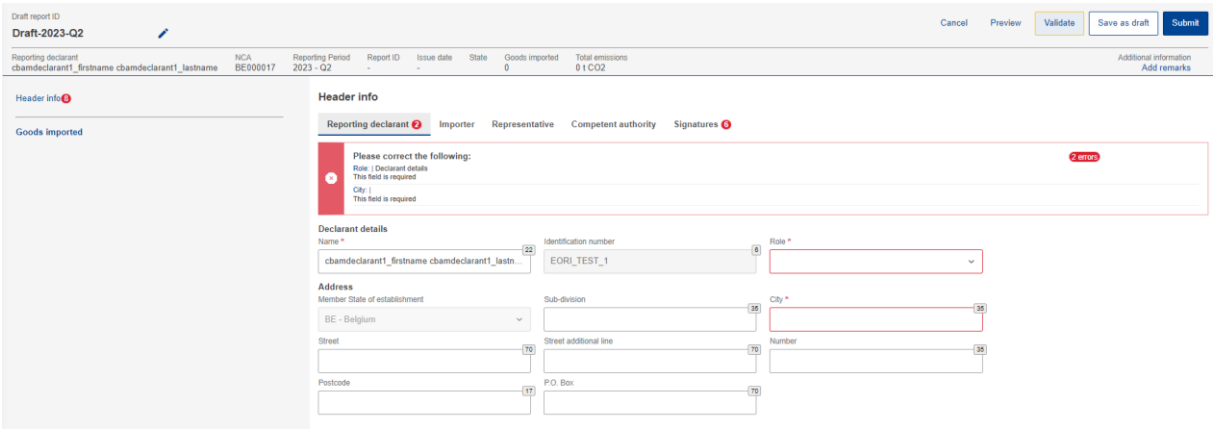


Figure 88 Validate Report – Errors display

4.5.7 Submission of a report

Once the report is prepared, the CBAM Reporting Declarant needs to click the “Submit” button to officially submit the report to the CBAM Registry. CBAM System will run the validations and if no errors are found:

a) The report state changes to SUBMITTED.
b) The Report gets a Report ID assigned, which is the primary identified for the whole lifecycle of the report and will not be changed.

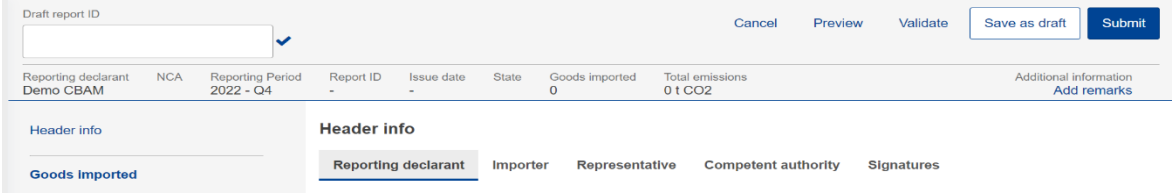


Figure 89: Submit report.

In case of validation errors, the CBAM Reporting Declarant will need to edit the draft report and perform corrections as needed to clear the validations error messages and click the “Submit” button again.

Prior to submission completion, the dialog shown in Figure 90: Modifications confirmation will be displayed. In case you are not planning to make modifications after the report submission, select the “No” choice. In any case, modifications are allowed in all cases if they will be implemented within the allowable modification period.

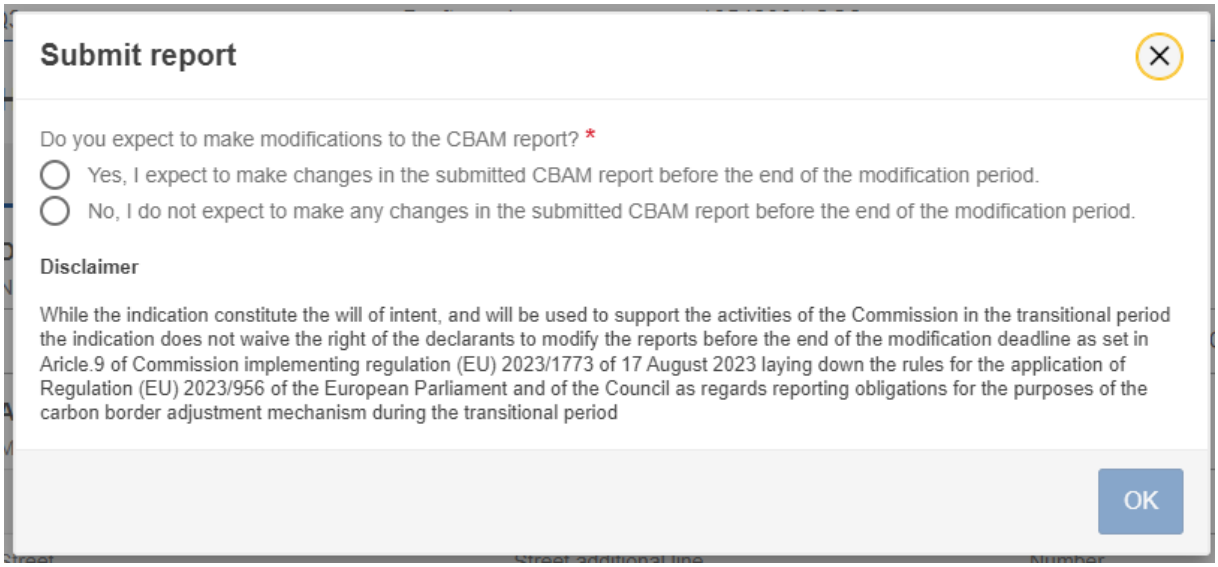


Figure 90: Modifications confirmation

Please note that a report needs to be submitted withing the submission due date. In case the submission period closes and the CBAM Reporting Declarant did not submit the report, a request for delayed report submission needs to be issued. See the 4.5.11 Request to submit a report with a delay process.

4.5.8 Viewing of a report

A report can be viewed by clicking on the “Preview” button on the Actions Menu.

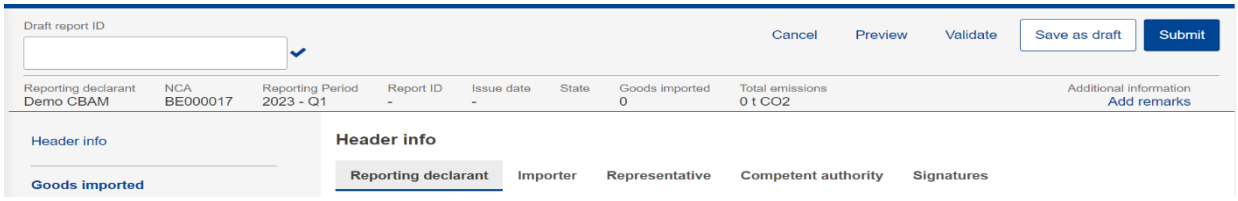


Figure 91: View report

4.5.9 Amendment of a report

When a report is in SUBMITTED state and provided the associated quarterly report modification deadline has not expired, a CBAM Reporting Declarant can perform changes to a report and resubmit it, once the validation process completes successfully.

To amend a report, locate the report with the status SUBMITTED and click the “Amend” button.

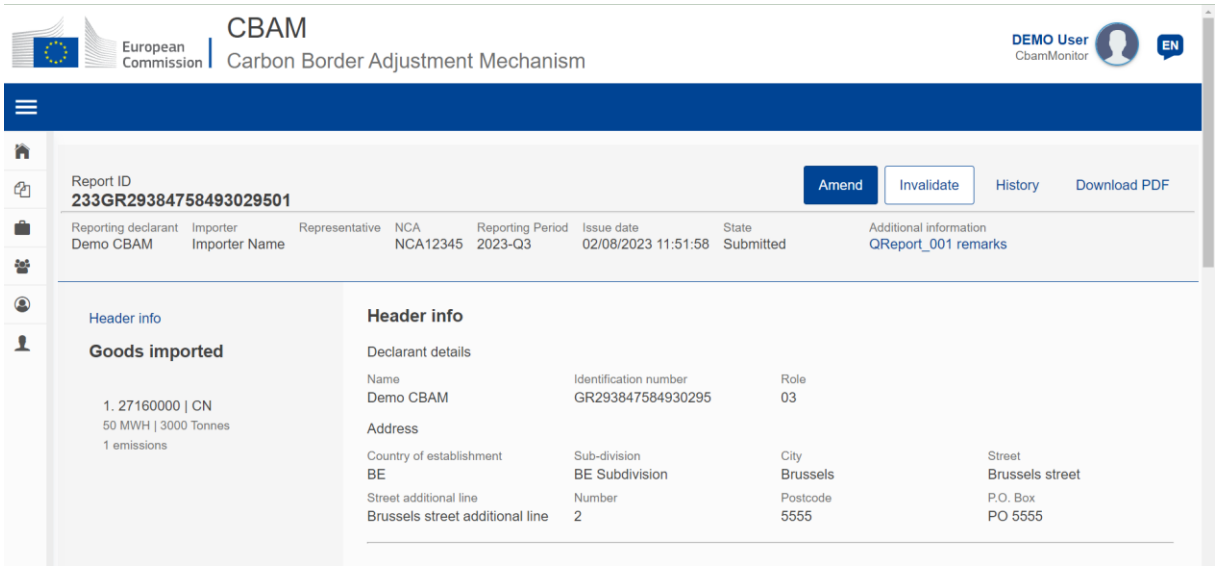


Figure 92: Amend a report.

The Report state will change to UNDER AMENDMENT. Changes in the report can be saved using the "Save as Draft" button. The "Upload" button is also available in "My quarterly reports" view in case the report needs to be modified using the XML Upload (see 4.5.2 Uploading of a report).

Once the changes are completed, the "Submit" button will need to be clicked.

In case of validation errors, the CBAM Reporting Declarant will need to edit the report and perform corrections as needed to clear the validations error messages and click the "Submit" button again.

The status will change to SUBMITTED again until the report state changes to REGISTERED once the associated modification period deadline expires.

Please keep in mind that the amended report can only be submitted within the official deadline for report modification. In case the modification period closes and the CBAM Reporting Declarant did not submit the amended report, a request for delayed report submission needs to be issued. See the 4.5.11 Request to submit a report with a delay process.

4.5.10 Invalidation of a report

When a report is in SUBMITTED state, the report can be invalidated to allow a new report for the same reporting period to be created.

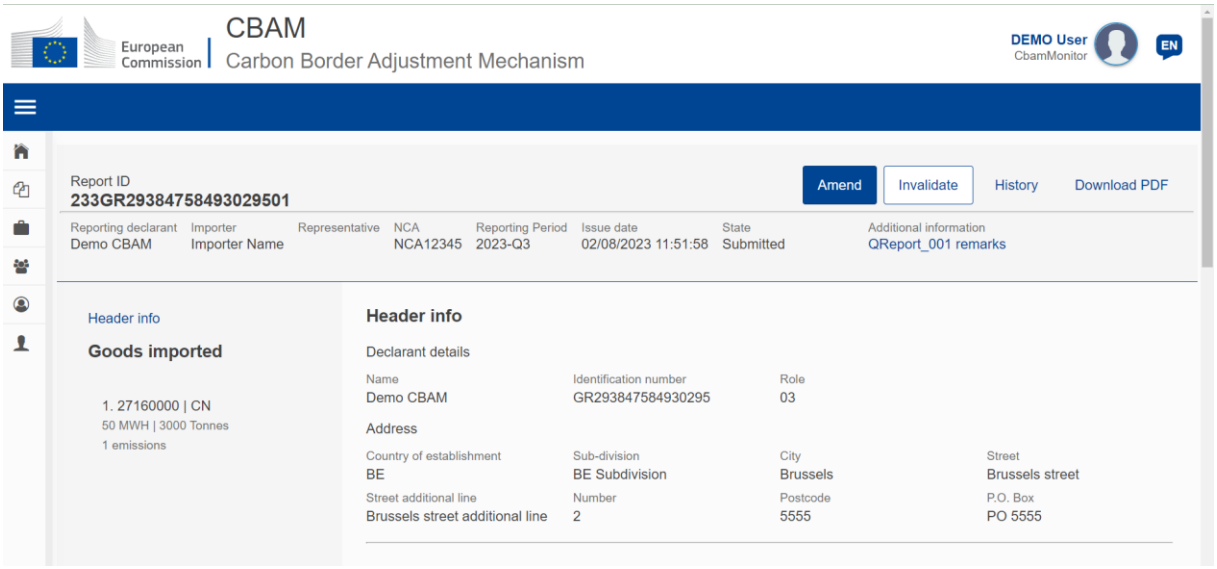


Figure 93: Invalidate a report.

The button “Invalidate” needs to be clicked. Upon confirming the action by clicking the “OK” button, the report state will change to INVALIDATED.

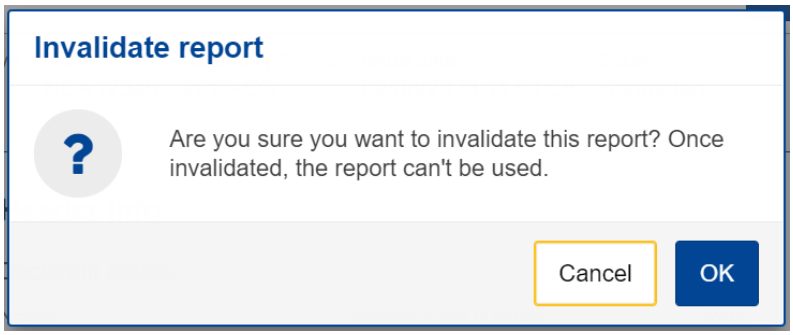


Figure 94: Invalidate report confirmation message.

4.5.11 Request to submit a report with a delay

The user can request to submit a report with a delay by clicking on the “Request delayed submission” button. Please note once clicked, the button will not be available during the delayed submission period.

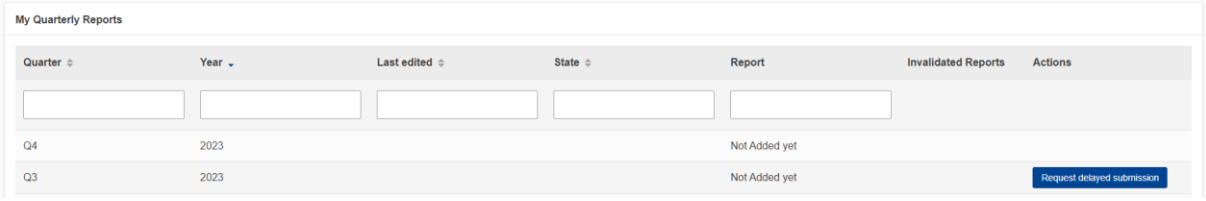


Figure 95: Request delayed submission

In the dialog that will be shown in Figure 96: Request delayed submission dialog, the reason for the request of the delayed submission needs to be provided. In case the request is due to a technical error,

please provide justification for the delay request (see Figure 97). In case NCA has approved /requested the delayed submission, the NCA's reference number (e.g. email reference number or Request ID number) needs to be provided as well (see Figure 98).

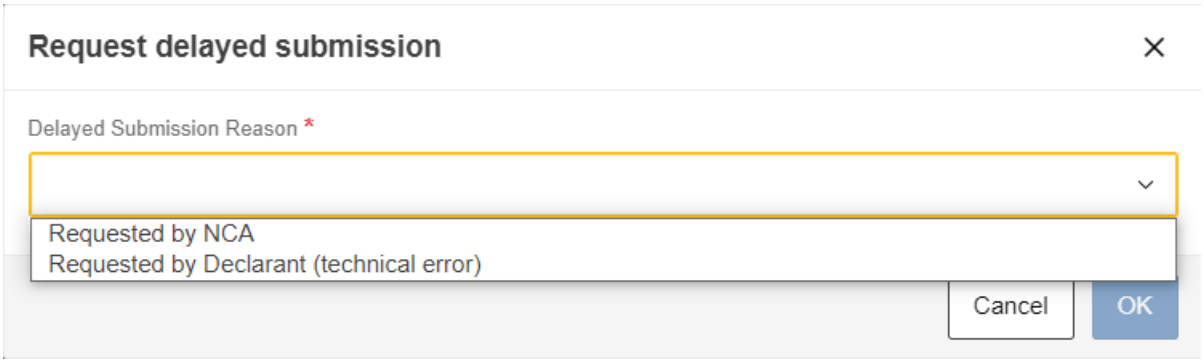


Figure 96: Request delayed submission dialog

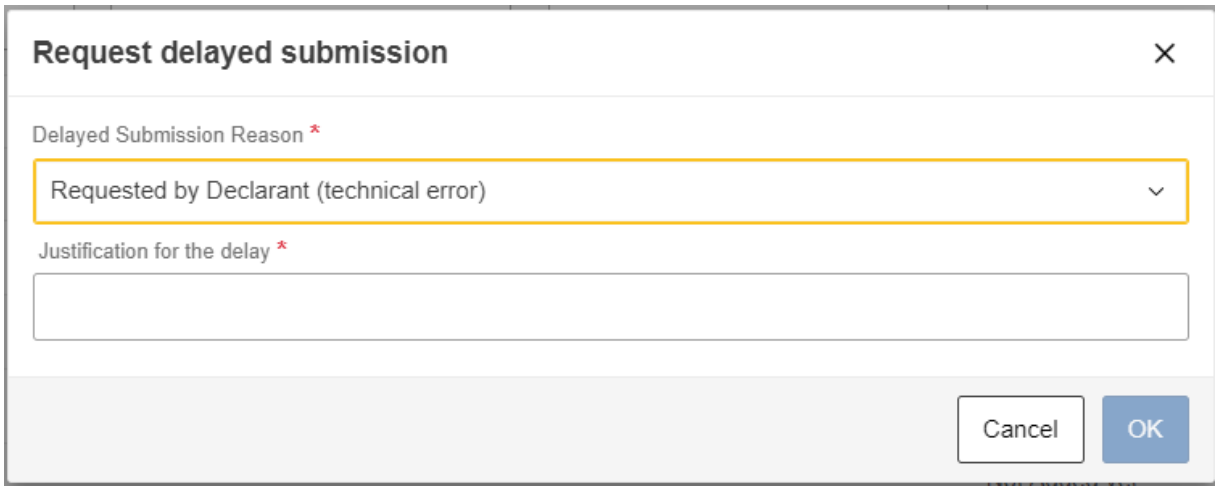


Figure 97: Request delayed submission due to technical error

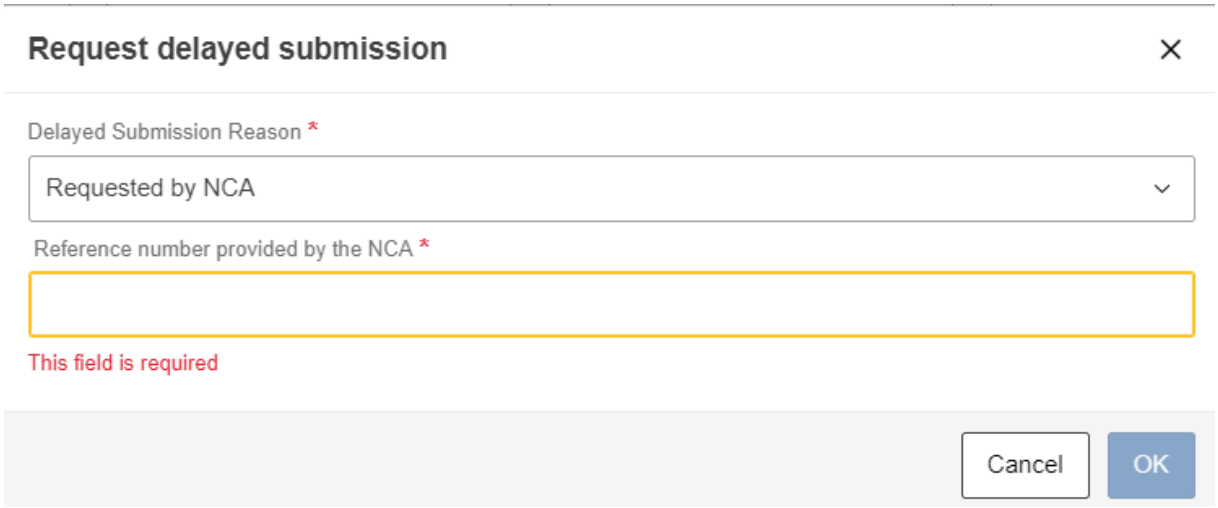


Figure 98: Request delayed submission -Requested by NCA

Submission and modification periods for delayed submissions varies based on Table 7: Delayed Submission - Deadlines.

Requested by	Submission	Modification
NCA	30days	30days or end of reporting period modification day whichever is greater.
Declarant	30days or end of reporting period modification day whichever is the lowest period	End of Reporting Period modification day.

Table 7: Delayed Submission - Deadlines

Please note that a Delayed submission due to technical issues which is requested by the Declarant after the reporting period modification deadline, will be rejected automatically. In addition, although multiple delay requests can be raised, the option “Request by Declarant due to a technical problem” can only be used once.

4.5.12 Download PDF

The button “Download PDF” is available when the report is submitted. When the “Download PDF” button is clicked, a PDF version of the report is created asynchronously which is saved in your local disk drive when prepared.

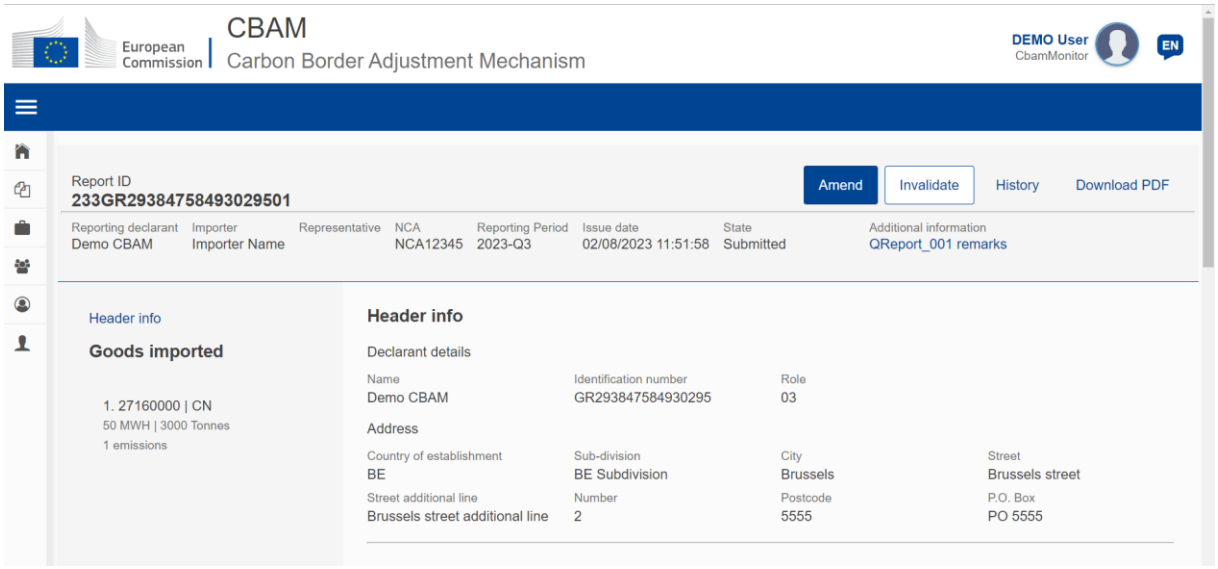


Figure 99: Download PDF

When the “Download PDF” button is clicked, an information message appears. It is important not to close the browser tab during the PDF preparation process (see Figure 100 Download PDF Information message)

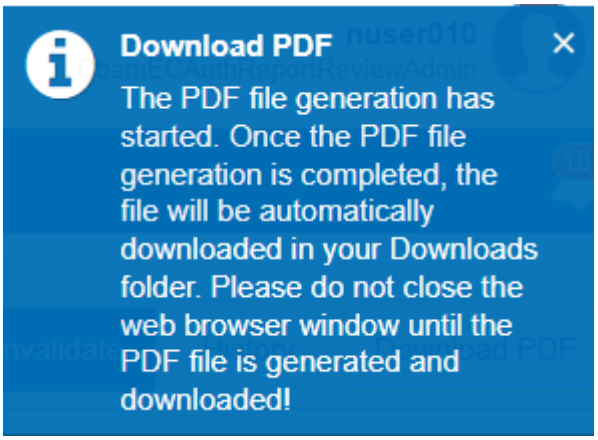


Figure 100: Download PDF Information message

When the PDF file is prepared the below message appears and the file can be located in the downloads folder.

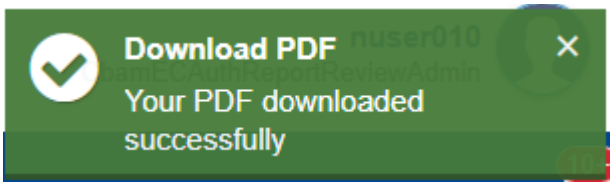


Figure 101: Download PDF - File downloaded message

4.5.13 History

The “History” button allows the user to see the history of the report.

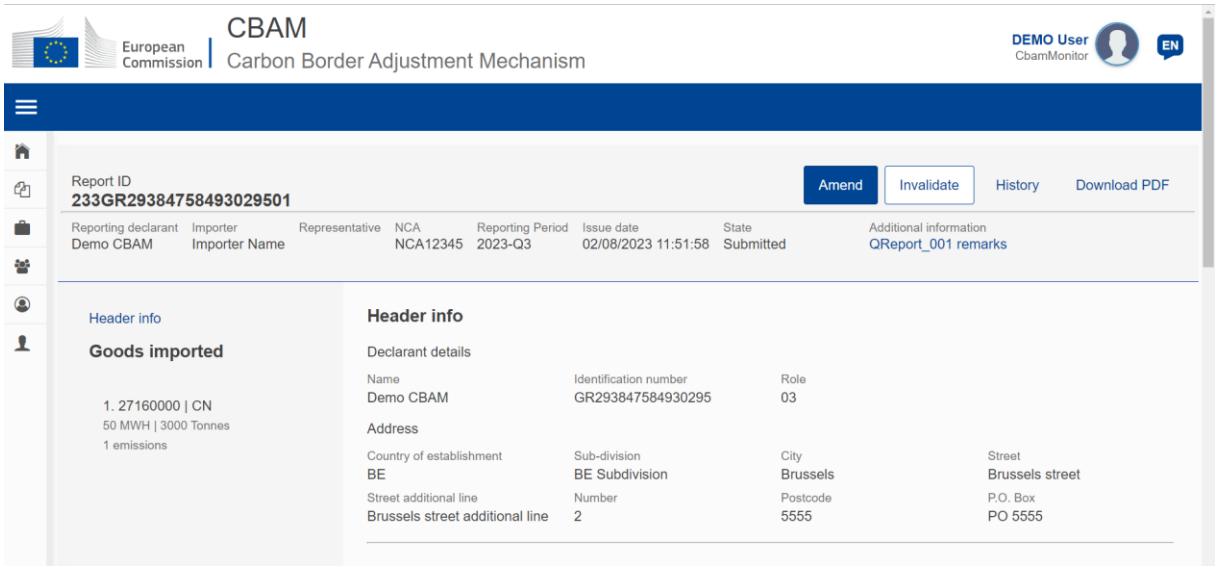


Figure 102: History

Once the history button is clicked, the history view will include the actions performed, the associated report state along with a timestamp on when the action was performed.

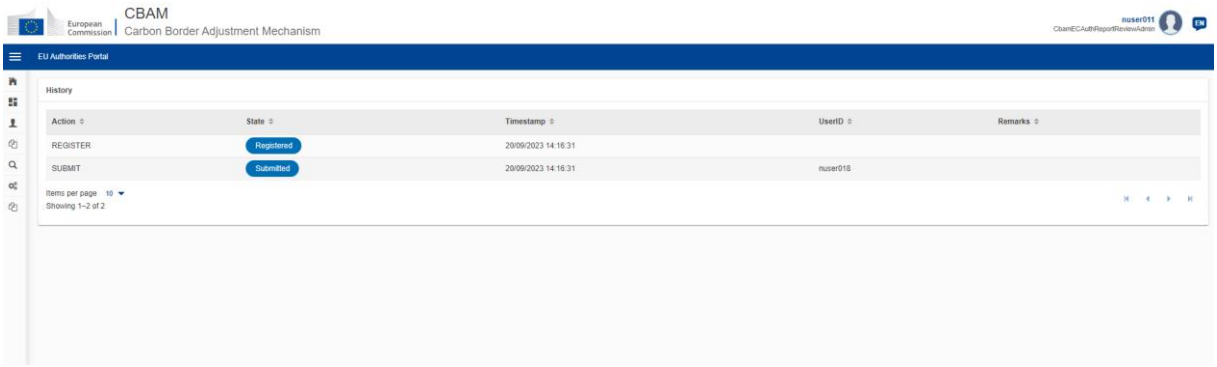


Figure 103: History

4.5.14 Duplicating a report

On the Home Page, click “My quarterly reports” and then click the “Create using Previous Report” button for the reporting period where a report needs to be created (see Figure 104: Create using Previous Report).

The “Create using Previous Report” button is visible only during the allowable reporting deadline. In the case a report needs to be created after the deadline expiration, please follow the process 4.5.11 Request to submit a report with a delay. In addition, a previous report needs to be available that can be utilized. Previous reports in “Draft” or “Invalidated” state cannot be duplicated.

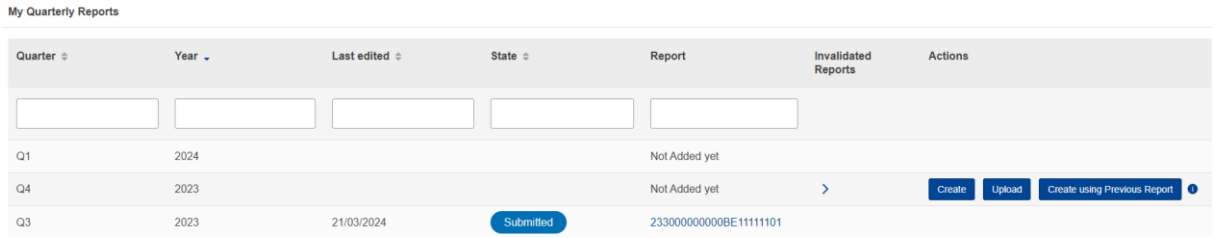


Figure 104: Create using Previous Report

The “Duplicate Report from” dialog (see Figure 105: Duplicate report from), lists all previous quarters where a report was submitted. Previous reports in “Draft” or “Invalidated” state cannot be used for duplication.

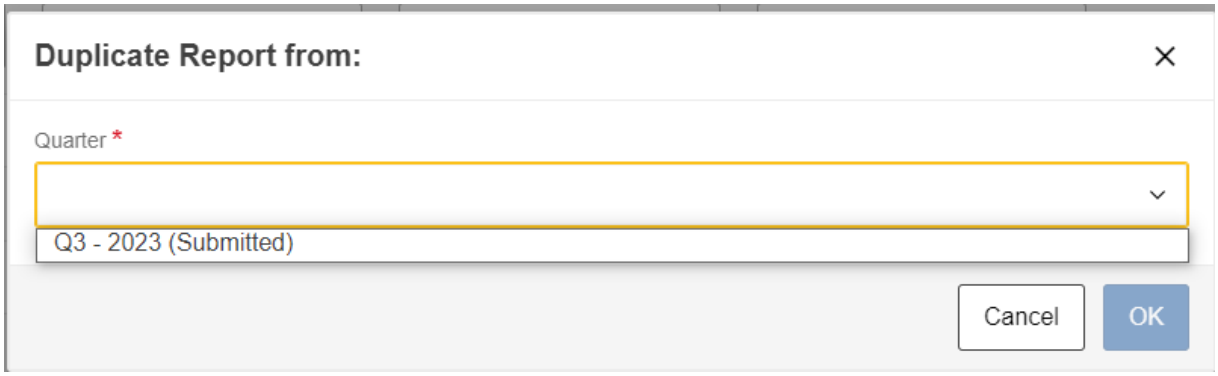


Figure 105: Duplicate report from

Once the required reporting period is selected, the “OK” is enabled and available to be clicked. (see Figure 106: Duplicate Report Form Selected).

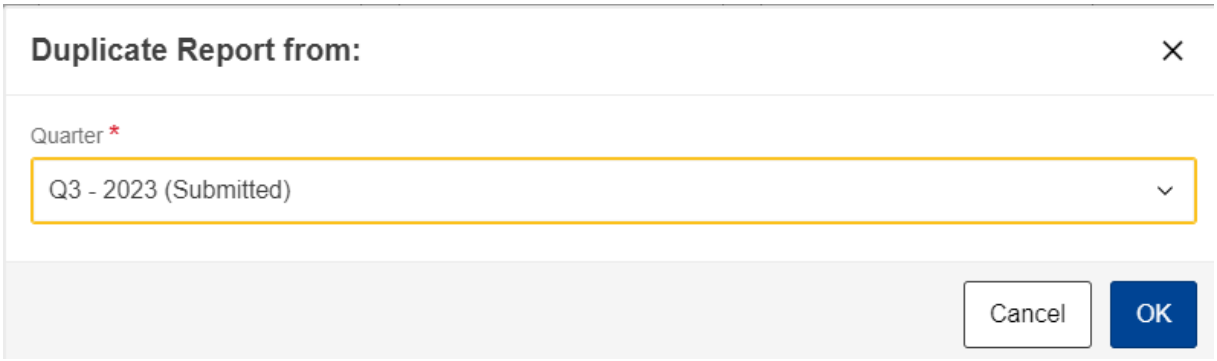


Figure 106: Duplicate Report Form Selected

A confirmation dialog box will appear (see Figure 107: Duplicate Report -Confirmation dialog), click “OK” to allow the creation of the report based on the previous report selected or click “Cancel” to stop the process.

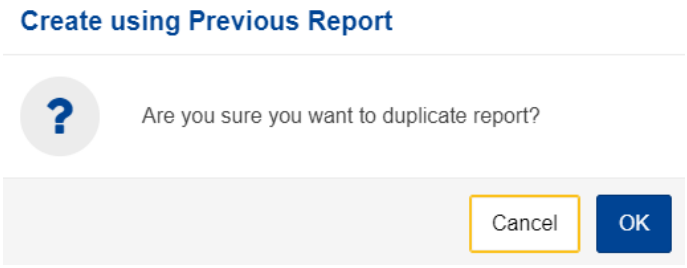
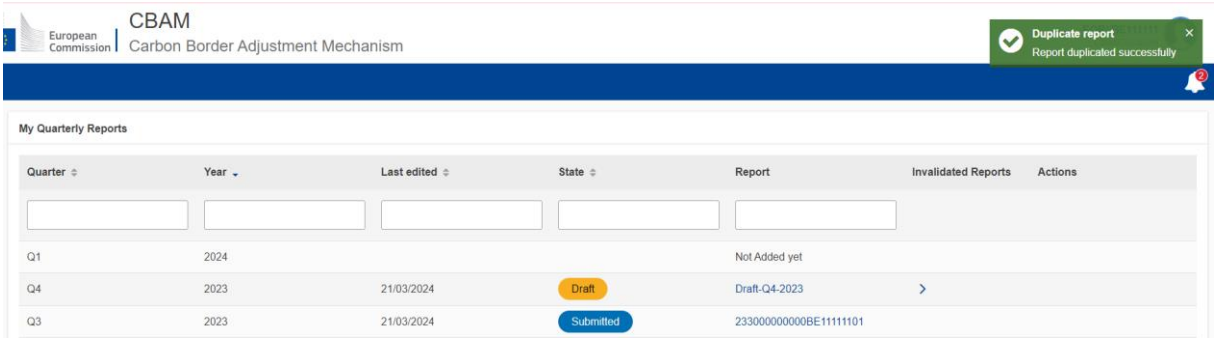


Figure 107: Duplicate Report -Confirmation dialog

When the duplicate report process is completed, a Draft report will be available in “My quarterly reports” for the specific quarter. The report can now be edited per 4.5.5 Editing of a report.



Please note that:

a) Attachments in Goods or in Emissions are not duplicated.
b) The Header->Signature tab needs to be filled in again.

4.6 MY IMPORTERS

In “My Importers” menu, accessed from Home Page or through the Navigation Bar, submitted CBAM reports goods data can be compared with the corresponding goods data from the associated customs declaration.

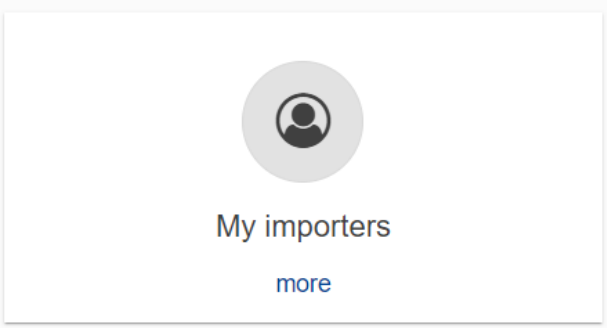


Figure 108: My Importers -Starting point.

Once the menu is selected, the list of importers included in Submitted and Registered reports (does not include draft reports) are listed.

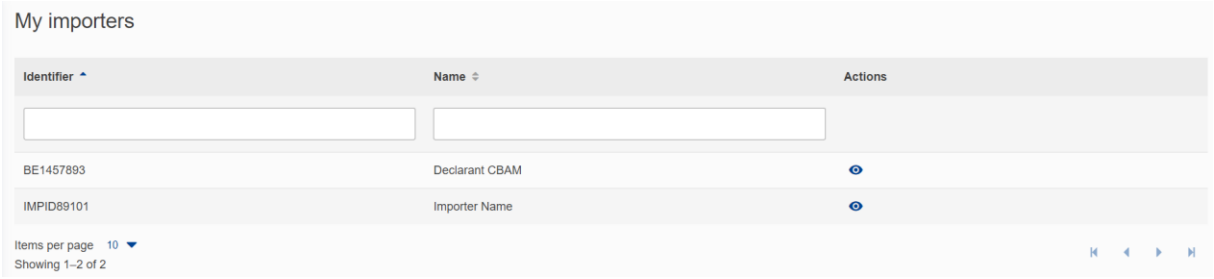
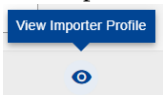


Figure 109: List of my importers

The list can be filtered either by the importer Identifier or the Importer Name. When the importer of interest is located, the “View Importer Profile” button 

can be clicked to view the Importer’s profile along with the quantity comparison of goods declared in the CBAM report vs goods in the associated Customs declarations.

Importer profile is obtained from CRS.

Figure 110: Importer Profile

The list of goods imported per importer, provides the comparison of CBAM Declared quantity and Customs declared quantity. In case multiple procedures are associated to review the discrepancies per procedure, you can click on the > arrow to review the discrepancies per procedure.

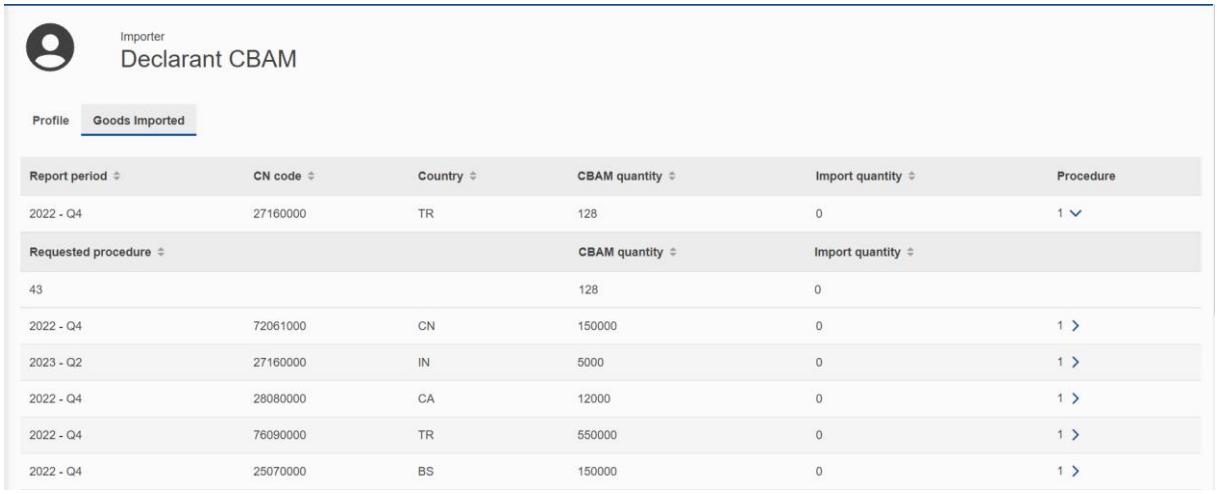


Figure 111: Importer - Goods Imported

4.7 MY PROFILE INFORMATION

Information shown in "My profile information" is obtained from UUM&DS & CRS and are displayed as read only fields. In case the information is not accurate and needs to be corrected, please contact your NCA Authority.

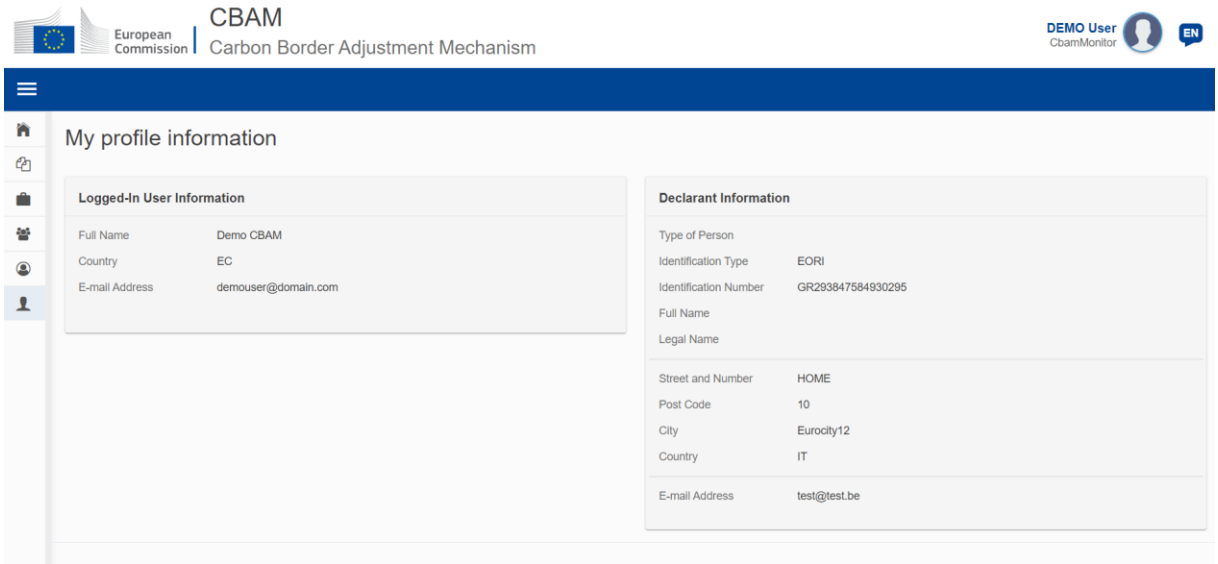


Figure 112: My profile information

Contact details can be added by editing the below section in "My profile information". Once all three fields (Contact person full name, phone#, email address) have been populated, click on the "Save" button.

Figure 113: My Profile - Contact Details

4.8 PREFERENCES

The "Preferences" menu is positioned in the upper right corner. Currently, in the preferences section, the following options are available:

a) Define your preferred language;
b) Set the default email for communication;
c) Enable/disable the transmission of CBAM Registry notifications to the email set as default for communications.

In future releases, the "Preferences" screen will undergo further enhancements, incorporating additional attributes related to user preferences.

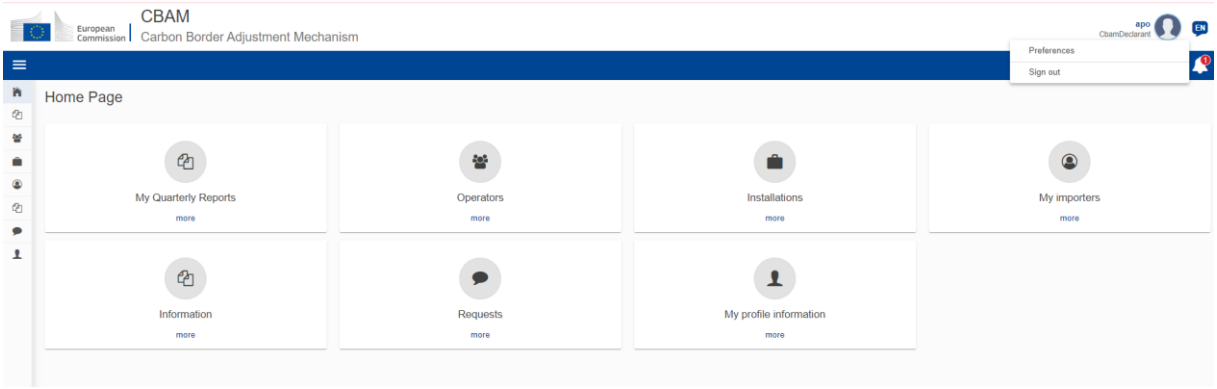


Figure 114: Preferences menu

Click the “Edit” button to fill in the required information.

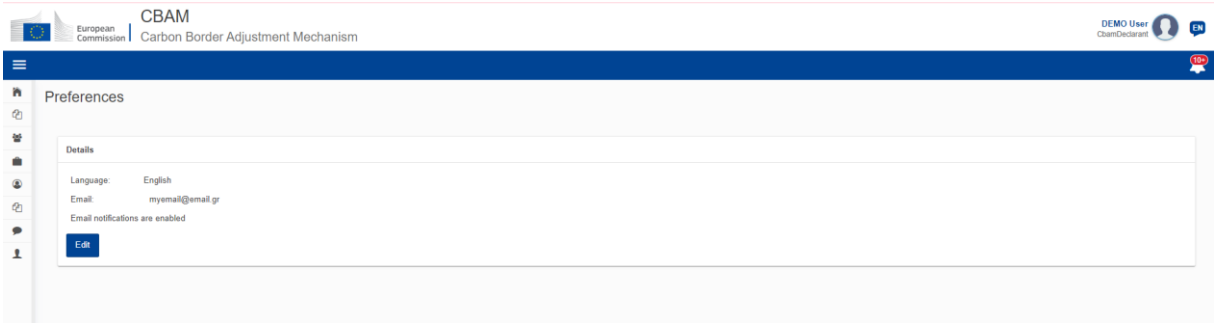


Figure 115: Preferences

The email field can be populated using either the CRS email (if available), My Profile email (if defined), UUM&DS email, or a user-defined email. If an email isn't associated with a particular option, that option will be greyed out. The email field becomes editable when the 'User Defined' option is selected. Please note, an email address is mandatory in all cases. Saving of preferences is not permitted without entering a valid email address.

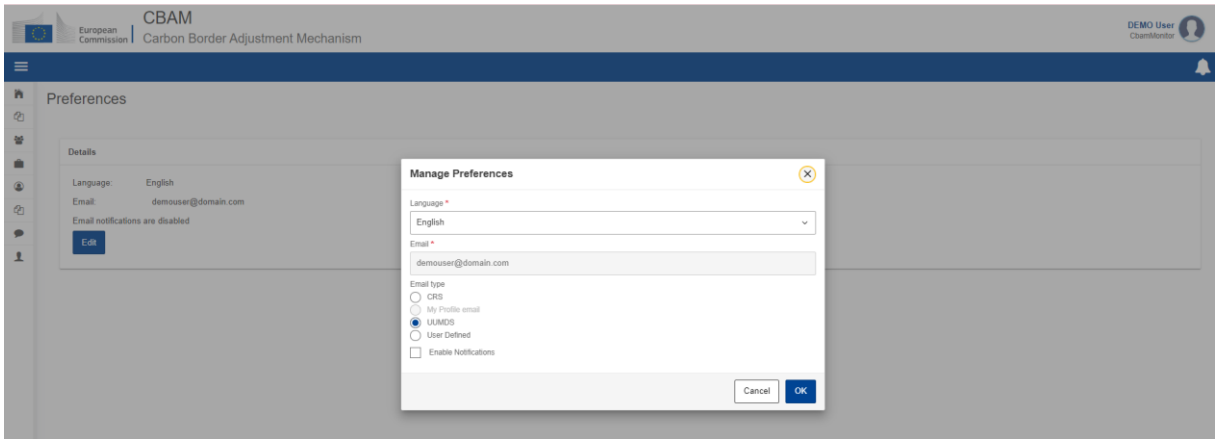


Figure 116: Edit Preferences

To save the updated information, click the “OK” button. When the “Cancel” button is clicked, no changes will be saved.

4.9 INFORMATION

Clicking on "Information" allows the Reporting Declarant access to several resources. These include the NCA's contact information, a list of CBAM goods, and CBAM legislation.

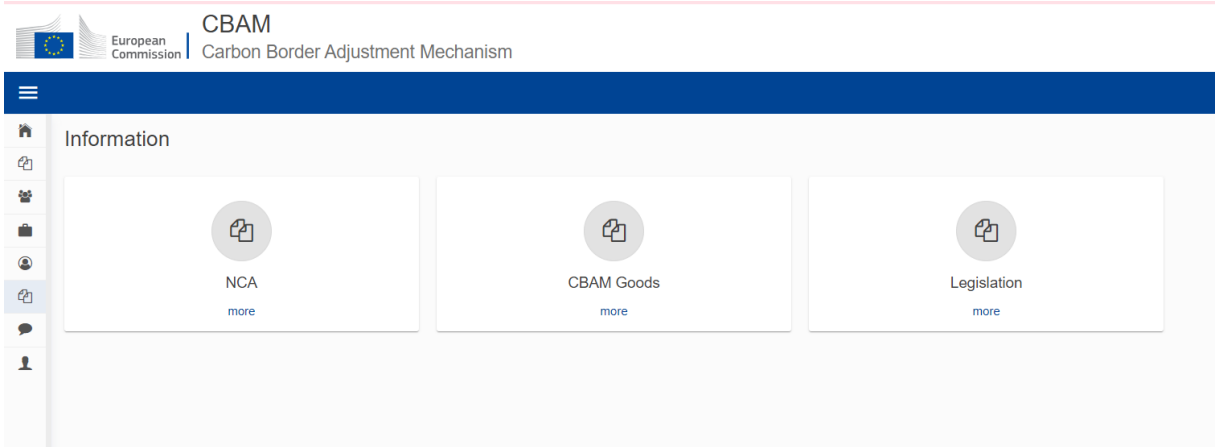


Figure 117: Information

4.9.1 NCA

In "NCA" page, the user can see a list of all National Competent Authorities offices pre-sorted by “Office Reference Number”. The user can also sort the list by “Country” or “Created”.

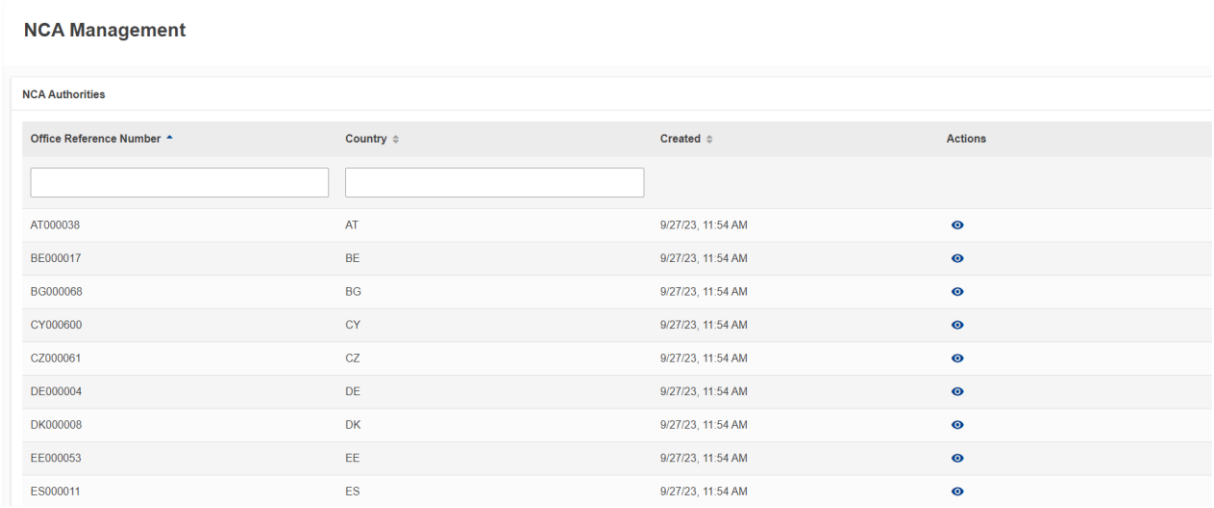


Figure 118: Information-NCA

By clicking on the View icon

in “Actions” column, the user can see information about each NCA office organised in different tabs as shown in Figure 119. On the upper right corner of the shown menu, the user can click on the Expand all

icon to expand all tabs or the Collapse all

icon to collapse all tabs at once.

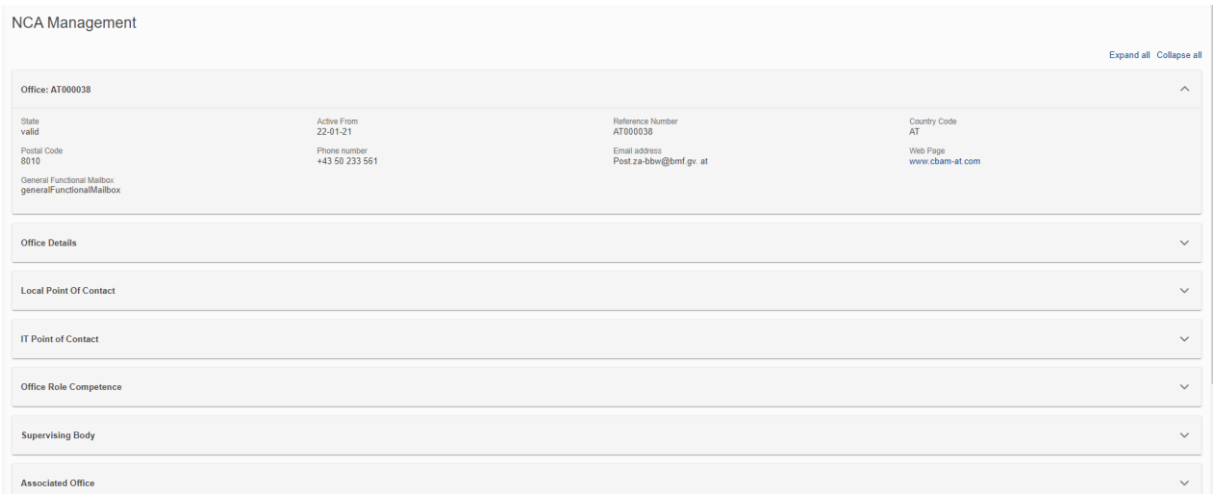


Figure 119: Information - NCA Details

4.9.2 CBAM Goods

Upon clicking the "CBAM Goods" page, you'll see a list of goods sectors per Figure 120.

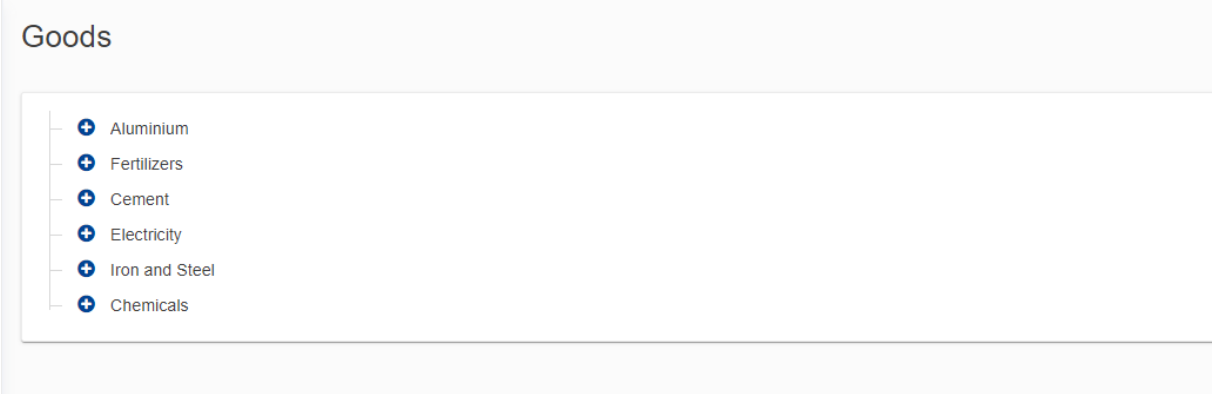


Figure 120: Goods Sector Level

To expand a sector and view its associated aggregated goods categories, click on the plus icon next to it.

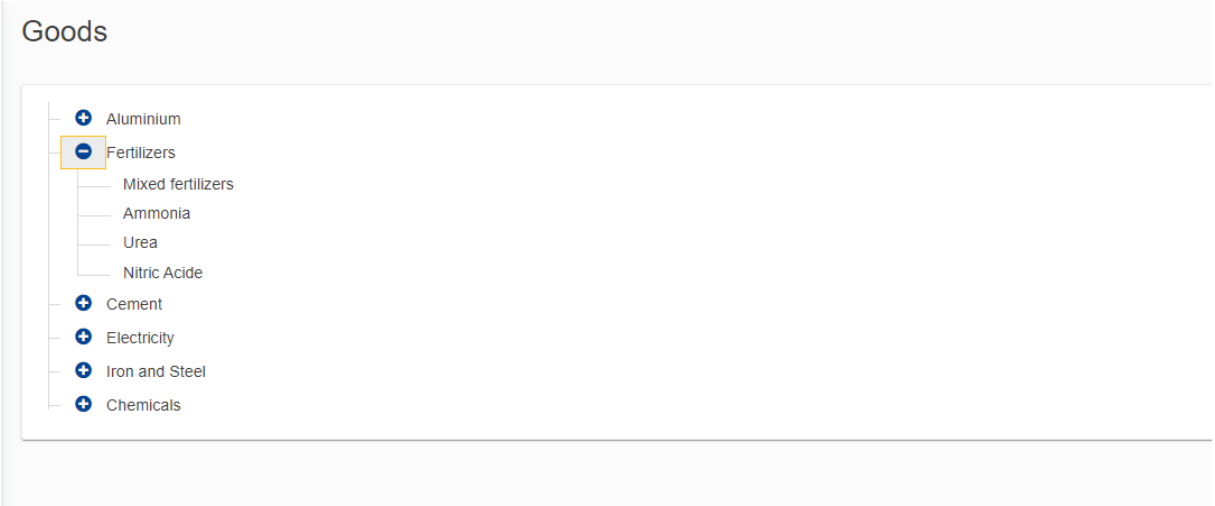


Figure 121: Aggregated goods category Level

When you select a specific aggregated goods category, a list of goods belonging to that category will appear on the right side of the screen per Figure 122.

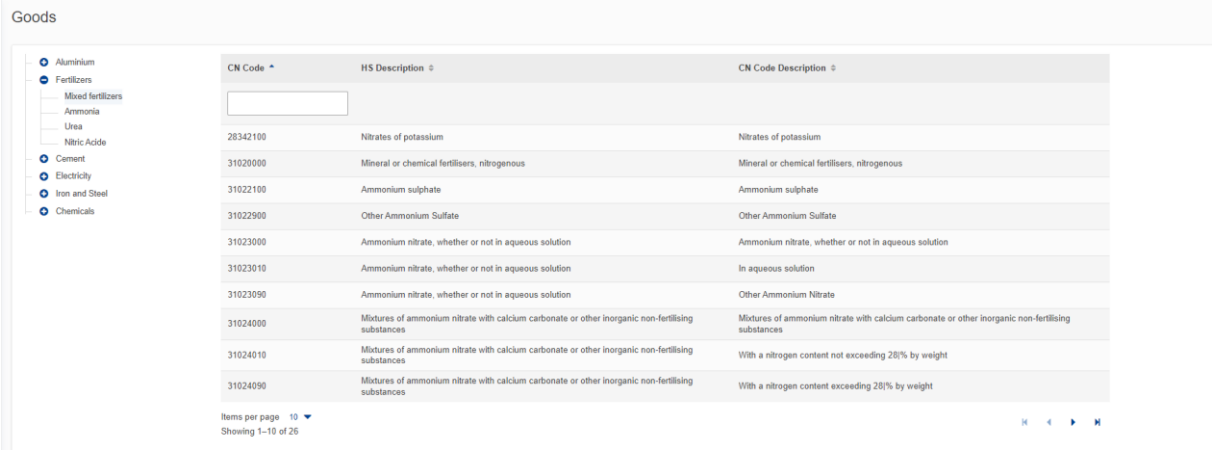


Figure 122: Goods code level

When the list of goods is displayed, you have the option to sort it by “CN Code”, “HS Description”, or “CN Code Description”. Additionally, you can filter the list using the “CN Code” field. The list will start filtering as soon as you begin typing.

Figure 123: Filtered CBAM Goods on CN Code

4.9.3 Legislation

Clicking on "Legislation" redirects the user to the CBAM Legislation webpage, where all relevant legislative documents can be viewed.

4.10 REQUESTS

The “Requests” home page section is divided into two distinct lists.

- **Incoming Requests:** These are requests sent from the NCA to the Reporting Declarant. The Reporting Declarant can employ the filter options to review outstanding requests for which no response has been sent by the Declarant.
- **Outgoing Requests:** These are requests initiated by the Reporting Declarant and sent to the NCA. The Reporting Declarant can use the available filter options to track requests awaiting a response from the NCA.

In both lists, the status field receives one of the below values:

- Received: Initial status, when the request has been created;
- Answered: When a reply has been provided;
- Cancelled: Is set by the requestor when the request is cancelled;
- Rejected: Is set by the recipient of the request, when the request is declined.

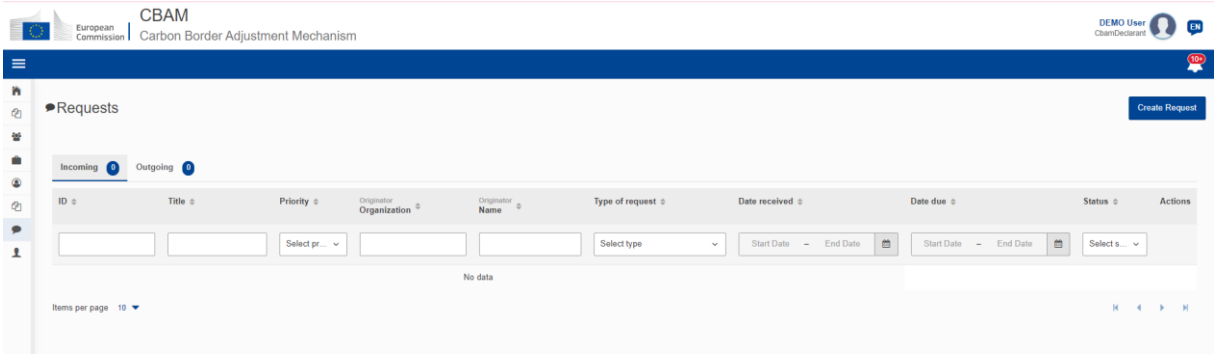


Figure 124: Request

4.10.1 Viewing of incoming requests

You can sort and/or filter the list of incoming requests based on your preferences. To view requests that are waiting for your response, apply the Status filter and select the “Received” status

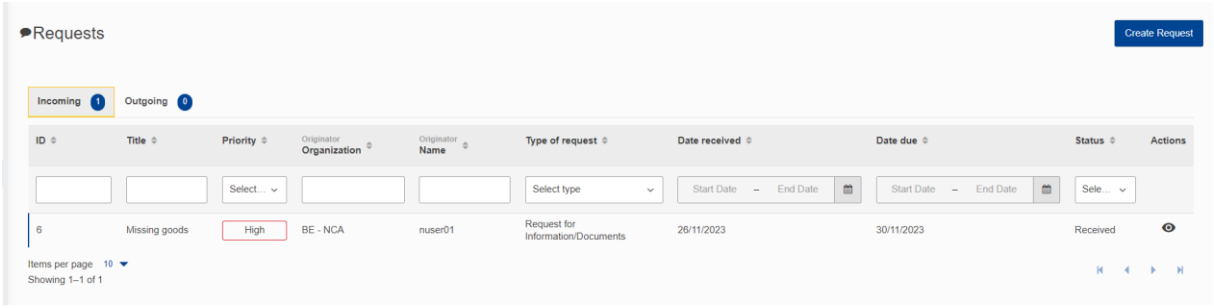


Figure 125: Incoming requests

4.10.2 Replying to an incoming request

First view the request by clicking on the "eye" icon and then click on “Reply” button.

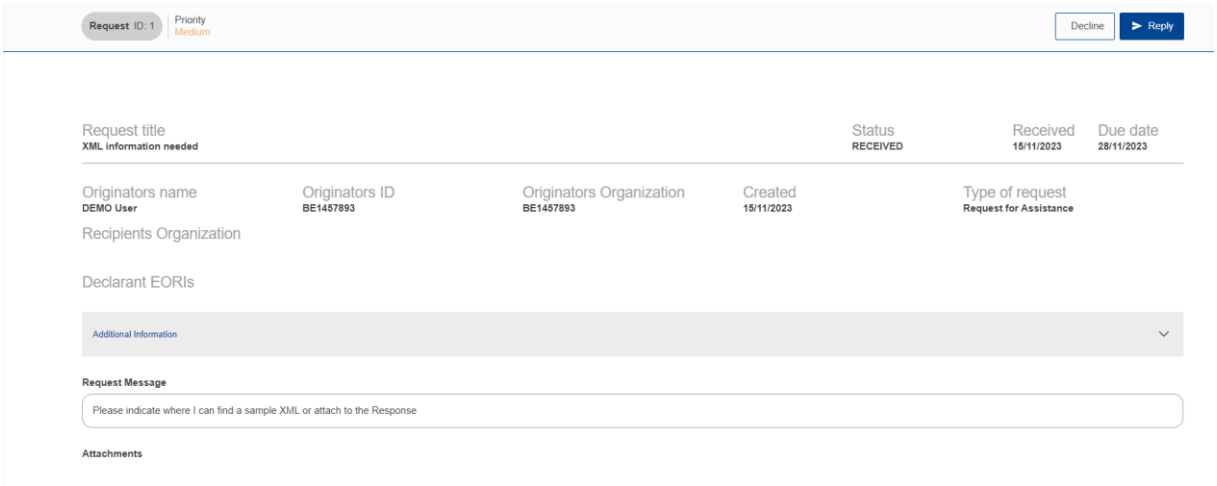


Figure 126: Reply to a Request

At a minimum, you must complete the “Response Title” and “Response Message” fields in order to enable the “Send” button . Optionally, attachments can be included in the response. You can add files by either selecting them from your device or using the drag-and-drop feature.

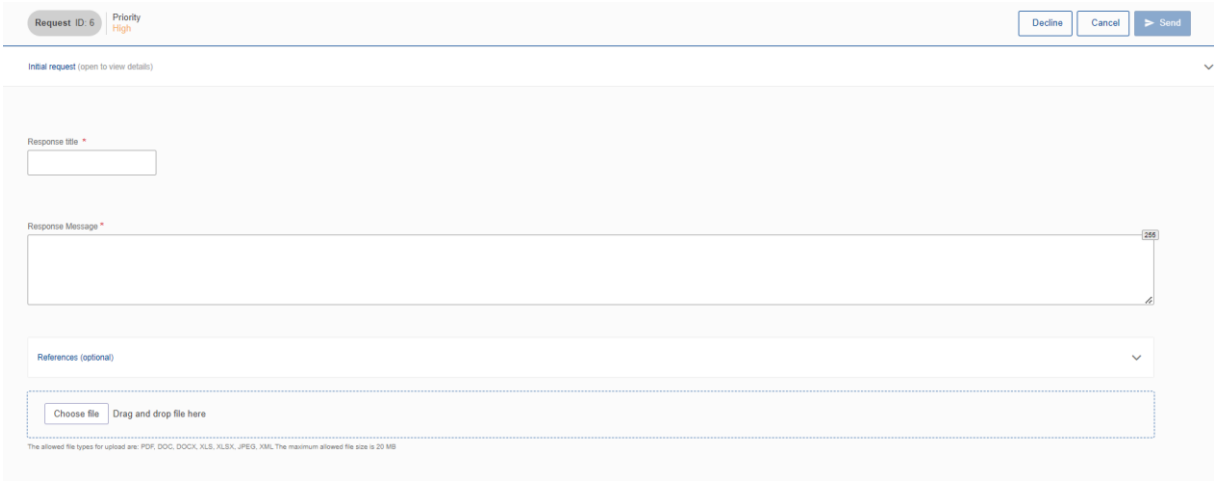


Figure 127: Reply to a request -Details

In the references (optional) section, document references can be added. Fill in the Document reference and the additional information and click the “Add” button.

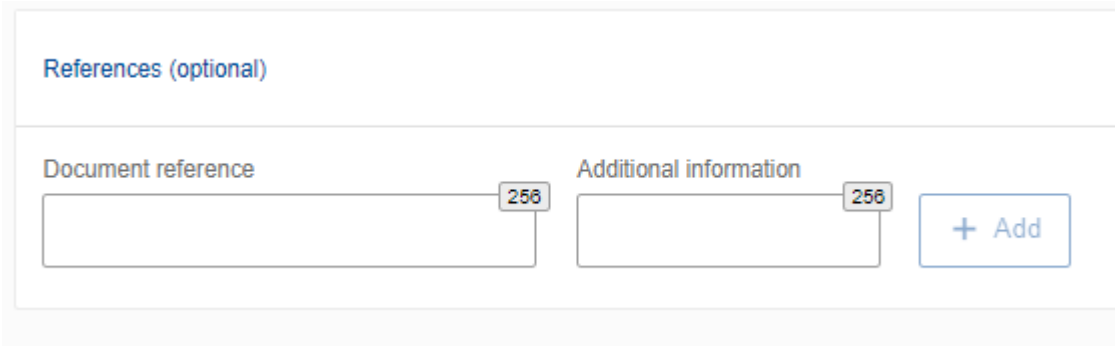


Figure 128: Notifications - References

After filling in the required fields, click the "Send" button to send the request to the NCA. The request will appear in the “Incoming Requests” list, where its status will be updated to “Answered”.

4.10.3 Decline an incoming request

To reject an incoming request, click the "Decline" button and provide an appropriate justification in the designated field. Once completed, the request's status will be updated to "Rejected".

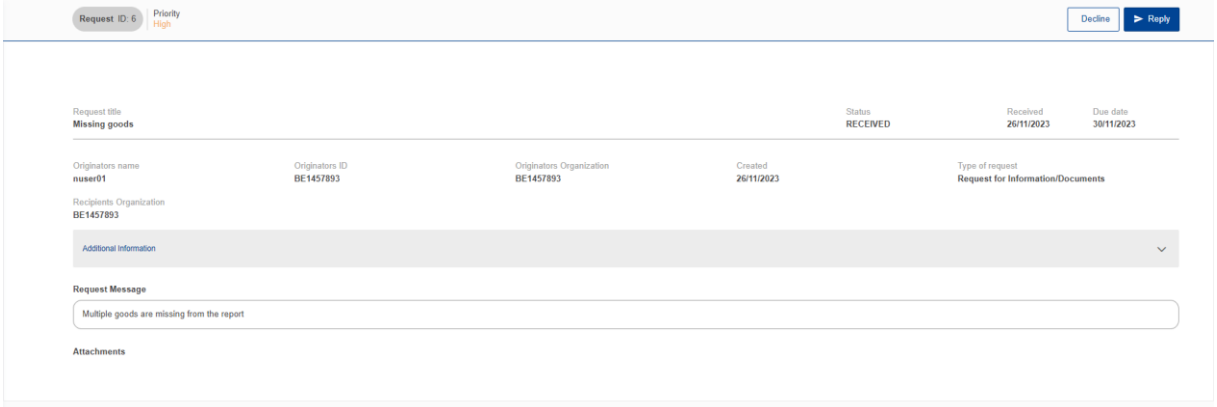


Figure 129: Decline Request

4.10.4 Create a request

To create a request, simply click the “Create Request” button which is located on the upper right corner of both Incoming and Outgoing lists (see Figure 124)

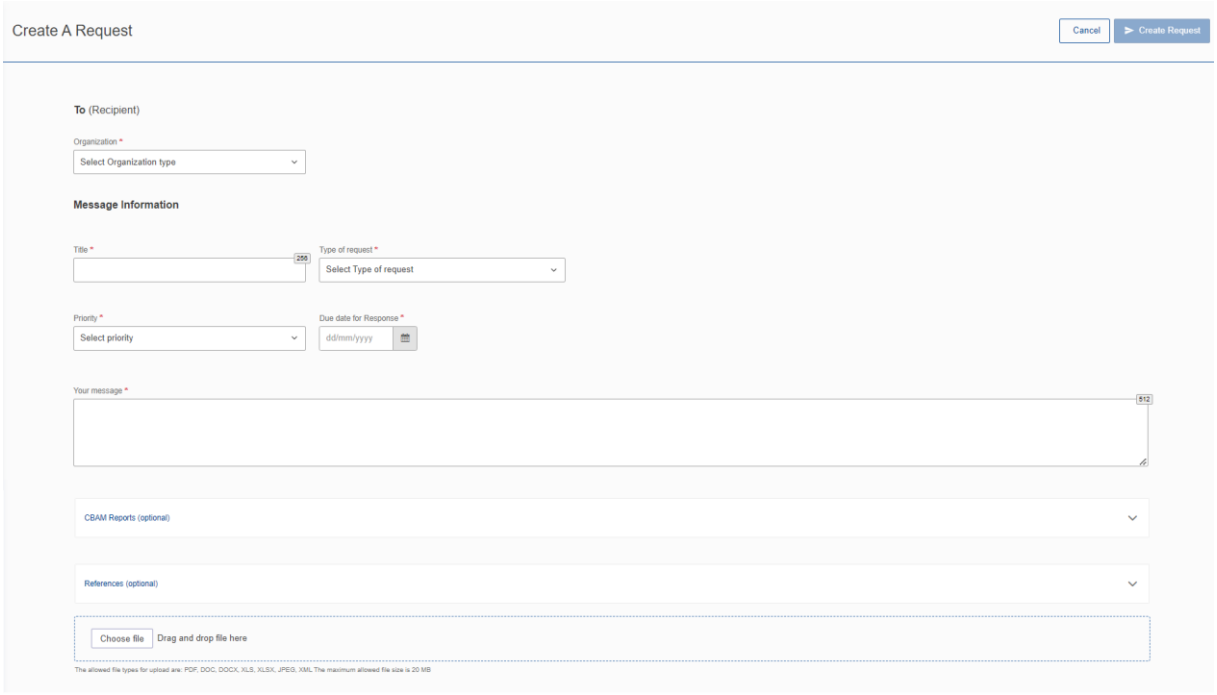


Figure 130: Create Request

In the displayed dialog (See Figure 130), it is essential to complete all mandatory fields for the "Create Request" button to become active.

As a declarant, only the NCA type is available in the "To (Recipient) Organization" field. Once chosen, the member state of NCA will be filled in automatically.

In the "Title" field, provide a brief summary and select the “Type of request”. In the "Your Message" field, provide a detailed description of the request.

After determining the priority of your message, input the expected response due date. This will help in organizing and addressing your request promptly.

On an optional basis, you can utilise the following fields:

- **CBAM Reports:** Here, you can input the report ID(s) you wish to reference in your NCA request. Upon entering the Report ID, the "Add" button will become active, allowing you to include the reference in your request.

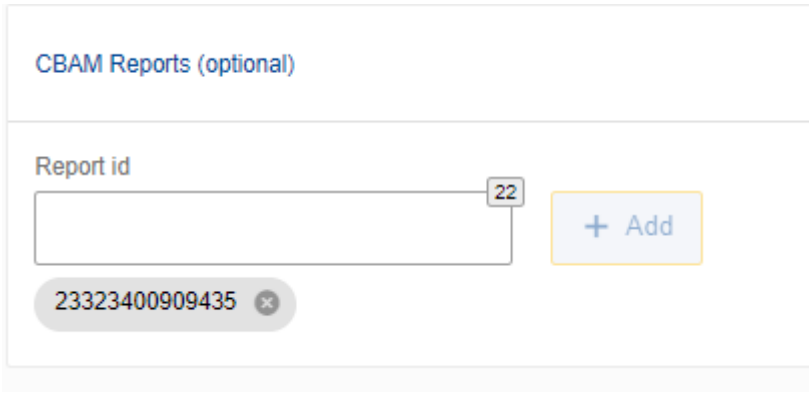


Figure 131: CBAM Reports (Optional)

- **References**: You can add any relevant references. The "Add" button becomes enabled once both "Document Reference" and "Additional Information" fields are filled in.

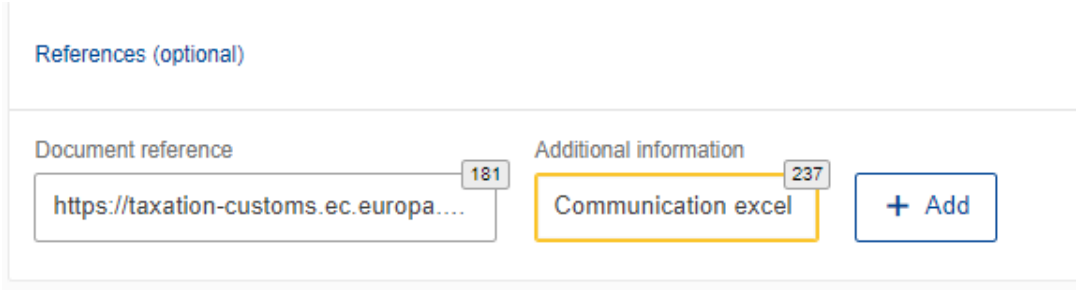


Figure 132: References

- **Attachments**: To attach a document to the request, you can either use the "Choose File" option or simply drag and drop the file if needed.

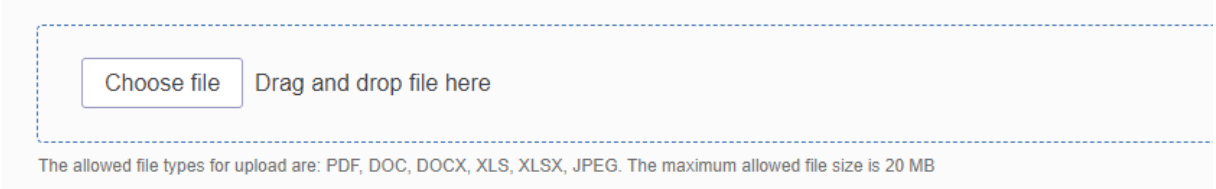


Figure 133: Attachments

Once you have completed the form, you can click the “Create Request” button. The request will be visible in the “Outgoing list” with the status “Received”.

For assistance with technical issues, please consult your respective NCA for guidance.

4.10.5 Cancel a request

If a mistake has been made or the request is no longer relevant, you can cancel a request as long as a response has not been provided. Simply access the request in question and click on the "Cancel" button.

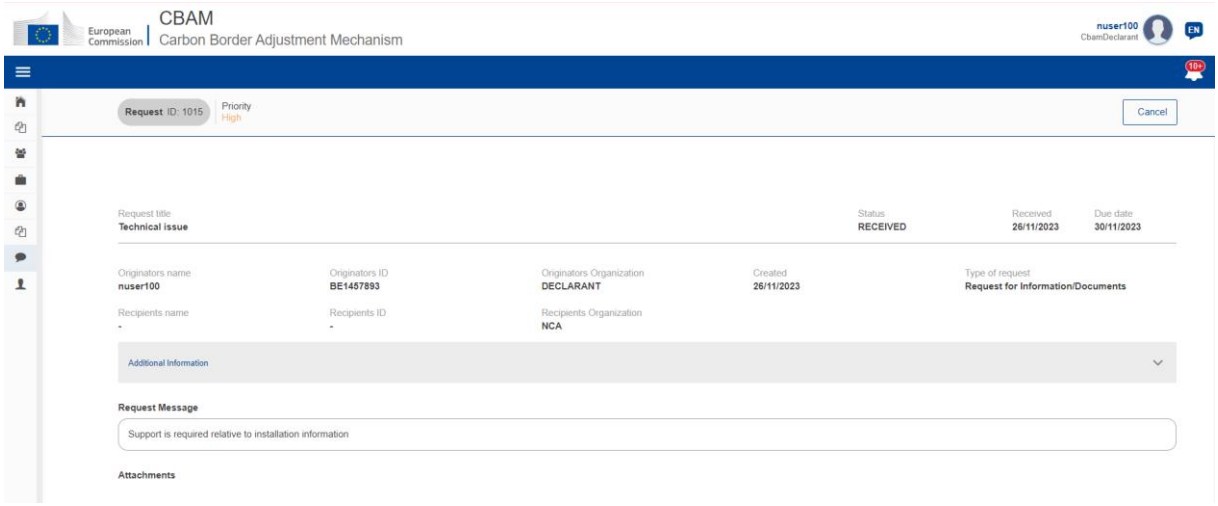


Figure 134: Cancel a request

4.10.6 View outgoing requests

The list of outgoing requests can be sorted and/or filtered according to your needs. To view requests that are awaiting NCA's response, use the "Status" filter and select the "Received" status.

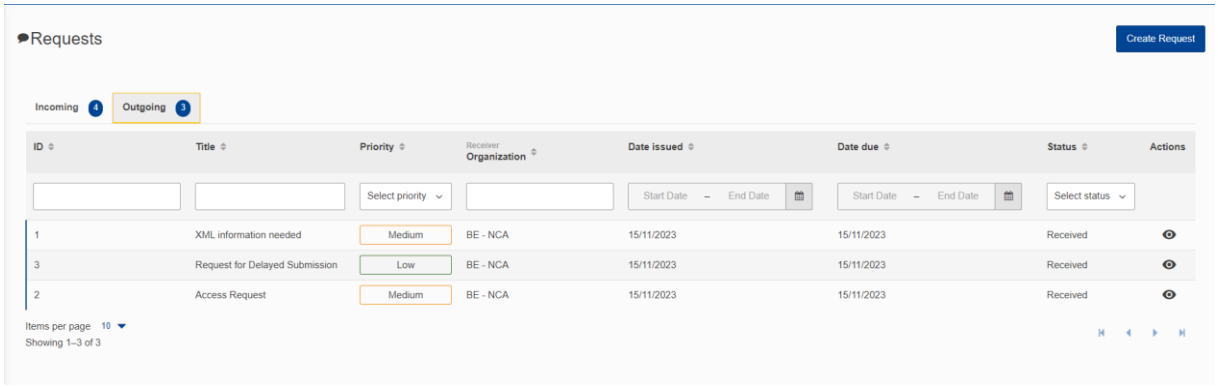


Figure 135: Outgoing requests

4.11 NOTIFICATIONS

On the upper right corner of the home page, the icon

indicates the number of unread notifications. When the unread notifications exceed 10, the exact number is not displayed.

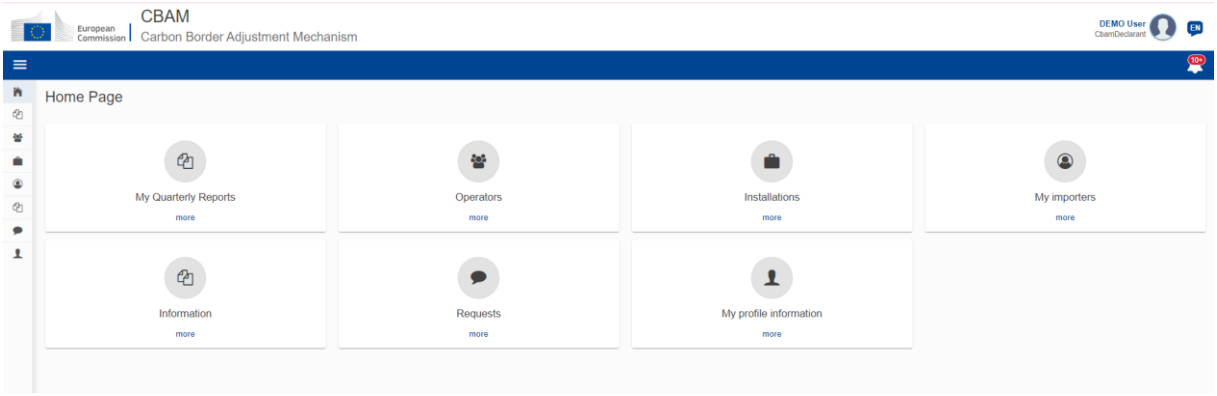


Figure 136: Home Page-Notifications icon

Clicking the icon reveals a list of the latest unread notifications, as shown below in Figure 137.

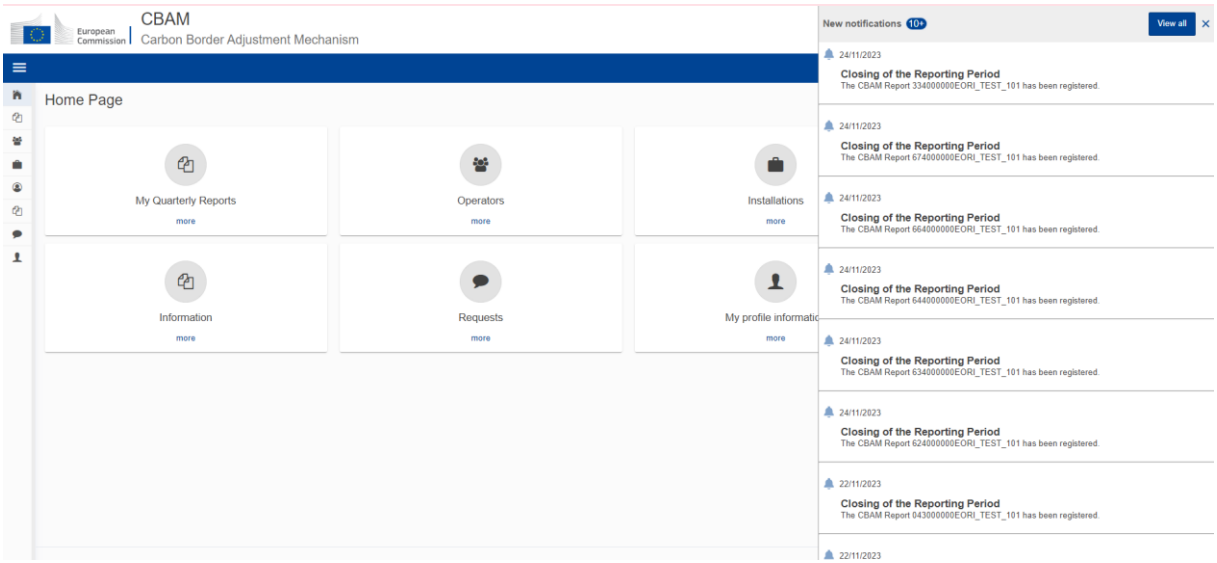


Figure 137: List of latest unread notifications

From this view you can:

a) **Select to view one notification.** Clicking on a notification marks it as read and displayed the notifications' details.

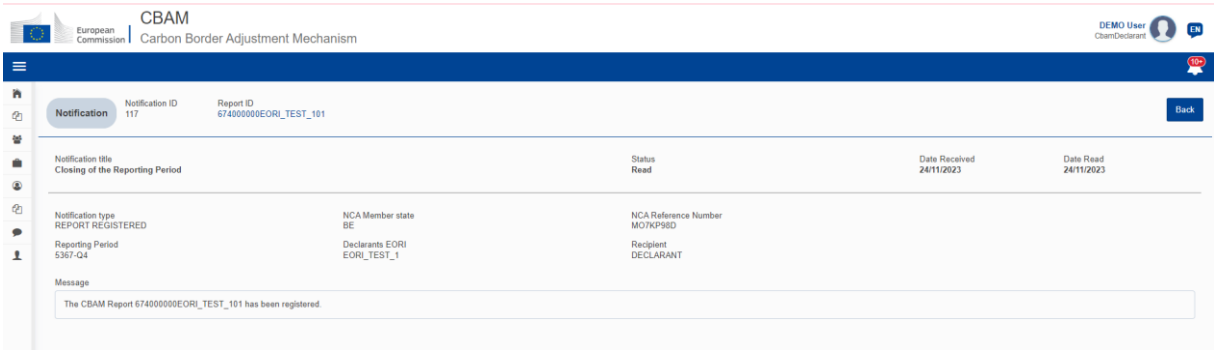
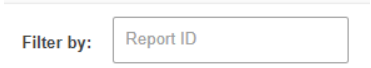


Figure 138: View notification

b) **View the full notifications' list .** Click the button "View all" on the upper right side to access all notifications.

The notifications list (see Figure 141), provides sorting and filtering options for Notification Type and Status. Advanced filtering is available on the upper right side of the notification table that allows for the following filtering criteria:

- **Filter by Report ID** 

or
- **Filter for a range of dates in Date Received.** The default date range is set to one month.

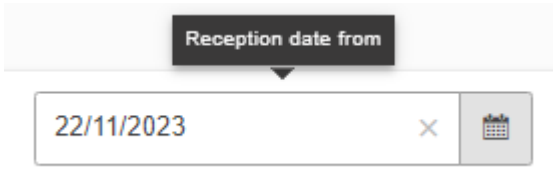


Figure 139: Notification - Reception date from filter

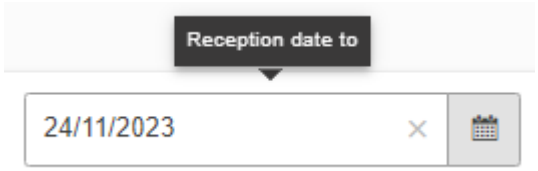


Figure 140: Notification - Reception date to filter

Please note: When applying criteria, either specify a Report ID or set the Date Received range to a maximum of one month.

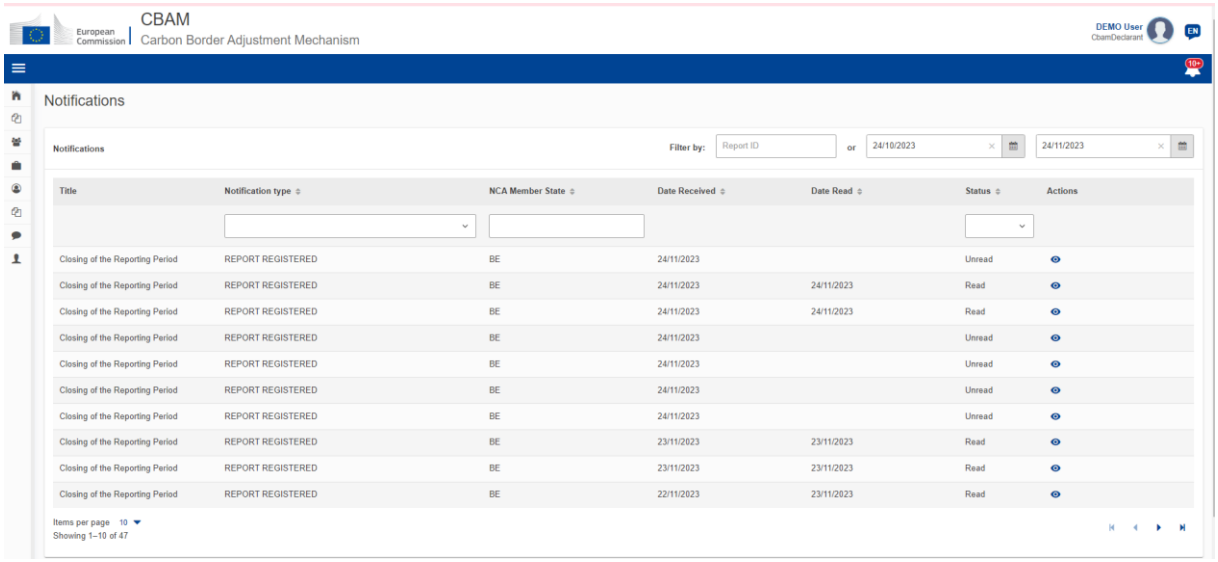


Figure 141: Notification List

Clicking the view icon in actions column, lets you view the notification (see Figure 138) and marks it as read. Use the "Back" button to return to the list of notifications.

5 ANNEX

5.1 CBAM REPORT (XSD)

The following zip file contains:

a) QReport_v18.20.xsd which describes the full structure of the CBAM quarterly report in an XSD file format.
b) Stypes_17.03.xsd which describes the data types used.
c) XML Guidance document

CBAM Quarterly Report structure XSD and "stypes.xsd" (ZIP format)

5.2 SAMPLE ZIP FILES

The ZIP file must consist of:

- The quarterly report XML file created which must be based on the CBAM report XSD as provided in section:5.1 CBAM Report (XSD)
- The set of documents to be provided as binary attachments (acceptable file formats are only DOC, DOCX, XLS, XLSX, PDF, JPEG).

The final constructed file must be uploaded to the CBAM Declarant portal by using the "Upload" button as described in 4.5.2 Uploading of a report. Once the Declarant selects to create a quarterly report by uploading a file, the system fills the quarterly report's data according to the data and binary attachments retrieved from the particular ZIP file.

The CBAM declarant can crosscheck and confirm the correct report filling to the Declarant portal UI according to the data uploaded. Potential errors are presented by the system and the CBAM declarant has to correct them in order the report to be successfully validated and submitted to the system.

Here are some sample files:

CBAM Quarterly Report sample file for representatives

CBAM Quarterly Report sample file for importers

5.3 REPORT STRUCTURE

The following file describes in detail the report structure. In addition, it contains the code lists utilized and the XML elements that correspond for each field.

CBAM Quarterly Report structure (XLS format)